Additional University Policies

UNIVERSITY VALUES, VISION, AND MISSION

BOT 1.1 - 1.3

Date of Last Update: March 31, 2002

Approved By:

• Board of Trustees

Responsible Office: Office of General Counsel

POLICY STATEMENT

1.1. Vision: Grand Valley State University will prepare globally-minded citizens for the future they face and the communities they shape. Our community of educators will create and employ innovative approaches to liberal education and professional programs that center on and prepare students for a lifetime of continual learning and growth.

1.2. Mission: At Grand Valley State University, we empower learners in their pursuits, professions, and purpose. The University enriches society through excellent teaching, active scholarship, advancement of equity, and public service.

1.3. Values:

• Innovation: puts ideas into practice, drives us towards excellence, and represents our forward-thinking mindset. We value entrepreneurship, risk taking, and interdisciplinary collaboration that solves local, regional, and global problems and advances the common good. Integrity: drives us to be accountable to ourselves and to others.

Integrity: moves us to actively pursue and sustain quality educational experiences rooted in research and evidence, and to be excellent stewards of our communities, resources, and planet.

Inclusive and Equitable Community: fosters and sustains a sense of belonging, promotes diversity and respect, and addresses systemic issues that impact outcomes for those who have been historically excluded from higher education. Inclusion and equity are experienced through our physical campuses and in digital environments, as well as our interpersonal, institutional, and community engagements.

Inquiry: encourages active questioning and problem solving to improve lives and strengthen communities. Building on our strong foundation of a liberal education and commitment to teaching excellence, we strive to provide opportunities that integrate theory and practice towards personal transformation and career success. Active scholarship, creative expression, and relevant co-curricular experiences drive learners towards fulfillment, prosperity, and justice.

International Perspectives: simultaneously support people, planet, and prosperity. We think and act on a global basis. Our efforts at supporting the well-being of individuals, groups, and ecosystems are important locally, nationally, and globally—all of which are interconnected and interdependent.

ACADEMIC POLICIES

BOT 3.3

Date of Last Update: June 25, 2021

Approved By:

• Board of Trustees

Responsible Office: Office of General Counsel

POLICY

3.3 Academic Policies

3.3.1. Academic Standards

Grand Valley is an academic institution dedicated to providing the highest level of quality instruction possible. Academic standards, levels of scholastic achievement, and grading systems are established on a university-wide basis after careful review by representative faculty members and the Provost/Vice President for Academic Affairs.

3.3.2. Academic Calendar

The University operates on a semester system, providing for fall and winter semesters and spring/summer session. Each semester is a minimum of 15 weeks in length, including days required for final examination. The spring/summer session will be 12 weeks in length, including two 6-week sessions. Each session is academically equivalent to the 15-week semester. All academic units operate on the semester system.

INTERIM VACCINATION POLICY

Interim-1

Date of Last Update: December 10, 2021

Approved By:

• Senior Leadership Team

Responsible Office: Human Resources

POLICY

This policy applies to all students and employees of Grand Valley State University as a condition of attendance and employment. Employees and students may apply for a medical or religious exemption, or a temporary postponement if their work responsibilities/classes are completely remote and they will not be coming to campus.

All students and employees covered by this policy are required to be fully vaccinated. Employees are considered fully vaccinated two weeks after completing primary vaccination with a COVID-19 vaccine. All students and employees are required to provide truthful and accurate information about their COVID-19 vaccination status, and, if applicable, their testing results. Students and employees not in compliance with this policy will be subject to discipline, including separation from the university.

Employees and students may apply for an exemption or postponement from this mandatory vaccination policy if the vaccine is medically contraindicated for them or medical necessity requires a delay in vaccination. Employees and students may also apply for a religious exemption. Requests for these exemptions or postponements must be initiated by completing the Exemption Form, which can be found on the Lakers Together website, GVSU Health Hub website or Human Resources website.

POLICY STATEMENT

Vaccination is a vital tool to reduce the presence and severity of COVID-19 cases in the workplace, on campus, in classrooms, in communities and across the nation as a whole. Grand Valley State University has adopted this policy on mandatory vaccination to safeguard the health of our students and employees from the hazard of COVID-19.

Confidentiality and Privacy:

All medical information collected from individuals, including vaccination information, test results, and any other information obtained as a result of testing, will be treated in accordance with applicable laws and policies on confidentiality and privacy.
PROCEDURES
Overview and General Information
All Grand Valley State University students and employees must be fully vaccinated or have received an approved exemption or temporary postponement upon employment or attendance.

To be fully vaccinated one must:
- Obtain the first dose of a two-dose vaccine no later than the first day of work or on campus; and the second dose no later than what is recommended by the Centers for Disease Control & Prevention (CDC), or
- Obtain one dose of a single-dose vaccine no later than the first day of work or on campus.

You will be considered fully vaccinated two weeks after receiving the requisite number of doses of a COVID-19 vaccine. You will be considered partially vaccinated if you have received only one dose of a two-dose vaccine.

Vaccines are available now from health care providers, pharmacies, and local health departments.

Vaccination Status and Acceptable Forms of Proof of Vaccination
All vaccinated individuals are required to provide proof of COVID-19 vaccination, regardless of where they received vaccination. Proof of vaccination status can be submitted via the online self-assessment or Med+Proctor.

Acceptable proof of vaccination status:
1. The record of immunization from a health care provider or pharmacy;
2. A copy of the COVID-19 Vaccination Record Card;
3. A copy of medical records documenting the vaccination;
4. A copy of immunization records from a public health, state, or tribal immunization information system;
5. A copy of any other official documentation that contains the type of vaccine administered, date(s) of administration, and the name of the healthcare professional(s) or clinic site(s) administering the vaccine(s).

Proof of vaccination generally should include the individual’s name, the type of vaccine administered, date(s) of administration, and the name of the healthcare professional(s) or clinic site(s) that administered the vaccine. In some cases, state immunization records may not include one or more of these data fields, such as clinic site; in those circumstances Grand Valley State University will still accept the state immunization record as acceptable proof of vaccination.

If you lost your COVID-19 vaccination record, the Michigan Department of Health and Human Services (MDHHS) has launched the Michigan Immunization Portal. Michiganders ages 18 and older with immunization records posted in the Michigan Care Improvement Registry (MCIR) will be able to locate their own record online and download, save or print this information. Users must create a MIlogin account and upload a valid government issued photo ID, such as a driver's license, state ID or passport. There is no cost to access the portal.

All students and employees are required to inform Grand Valley State University of their vaccination status. The following table outlines the requirements for submitting vaccination status documentation.

See Table Below:

**Paid Time Off to receive a COVID-19 Vaccination**

**Employees** may take up to four hours of paid time per dose for travel to the vaccination site, receipt of a vaccination, and return to work for a maximum of eight hours of paid time for employees receiving two doses. Employees who take longer than four hours to get one dose of the vaccine must send their supervisor an email documenting the reason for the additional time. Additional time requested will be granted, if reasonable, but will be unpaid; employees can elect to use accrued leave, e.g., sick leave, to cover the additional time. If an employee is vaccinated outside of their approved duty time they will not be compensated.

Employees may utilize Emergency Paid Sick Leave (EPSL) immediately following each dose if they have side effects from the COVID-19 vaccination that prevent them from working. Usage of this leave time is subject to availability.

**Employee Notification of COVID-19 and Removal from the Workplace**

Grand Valley State University will require employees to promptly notify their supervisor when they have tested positive for COVID-19 or have been diagnosed with COVID-19 by a licensed health care provider.

**Medical Removal from the Workplace**

Grand Valley State University has implemented a policy for keeping COVID-19 positive employees away from the workplace in certain circumstances. Grand Valley State University will immediately require an employee to leave the workplace if they have received a positive COVID-19 test or have been diagnosed with COVID-19 by a licensed health care provider (i.e., immediately send them home or to seek medical care, as appropriate).

**Return to Work Criteria**

For any employee removed because they are COVID-19 positive, Grand Valley State University will follow CDC guidance for isolation.

Asymptomatic employees, students or volunteers may return to work once 10 days have passed since the positive test. Symptomatic employees, students or volunteers may return to work after all of the following are true:
- At least 10 days have passed since symptoms first appeared, and
- At least 24 hours have passed with no fever without fever-reducing medication, and
- Other symptoms of COVID-19 are improving (loss of taste and smell may persist for weeks or months and need not delay the end of isolation).

*Note: The above may be updated as CDC isolation guidance is updated.

If an employee has severe COVID-19 or an immune disease, Grand Valley State University will follow the guidance of a licensed health care provider regarding return to work.

If a student or employee tests positive for COVID-19, they must record the positive result in their online self-assessment, which will detail next steps and prompt contact by a health professional.

If a GVSU employee, student or volunteer has a positive antigen test but doesn't have any symptoms or known exposure, they may choose to have a confirmatory COVID-19 nucleic acid amplification test (NAAT), which is also known as a PCR test. They will need to isolate until they receive negative results.

If the PCR test is negative, they may return to work, class, or campus after they have a negative PCR test. They need to remain isolated until they receive those results and report them to the GVSU COVID Assessment Team who will release them from isolation. If the PCR test is positive, they will be required to complete the remainder of their isolation as noted previously.

**COVID-19 Testing**

Students who receive an approved exemption to the vaccine requirement policy are required to test each week at the location of their choice. Free on-campus testing is available. These students will receive an email reminder each week with the testing schedule. Students must upload results each week to their online self-assessment.

If a student doesn't get tested at an on-campus testing site, the student will need to test at a CLIA certified lab and will need to upload those testing results to the self-assessment. The documentation must be from a lab, pharmacy, health portal, or health care provider and must include: the name of the patient, date of test, results of test, type of test, name of testing facility, address, phone number. We will not accept verbal reports of tests administered elsewhere. We will not accept at-home tests for weekly testing.

If a student does not provide documentation of a COVID-19 test result as required by this policy, they will be subject to discipline per the GVSU Code of Conduct.

If an employee covered by this policy is not fully vaccinated (e.g., if they are granted an exemption from the mandatory vaccination requirement), the employee will be required to comply with this policy for testing.

Employees who report to the workplace at least once every seven days:

(A) Must be tested for COVID-19 at least once every seven days; and

(B) If testing somewhere different than a GVSU site, one must upload test results weekly to the self-assessment or Med+Proctor at least once every seven days.

Any employee who does not report to the workplace during a period of seven or more days (e.g., if they were teleworking for two weeks prior to reporting to the workplace):

(A) Must be tested for COVID-19 within seven days prior to returning to the workplace; and

(B) Must provide documentation of that test result to the GVSU self-assessment or Med+Proctor upon return to the workplace.

If an employee does not provide documentation of a COVID-19 test result as required by this policy, they will be subject to discipline including separation from the university.

Employees who have received a positive COVID-19 test, or have been diagnosed with COVID-19 by a licensed health care provider, are not required to undergo weekly COVID-19 testing for 90 days following the
date of their positive test or diagnosis unless they develop symptoms.

Employees who receive an approved exemption to the vaccine requirement policy are required to test each week at the location of their choice. Free on-campus testing is available. These employees will receive an email reminder each week with the testing schedule. Employees must upload results each week to their online self-assessment.

If an employee doesn’t get tested at an on-campus testing site, they will need to test at a CLIA certified lab and will need to upload those testing results to the self-assessment. The documentation must be from a lab, pharmacy, health portal, or healthcare provider and must include: the name of the patient, date of test, results of test, type of test, name of testing facility, address, phone number. We will not accept verbal reports of tests administered elsewhere. We will not accept at-home tests for weekly testing.

Face Coverings

The need to wear a face covering is tied to the Grand Valley State University’s alert level system, which considers several factors including community spread.

Employees and students are responsible for supplying their own face coverings. Face coverings must cover the mouth and nose and fit snugly against the sides of the face. A face covering is defined as having two layers of tightly woven, washable and breathable fabric or a medical procedure mask may be used or an N-95 mask without a valve.

The following are exemptions to Grand Valley State University’s requirements for face coverings:

1. When alone in a room with floor to ceiling walls and a closed door.
2. For a limited time, while eating or drinking in an area shared by others or for identification purposes in compliance with safety and security requirements.
3. When wearing a respirator or face mask.
4. Where Grand Valley State University has determined that the use of face coverings is infeasible or creates a greater hazard (e.g., when it is important to see a person’s mouth for reasons related to their job duties, when the work requires the use of the employee’s uncovered mouth, or when the use of a face covering presents a risk of serious injury or death to the employee).

Failure to comply with the face covering policy is subject to conduct violation for students and policy violation for employees.

New Hires:

All new employees are required to comply with the vaccination requirements outlined in this policy as soon as practicable and as a condition of employment. Potential candidates for employment will be notified of the requirements of this policy prior to the start of employment.

New Students/Learners:

All new students/learners are required to comply with the vaccination requirements outlined in this policy prior to the first day of class. All prospective students/learners will be notified of the requirements of this policy prior to their class start day.

TABLES

Vaccination Status Documentation

<table>
<thead>
<tr>
<th>Vaccination Status</th>
<th>Instructions</th>
<th>Deadline</th>
</tr>
</thead>
<tbody>
<tr>
<td>Individuals who are fully vaccinated.</td>
<td>Submit proof of vaccination that indicates full vaccination. This should be done on your online self-assessment.</td>
<td>Before first day of work or before coming to campus</td>
</tr>
<tr>
<td>Individuals who are partially vaccinated (i.e., one dose of a two dose vaccine series).</td>
<td>Submit proof of vaccination that indicates when the first dose of vaccination was received, followed by proof of the second dose when it is obtained. This should be done on your online self-assessment.</td>
<td>Before first day of work or before coming to campus</td>
</tr>
</tbody>
</table>

FACULTY ACADEMIC POLICIES - CURRICULUM

SG 2.01

Date of Last Update:

November 20, 2019

Approved By:

University Academic Senate / Provost

Responsible Office:

Provost Office

POLICY STATEMENT

A. University Curriculum Committee Procedures and Policies for Curriculum Development and Review

1. College Curriculum Committees (CCC) and the University Curriculum Committee (UCC) conduct their business during the regular academic year. Course and program proposals may be submitted via the online curriculum development system at any time during the academic year. Proposals intended for publication in the next edition of the Catalog should be submitted as soon as possible to allow time for review and any revisions.

2. Curriculum development is the responsibility of regular faculty. Adjunct faculty (as defined in Board of Trustees’ Policies BOT 4.3.0) are encouraged to participate in unit-level discussions of curricular actions, but are not responsible for curricular proposals. Visiting instructors and part-time adjunct instructors may not author curriculum proposals. Affiliate faculty may author curriculum proposals with unit level approval.

3. The Graduate Council Curriculum Committee will review and approve or not approve all graduate-related proposals in a manner similar to the review that the General Education Committee (GEC) conducts for all General Education issues. After approval by the GCC and the Graduate Council, UCC will review the proposal.

4. If a curricular proposal involves significant budgetary implications, UCC may consult with the Faculty Salary and Budget Committee (FSBC) for their assessment of the proposed budget impact.

5. Proposals which move the required hours of the major above or below the stated ranges of the various degrees will require strong justification.

6. Appeals of GEC decisions should be made to UCC.

B. UCC Curricular Procedures

All curriculum proposals require action by UCC prior to approval by the Provost. Curriculum proposals undergo review by several groups or individuals before final approval. Possible reviewers include the Library, Information Technology, Graduate Council, Online Education Council, Unit Head, College Curriculum Committee, Academic Dean, Graduate Dean, General Education Committee, University Curriculum
Committee, and the Provost. The precise set of review steps through the online curriculum system is determined by the curricular action being proposed. In general, simple proposals require less review than more complex proposals. A complete list of curricular actions and their pathways can be found on the University Curriculum Committee page under Curriculum Development Information.

1. All new course proposals, program change proposals, and changes to existing courses except spelling, grammar, and punctuation changes must be submitted via the online curriculum development system.

   a. If the content of a course is unchanged, and the request is to change solely the delivery of an existing course to an online or hybrid format, the faculty member and involved unit head will seek recommendation only from the Online Education Council, the Dean of the submitting unit, and the Provost’s Office. If the Council recommends for non-approval, the proposer may contact the Assistant Vice-President for Academic Affairs.

   b. If the course/program is new in content and proposes an online or hybrid format, or if an existing program seeks to change to a fully online program, the current curricular procedures must be followed, with the addition of seeking the recommendation of the Online Education Council via the online curricular system.

E. Course Proposals

1. Units should be sensitive to the cost and space implications, as well as staffing needs of a course proposal. The Curriculum Resource Statement attached to course proposals should be given careful consideration and completed accurately. Proposals that require additional staff, equipment, space, supplies that have not been committed for by the appropriate administrative offices may be rejected.

2. Units should be sensitive to the impact that new courses, dropped courses, or course changes have on other courses and other programs. The Course Change Proposal and the New Course Proposal require that all unit heads in the affected courses respond in writing, even if they see no problems with the proposal. The CCECs will judge overlap/duplication within a college. Although no rigid formula or guidelines can be set for this, CCECs are advised to take a conservative approach. If significant overlap is found between a proposed course and existing courses, the proposed course or course change should be rejected.

3. Uniform Course Numbering System (Approved 4/14/06 by UAS)

   a. Refer to the Uniform Course Numbering Guidelines Table

   b. Reserved Undergraduate Course Numbers:

   For the four categories listed below, these numbers are reserved for exclusive use for the purposes designated. A unit may not use these numbers for any other courses. A unit may, if it has compelling reasons, choose to list one of these courses with a number other than one of the reserved numbers, or may use additional numbers for these courses (a two-semester internship, for example, would require another number besides 490).

   i. The numbers 180, 280, 380, and 480 are reserved for use only as a special topics course.

   ii. The numbers 399 and 499 are reserved for use only as independent study and research courses.

   iii. The number 490 is reserved for use only as an internship or practicum course.

   iv. The number 495 is reserved for use only as a Capstone course.

   300- and 400-level courses should be justified by 100- and 200-level prerequisites or a course content/approach that clearly indicates it is not a beginning level course.

   c. Reserved Graduate Course Numbers:

   The following graduate-level course numbers listed below are reserved for the purposes indicated:

   i. The numbers 680 and 780 are to be used for graduate special topics courses.

   ii. The numbers 690 and 790 are to be used for graduate research preparation courses.

   iii. The numbers 693 and 793 are to be used for graduate project courses.

   iv. The numbers 695 and 795 are to be used for graduate thesis/dissertation courses.

   v. The numbers 696 and 796 are to be used for graduate thesis/dissertation continuous enrollment courses.

   vi. The numbers 699 and 799 are to be used for graduate independent study courses.

4. Special Topics Course Policies

   a. A special topics course is intended to allow a unit to offer a course on a topic that is not covered in a regular course in any program at GVSU.

   b. A special topics course may be offered for various reasons. For example a new visiting faculty bringing new expertise to a unit, student interest in a topic increasing enough to temporarily offer a course on a topic, a unit wishing to pilot test a reconfiguration of an existing course, a unit wanting to judge the potential interest in a given topic before proposing a new course.

   c. A unit may offer a given special topic a maximum of 3 times. If a unit wishes to schedule the topic for the third time, then it must create and submit a New Course Proposal in the online curriculum development system concurrent with the third offering.

5. Syllabus of Record

   A syllabus of record must be attached to new course and course change proposals. A syllabus of record (SOR) is the official record of minimum course content – that is, content that must be present in every section of a course. In essence, it describes a department’s vision of what should be taught, and (to a lesser extent) how it should be taught. Although all SOR must contain certain items of information (noted
below), some of them will be more detailed than others, depending on the course. For example, if a course needs a high degree of flexibility in its various offerings, then the SOR might be somewhat vague. If another course needs to meet rigid accreditation standards, then the SOR might be extremely detailed. A detailed description of the requirements for an SOR can be found on UCC’s website.

The SOR serves four audiences. (1) Faculty can use the SOR as a blueprint for designing course syllabi. Faculty are free to add to the content in the SOR, but the activities, objectives, and methods of evaluation in the SOR must be maintained. (2) Students can use the SOR to determine, before they register, the skills they can expect to achieve upon successful completion of a course. (3) The SOR provides a standard format that other schools can use to determine transfer credit. (4) Faculty governance committees use the SOR when evaluating course-change and new course proposals.

6. Course Grades

The Academic Policies and Regulations section of the catalog describes various grade types available for a course. Unless otherwise noted below, all courses are graded with a letter grade A through F, and I (Incomplete). In addition, students may choose to permanently withdraw from a course (resulting in a W (Withdrawal) grade), or to audit a course (resulting in an AU (Audit) grade).

Units that want to assign the grade types Credit (CR), No Credit (NC), or Deferred (X) must seek approval through the curriculum review process.

The grades P (Pass), PD (Pass with Distinction), W (Withdrawal), and NC (No Credit) are the only grades that may be assigned as the final grade for a graduate thesis or dissertation.

The grade of R (Research) is the only grade that may be assigned each semester to a continuous enrollment course for a graduate thesis or dissertation (xxx-696, xxx-796).

<table>
<thead>
<tr>
<th>Category</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>000-099</td>
<td>Credit in these courses does not apply to the minimum 120 credits required for the baccalaureate degree.</td>
</tr>
<tr>
<td>100-199</td>
<td>Introductory courses, generally without prerequisites, primarily for first year undergraduate students.</td>
</tr>
<tr>
<td>200-299</td>
<td>Courses primarily for second-year undergraduate students.</td>
</tr>
<tr>
<td>300-399</td>
<td>Courses primarily for third- and fourth-year undergraduate students.</td>
</tr>
<tr>
<td>400-499</td>
<td>Advanced courses primarily for fourth-year undergraduate students.</td>
</tr>
<tr>
<td>500-599</td>
<td>Courses primarily for first-year graduate students or as prerequisites for 600- and 700-level courses.</td>
</tr>
<tr>
<td>600-699</td>
<td>Courses primarily for students admissible to graduate programs.</td>
</tr>
<tr>
<td>700-799</td>
<td>Courses primarily for advanced graduate students in post-masters and doctoral programs.</td>
</tr>
</tbody>
</table>

FACULTY COMPENSATION SCHEDULE 2019-20

SG 3.09

Date of Last Update:
October 22, 2019

Approved By:
University Academic Senate / Provost

Responsible Office:
Human Resources
**POLICY**

**Faculty Compensation Schedule**  [Provided by Human Resources]

Refer to the Faculty Compensation Schedule table.

The objective of the University's compensation program is to attract, retain, motivate and reward faculty fairly, equitably and competitively. The University is committed to fair and equitable compensation that compliments the responsibilities of the position and the performance of the incumbents.

Compensation rates are set based on market data for similar positions within regional and/or national markets with sensitivity to internal equity. Faculty positions have a minimum range only. Market averages are provided annually to each appointing officer for each discipline by rank.

**TABLES**

Faculty Minimum Compensation Schedule [based on 1.0 FTE]

<table>
<thead>
<tr>
<th>Rank</th>
<th>Salary 2019</th>
</tr>
</thead>
<tbody>
<tr>
<td>Professor</td>
<td>$80,400</td>
</tr>
<tr>
<td>Associate Professor</td>
<td>$64,400</td>
</tr>
<tr>
<td>Assistant Professor</td>
<td>$54,200</td>
</tr>
<tr>
<td>Instructor</td>
<td>$44,900</td>
</tr>
<tr>
<td>Librarian</td>
<td>$49,000</td>
</tr>
</tbody>
</table>

**UNIT HEAD RESPONSIBILITIES**

SG 6.01  

**Date of Last Update:** January 09, 2020  

**Approved By:**  
- University Academic Senate / Provost

**Responsible Office:** Provost Office

**POLICY STATEMENT**  

**SG 6.01  Unit Head Responsibilities**

Policies relating to the appointment, term and evaluation of unit heads are covered in a document approved by UAS February 11, 1983 with a revised version approved by UAS on April 10, 2009. Among the provisions of this document are the following:

A. Each academic unit shall have a unit head; this person will be designated as chairperson or director based on the nature of the unit involved.

B. The authority to appoint the unit head is vested in the dean of the college. When there is a vacancy in the position of unit head, the faculty of the unit shall meet, and, after deliberating among themselves and in consultation with the dean, shall recommend a nominee or nominees for appointment as unit head. Normally, the dean appoints the nominee recommended by the unit. Should the dean appoint a unit head who has not been recommended by the unit faculty, the dean shall communicate the rationale to the unit. If the unit is not able to make a nomination, the dean shall make an appointment.

C. Normally the appointment will be for a three-year period. A unit head may be reappointed when eligible.

D. There shall be a formal evaluation of the unit head every three years, resulting in a written statement. This report will be shared by the dean, the unit head, and the faculty involved, and be restricted to these persons. This evaluation shall be carried out by the associated unit faculty and the administrators within University, college, and unit personnel guidelines. This evaluation shall be based on the performance of the unit head in carrying out the duties and responsibilities of the position. This evaluation neither precludes nor takes the place of the usual faculty evaluation for tenure, promotion, or contract renewal.

E. All appointees shall have faculty status, or have the academic credentials to be awarded faculty status, with the associated responsibilities and benefits of faculty rank. Characteristically, unit heads will be senior faculty, with tenure, chosen on the basis of their ability as teachers, their experience in their discipline, and their leadership capabilities.

On March 30, 1983, ECS also adopted a report on the "Duties and Authority of the Unit Head" which outlines the responsibilities, authority, and interaction of the dean and the unit head. "The dean of the college has the administrative authority and responsibility for all academic aspects of the college. ... The dean can and usually does delegate some responsibility and authority to unit heads or coordinators." Areas the unit heads deal with include but are not limited to the following: fiscal matters; personnel matters; teaching; scholarly, & research activities; professional conduct matters; faculty absences; office assignments; meetings & communications; secretarial & technical services; faculty assignments; adjunct faculty; curriculum; library resources; course scheduling; student relations & advising; student help; equipment, facilities, & records.

**UNDERGRADUATE STUDENT EMPLOYEES**

SG 6.02  

**Date of Last Update:** January 07, 2019  

**Approved By:**  
- University Academic Senate / Provost

**Responsible Office:** Provost Office

**POLICY**

**Undergraduate Student Employees**

Full-time students enrolled at the University may be hired as student employees. All units should have a budget allocation for student employees. Wages paid to a student employee must follow the wage schedule available in the Student Employment Office. In addition, when classes are in session students without work-study are not permitted to work in excess of 25 hours per week. Students with work-study are restricted to the number of hours according to their work-study award. Work-study and regular student employees must be paid an hourly rate and hours worked reported in the University's electronic time keeping system. Faculty members should communicate their needs for student employees to their unit heads. All student employees must complete the online training session with their supervisor to work as a student employee of the University.

Student employees may assist in departmental operations, tutoring, and laboratory and studio sessions under the direct supervision of appropriate staff and faculty. Student employees may also assist in the evaluation of student exams and assignments, provided that they have been approved by the Dean of the unit in which they work and they have completed FERPA training offered by the university. The scope of their assistance, including questions on access to gradebooks, are addressed in the FERPA training. Student employees are expressly barred from providing independent instruction in the classroom. They are also barred from administering or proctoring exams and assignments without faculty or approved staff supervision. Student employees may not be exposed to confidential personnel matters or academic records.
that are irrelevant to their work assignment.

This policy does not apply to graduate student assistants.

**DISSEMINATION POLICY FOR SCHOLARLY WORK WITH GRADUATE STUDENTS**

SG 6.04

**Date of Last Update:**
May 13, 2019

**Approved By:**
- University Academic Senate / Provost

**Responsible Office:**
Provost Office

**POLICY STATEMENT**

1. Each graduate program is required to have a published dissemination policy for scholarly work with graduate students that is approved by the Dean of the Graduate School.

2. The policy should adhere to the principles of the BOT Policy 4.1.10.2 Rights in Published Material, Inventions and Secret Processes and disciplinary norms for dissemination.

**HONORARY NAMING OF A PORTION OF A GVSU FACILITY POLICY**

SLT 2.1

**Date of Last Update:**
June 30, 2014

**Approved By:**
- Senior Leadership Team

**Responsible Office:**
Office of the President

**POLICY STATEMENT**

Only in exceptional circumstances where a former member of the Grand Valley State University community has made an extraordinary, significant, positive, contribution will a portion of a facility be named for such a person. A portion of a facility may be a classroom, laboratory, conference room or similar space.

**PROCEDURES**

- Nominations must be made in writing to the appropriate Vice President. With the support of the Vice President, the nomination will be forwarded to the Executive Associate to the President. The Executive Associate to the President will bring the nomination to the Senior Leadership Team who will review and give input to the President of the University. The President of the University will make the final determination on the naming of portions of facilities.
- A nominee will typically have been employed by Grand Valley State University for a minimum of 20 years. In special circumstances the 20-year minimum may be waived.
- The nominee must not be employed by the University at the time of the nomination. Nominations will be accepted only after a one-year waiting period following the end of the person’s service.
- The nomination must include specific examples of the nominee’s contributions to the University.
- The names of portions of facilities honoring former members of the University community shall be considered permanent as long as that portion of the facility exists or its purpose has not changed or the President subsequently determines otherwise.
- It is generally understood that entire University buildings or facilities will not be named in honor of a former member of the University community. (Please see Grand Valley State University Board of Trustees’ Policies BOT 6.13.3, Naming of Buildings.)
- Consideration for the naming of academic programs, centers, etc. will follow the same procedure as outlined above.

The President of the University will consider nominations in consultation with others at the university as appropriate. The final decision on the naming of a portion of a University facility will rest with the President of the University. The Executive Associate to the President will communicate the President’s decision to the requesting party and the appropriate Vice President.

**POLICY ON POLICIES**

SLT 2.2

**Date of Last Update:**
January 11, 2021

**Approved By:**
- Senior Leadership Team

**Responsible Office:**
Office of the President

**POLICY STATEMENT**

The Grand Valley State University community will have access to clearly stated university-wide administrative policies, to be published as Grand Valley State University Policies on the University Web site. These policies will be:

- Formally approved and kept current
- Accessible to all parties in a centralized Grand Valley State University Policies website
- Communicated to operating units in a timely manner

**PROCEDURES**

A Policy is characterized by the following criteria:

- It is a governing principle that provides specific rules and provisions for implementing Board policies and setting expectations for the administrative operation of the University.
- It has institution-wide application.
- It enhances the University’s mission and connects it to individual conduct.
- It helps ensure compliance with applicable laws and regulations and Grand Valley State University Board of Trustees policies, promotes operational efficiencies and reduces institutional risk.
- It may change infrequently and sets a course for the foreseeable future.
- It is approved by the President and/or the Senior Leadership Team.

There are many department-level policies that apply only to those within a department and do not meet all of the above criteria. Therefore, they are not considered to be Grand Valley State University Board of Trustees’ Policies, and are not governed by this document. However, these policies may not conflict with the Board of Trustees’ Policies or Senior Leadership Team (SLT) Policies.

The Grand Valley State University Board of Trustees’ Policies will not include: curricular requirements for degrees, the basic terms and conditions of employment subject to collective bargaining, Academic & Student Affairs Policies as published in the Faculty Handbook and Student Code, Catalog, or division-wide policies (approved by a Vice President in consultation with the President).

**Additional Policy Criteria for Vice Presidential Review**

A Vice President or the Provost may use the following criteria in addition to the above policy definition when determining whether a proposed policy is suitable for consideration as a Grand Valley State University
Policy:

I. People
   a. Does it impact inclusion or equity?
   b. Who will be affected; how many will be affected?
      i. Students
      ii. Faculty
      iii. Staff
      iv. External or internal audience
      v. More than one department/division
      vi. More than one campus

II. Money
   a. Is there a source of funding?
   b. Does it involve an expense or provide revenue?
      i. One-time expense
      ii. On-going expense
      iii. Fee or refund involved

III. Space
   a. Does it affect or commit a use of space?
   b. Does it involve the use of University communication systems?

Policy Templates - Word and PDF Versions
Download Policy Flow Chart

Images

Senior Leadership Team
Policy Review Flow Chart

Policy Originator drafts new or revised policy and presents it to their Vice President
Vice President submits to SLT members and to Executive Assistant to the President 7 days before SLT meeting and places on SLT agenda
SLT discussion of new or proposed revisions to policy
Published on policy website

January 8, 2021
ART COLLECTION MAINTENANCE AND CARE POLICY

SLT 3.1

Date of Last Update:
December 05, 2014

Approved By:
Senior Leadership Team

Responsible Office:
Art Gallery Department

POLICY STATEMENT

The Grand Valley State University art collection is made up of paintings, drawings, prints, sculptures, ceramics, textiles, and other works of art as defined by the Art Gallery Department but does NOT include plaques, signage, degrees, awards, and other similar items. The art collection is displayed on every University campus, and in nearly every University facility and building. Faculty and staff members, contractors, students, and other people with duties/responsibilities requiring them to come into contact with the University art collection are subject to the following policies and procedures to ensure that the University's legal, ethical, and fiduciary responsibilities for the safekeeping of these assets are maintained. For more details on all other internal art procedures, see the Art Gallery Collections Policy on the Art Gallery's website or contact the University Art Gallery at (616) 331–3538.

PROCEDURES

Maintenance and Care

All art at the University is to be cleaned, handled, installed, de-installed, and transported exclusively by the staff of the University Art Gallery. Other than representatives of the University Art Gallery, individuals must have written permission from the Director of Galleries and Collections, Assistant Director, or the Curator of Collections Management before handling or moving any pieces of the art collection.

Building Construction/Renovation Projects

The Director of Galleries and Collections or designee will call a meeting in December of each year with representatives from Facilities Services, Facilities Planning, and Auxiliary Services (Housing) for the purpose of identifying upcoming projects that will affect the art collection.

A follow-up meeting, called by Director of Galleries and Collections or designee, will take place in April of the next year for updating project status and will include a timeline for each project identified. Facilities Services Project Managers will be identified and included on the project lists.
The Director of Galleries and Collections, or designee, will attend the bi-monthly Facilities Project Status meetings to be kept up to date on all ongoing university construction projects and timelines.

The Art Gallery office will be alerted by a representative of Facilities Planning and Facilities Services as soon as reasonably possible for all, including last-minute, building project additions, deletions or changes. This includes a preferred art removal lead-time notice of no less than two weeks. This notice will be made directly by contacting the Art Gallery office.

The Art Gallery office will be contacted immediately in the event of an emergency (flood, fire, etc.) via a phone call if any piece of the art collection is in jeopardy of damage or destruction. The Art Gallery staff will maintain a disaster and emergency preparedness plan (under development) for its collections and will make it accessible on its website.

**Use and Access**

The University art collection shall be accessible for research and study by responsible investigators, subject to procedures necessary to safeguard the objects, the space in which they are located, and to restrictions imposed by limitations of exhibition requirement, availability of study space and facilities, and availability of appropriate curatorial staff as determined by the curator/manager in charge of the collection. The entire University art collection is made digitally accessible through an online searchable database and mobile device applications. Information about both may be found online at the Art Gallery website, [www.gvsu.edu/artgallery](http://www.gvsu.edu/artgallery).

---

**BRIDGE FUND REQUEST POLICY**

**SLT 3.2**

**Date of Last Update:**
July 31, 2008

**Approved By:**
Senior Leadership Team

**Responsible Office:**
Center for Scholarly and Creative Excellence

**POLICY STATEMENT**

Grand Valley State University does not encourage creating nor approving a Request to Add a New Fund (RANF) and establishing a FOAP prior to the official receipt of a fully executed award. A fully executed award is an externally sponsored agreement (grant, contract, or cooperative agreement) that is signed by the duly authorized official of both the external sponsor and Grand Valley State University. It is important to note that any expenses incurred prior to an award and without the appropriate approvals place the University at risk.

However, in some extraordinary situations, effective project management or research necessitates incurring expenses prior to the receipt of a fully executed award. In such cases, Principal Investigators may request a “bridge fund” be established in anticipation of the fully executed award. Principal Investigators should contact the Office of Sponsored Programs (OSP) to initiate a Bridge Fund Request.

**PROCEDURES**

In an effort to minimize the risk to the University, the Office of Sponsored Programs will verify with the sponsor the allowability of pre-award costs, the anticipated award amount, and the period of performance. Once OSP receives verification in writing from the sponsor’s grants or contracts officer, the responsible Principal Investigator, Chair/Unit Head, Dean, and University Authorizing Official are all required to agree in writing to proceed with the expenditure of University funds in anticipation of the award. This agreement will be prepared by OSP in consultation with the Office of Business and Finance. It will be the responsibility of the Principal Investigator to obtain the signatures of the appropriate Chair/Unit Head, University Authorizing Official, and Executive Officer (Office of the Provost).

The Bridge Fund Request will be processed in a manner similar to the Request to Add A New Fund. However, attached to the Bridge Fund Request will be:

1. Written verification from the sponsor (signed by the sponsor Grants/Contracts Officer) received by OSP
2. Bridge Fund Request Agreement signed by the Chair/Unit Head, Dean, and University Authorizing Official
3. A copy of the proposal application, narrative & budget

A Bridge Fund Request shall not exceed 15% of the anticipated GVSU award amount. The maximum allowable amount requested will be verified by OSP in consultation with the Office of Business & Finance. If the award is for multiple years, the Bridge Fund Request shall not exceed 15% of the anticipated GVSU award amount for the first year of the funding. Upon the official receipt of the fully executed award, the bridge fund transition into the official FOAP for the project.

Should funding not be received from the sponsor (e.g. the award start date is delayed, or the costs are determined to be unallowable, etc.) coverage of costs incurred on the project becomes the responsibility of the Department Chair/Unit Head having initiated and signed the initial Bridge Fund Request form.

This policy was effective August 1, 2007 and will be revisited for any revisions, changes, or sunset within one year of its effective date.

**Contact Office of Sponsored Programs**

Phone: (616) 331-6826

Website: [http://gvsu.edu/osp](http://gvsu.edu/osp)

---

**CONFLICT OF INTEREST IN RESEARCH POLICY**

**SLT 3.4**

**Date of Last Update:**
February 14, 2022

**Approved By:**
Senior Leadership Team

**Responsible Office:**
Center for Scholarly and Creative Excellence

**POLICY STATEMENT**

The University is committed to transparency, integrity of scholarship, and independence as it pursues its mission to create, preserve, and disseminate knowledge through teaching, research, and community service. Accordingly, Grand Valley State University allows and encourages faculty and staff to engage in outside activities and relationships that enhance the mission of the University.

External sponsors, whether public or private, regularly institute conflict of interest disclosure requirements that the University must abide by in order to accept the funds and participate in the activity. The purpose of such requirements is to promote objectivity in research and to provide a reasonable expectation that the design, conduct, and reporting of sponsored activities will be free from bias arising from conflicting interests of participating personnel.

All GVSU personnel who meet the definition of “Investigator” on externally sponsored research applications, or serve as an “Investigator” on externally sponsored agreements, administered through the Center for Scholarly and Creative Excellence (CSCE) are required to submit a conflict of interest and commitment disclosure to the office of Research Compliance and Integrity. An “Investigator” is defined as any person, regardless of title or position, who has independent responsibility for some aspect of the design, conduct, or reporting of the research, scholarly, or educational activity. Investigators include Principal Investigators, Co-Investigators, and all other individuals identified in the grant application, grant budget, progress report, or any other report submitted to the sponsor by GVSU, if the individual contributes to the development or execution of a project in a substantive, measurable way, whether or not they request compensation.

The required disclosure by Investigators must be submitted prior to entering into an agreement or submitting a proposal for award to an external sponsor, and at least annually while the agreement/award is active. An annual disclosure is required even if no conflicts exist. An updated disclosure is also required within 30 days of any new conflict arising or an existing conflict ending or changing in a material way. The Office of Research Compliance and Integrity (ORCI) shall be responsible for reviewing the disclosures and, in conjunction with the Research Integrity Officer (RIO), developing and instituting an adequate mitigation plan for the management of any potential conflicts related to sponsored research and agreements administered by the CSCE. The RIO serves as the designated institutional official and has the final authority to approve and oversee mitigation plans.

GVSU personnel must also comply with the University’s Conflict of Interest Policy (SLT 10.1) and subsidiary policies, such as those in place with the Institutional Review Board (IRB) and the Institutional Animal Care and Use Committee (IACUC). Additionally, University personnel working on research funded by the Public Health Service (PHS) and/or other agencies that abide by PHS COIR regulations, are subject to
COPYRIGHT POLICY

SLT 3.5

Date of Last Update: May 06, 2015

Approved By:

Senior Leadership Team

Responsible Office: University Libraries

POLICY STATEMENT

The University Libraries are committed to following all applicable laws regarding copyright and other intellectual property. This policy outlines the role of the University Libraries in providing education, information, and support regarding copyright, in order to fulfill our mission of advancing intellectual growth and discovery at GVSU.

PROCEDURES

The University Libraries work to educate and support our students, faculty, and staff by serving as an information resource on copyright law as well as the rights of creators, owners, and users of copyrighted materials. We provide detailed resources for understanding and working with copyright through our copyright guide: http://www.gvsu.edu/library/copyright.

We also offer educational programming, individual consultations, and other services related to copyright issues. For more information on the copyright services we provide, or for support with a copyright issue, please contact a librarian at https://www.gvsu.edu/library/librarians.

The University Libraries offer education and information, but we do not enforce others' compliance with copyright law, nor do we provide legal advice. We can help faculty, students, and staff understand how copyright law works in general, and provide information on specific issues, but the final responsibility for ethical and legal use of copyrighted materials rests with the user. This responsibility extends to the use of technology provided by the Libraries, such as scanners and photocopiers.

We recommend that you contact the Division of Legal, Compliance & Risk Management.

The University Libraries work to educate and support our students, faculty, and staff by serving as an information resource on copyright law as well as the rights of creators, owners, and users of copyrighted materials. We provide detailed resources for understanding and working with copyright through our copyright guide: http://www.gvsu.edu/library/copyright.

We also offer educational programming, individual consultations, and other services related to copyright issues. For more information on the copyright services we provide, or for support with a copyright issue, please contact a librarian at https://www.gvsu.edu/library/librarians.

The University Libraries offer education and information, but we do not enforce others' compliance with copyright law, nor do we provide legal advice. We can help faculty, students, and staff understand how copyright law works in general, and provide information on specific issues, but the final responsibility for ethical and legal use of copyrighted materials rests with the user. This responsibility extends to the use of technology provided by the Libraries, such as scanners and photocopiers.

We recommend that you contact the Division of Legal, Compliance & Risk Management.

COPYRIGHT POLICY

SLT 3.5

Date of Last Update: May 06, 2015

Approved By:

Senior Leadership Team

Responsible Office: University Libraries

POLICY STATEMENT

The Grand Valley State University Libraries are committed to following all applicable laws regarding copyright and other intellectual property. This includes not only preserving the rights of creators and owners of copyright, but also supporting the rights of users of copyrighted material, including fair use and other exemptions from copyright. This policy outlines the role of the University Libraries in providing education, information, and support regarding copyright, in order to fulfill our mission of advancing intellectual growth and discovery at GVSU.

PROCEDURES

The University Libraries work to educate and support our students, faculty, and staff by serving as an information resource on copyright law as well as the rights of creators, owners, and users of copyrighted materials. We provide detailed resources for understanding and working with copyright through our copyright guide: http://www.gvsu.edu/library/copyright.

We also offer educational programming, individual consultations, and other services related to copyright issues. For more information on the copyright services we provide, or for support with a copyright issue, please contact a librarian at https://www.gvsu.edu/library/librarians.

The University Libraries offer education and information, but we do not enforce others' compliance with copyright law, nor do we provide legal advice. We can help faculty, students, and staff understand how copyright law works in general, and provide information on specific issues, but the final responsibility for ethical and legal use of copyrighted materials rests with the user. This responsibility extends to the use of technology provided by the Libraries, such as scanners and photocopiers.

The University Libraries do take responsibility for adhering to copyright law when using copyrighted materials in our mediated services, including course reserves and Document Delivery, and we make internal decisions accordingly. However, we cannot make decisions for other users; we can only provide information and education. For legal advice pertaining to copyright and other intellectual property issues, we recommend that you contact the Division of Legal, Compliance & Risk Management.

EXPORT CONTROL POLICY

SLT 3.6

Date of Last Update: April 24, 2019

Approved By:

Senior Leadership Team

Responsible Office: Center for Scholarly and Creative Excellence

POLICY STATEMENT

All personnel at Grand Valley State University, including faculty at all levels, staff, students, visiting scholars, and all other persons herein referred to as “GVSU Personnel” retained by or working at the University must comply with all U.S. export control laws and regulations while teaching, conducting research, or providing service activities at or on behalf of the University. No GVSU Personnel may engage in any export activity that is prohibited by the U.S. Department of Commerce, the U.S. Department of State, the U.S. Department of Treasury’s Office of Foreign Assets Control, or any other government agency that enforces export laws/regulations. Similarly, GVSU Personnel may not transfer any controlled item, including technology and technical data, to any foreign nationals inside or outside the United States territory without approved documentation.

Compliance with export control laws and regulations must be considered and if necessary achieved before engaging in science or technology-based research, executing contracts or other agreements, purchasing high-technology devices or software, or traveling internationally. GVSU Personnel are responsible for the following:

(i) Ensuring their educational, research, and other University activities are conducted properly and in compliance with export control regulations, all requirements of this policy, and any technology control data on which they are included;

(ii) Ensuring contracts and service agreements entered into on behalf of the University include the appropriate export control language;

(iii) Notifying the Office of Research Compliance and Integrity at least 30 days prior to traveling on behalf of the University to any of the following locations:

   (1) Embargoed and/or targeted sanctioned countries identified by the Export Administration Regulations and/or the Office of Foreign Assets Control;

   (2) Prohibited countries identified by the International Traffic in Arms Regulations.

The table below provides references to the applicable procedures to follow based upon the type of research/sponsored activity submission.

<table>
<thead>
<tr>
<th>Submission Type</th>
<th>Policy/Procedures</th>
<th>Responsible Office/Committee</th>
</tr>
</thead>
<tbody>
<tr>
<td>External funding applications and agreements administered by CSCE (i.e., sponsored program submissions, service agreements, testing agreements, material transfer agreements, data use agreements, research collaboration agreements, educational affiliation agreements, non-disclosure agreements)</td>
<td>CIRI Procedures; For Researcher Conflict of Interest, Institutional Review Board, Institutional Animal Care and Use Committee</td>
<td>Office of Research Compliance and Integrity</td>
</tr>
<tr>
<td>Institutional Review Board Protocol</td>
<td>IIRB Policy 330, Personal Conflict of Interest</td>
<td>Institutional Review Board</td>
</tr>
<tr>
<td>Institutional Animal Care and Use Committee Protocol</td>
<td>IACUC Policy 3.30, Personal Conflict of Interest</td>
<td>Institutional Animal Care and Use Committee</td>
</tr>
</tbody>
</table>

GVSU Conflicts of Interest and Commitment in Research & Sponsored Activities webpage, or contact the ORCI at 616-331-3197 or rci@gvsu.edu.
NON-AFFILIATE/GUEST USE OF LIBRARY RESOURCES AND COMPUTERS

SLT 3.9

Date of Last Update:
February 19, 2000

Approved By:

• Senior Leadership Team

Responsible Office:
University Libraries

POLICY STATEMENT

Grand Valley has developed this policy in cooperation with its libraries and library staff to outline the policy guest usage of written materials, books and documents housed within the library as well as library computer resources.

Tours

All requests for tours will be evaluated according to purpose, outcomes and facility availability. Scheduling is based on staff availability, the University academic calendar and activity within the library. Tours may be restricted during mid-term and final exam study periods.

General building tours may be requested by calling 616.331.3500.

Walk-in tours of the Mary Idema Pew Library Learning & Information Commons are offered throughout the year. No registration is necessary, and these tours are available on a first come, first served basis, and limited to fifteen per session. Inquire at the Service Desk upon arrival. Self-guided tour brochures are available at the Service Desk.

GVSU course specific tours of any of the University Libraries locations may be requested, please contact your subject librarian.

Library Resources

Borrowing of GVSU library items requires a valid GVSU ID, GVSU Alumni Card, or MelCat Visiting Patron status.

Computer Access

Guests must present a valid, government issued ID or other photo ID with additional proof of residence to library staff. Additionally, guest users will agree to abide by current GVSU computer use policies. Failure to do so will result in computer access privileges being revoked. Library staff will exercise discretion in limiting guest access in favor of GVSU students, faculty and staff. Accommodations will be made for those in need of accessing our government depository collections.

Room Reservations

The University Libraries is oriented toward the students, faculty and staff of Grand Valley State University. Room reservations are limited to GVSU affiliated individuals and require authentication.

Minor Guests

In accordance with section V of the Minors on Campus Policy (SLT 3.11), the Libraries are not considered a venue appropriate for unescorted or unsupervised minors. Authorized representatives may check out items for minors, and in doing so, assumes responsibility for the material.

In accordance with the Minors on Campus Policy section IV, minors who are enrolled in GVSU courses, have all privileges and responsibilities of students using the Libraries.

EXTERNALLY SPONSORED PROJECTS POLICY

SLT 3.11

Date of Last Update:
September 04, 2019

Approved By:

• Senior Leadership Team

Responsible Office:
Center for Scholarly and Creative Excellence

POLICY

This document establishes Grand Valley State University’s (University) official policy governing the administration of proposals, awards, contracts, and agreements for all externally sponsored projects. Externally Sponsored Projects do not include Purchasing Agreements or Philanthropic Gifts.

The purpose of this policy is to help ensure that all proposals and awards for externally sponsored projects conform to federal regulations, including the Office of Management and Budget 2 CFR 200—Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (a.k.a., the Uniform Guidance)—and are consistent with GVSU’s academic and business policies and sound fiscal practices.

POLICY STATEMENT

Only an Authorized Organizational Representative of the University may submit proposals to fund and/or otherwise support externally sponsored projects on behalf of the University.

In addition, an Authorized Organizational Representative may accept on behalf of the University any Externally Sponsored Project award resulting from such proposal submissions or other solicitation processes. The University will not normally accept awards received from outside sources without prior proposal approval as provided in this policy.

DEFINITIONS

Assistance Action: The main purpose of an assistance action is to transfer money, property, services, or anything of value to the recipient in order to accomplish a public purpose of support or stimulation. The agency must have legal authority to award assistance agreements for this purpose. Grants or cooperative agreements are used to award assistance funds.

Authorized Organizational Representative: An Authorized Organizational Representative is the Vice Provost for Research Administration and any University employee(s) to whom the Vice Provost for Research Administration has delegated oversight responsibility for the administration and management of Externally Sponsored Projects at the University. Only an Authorized Organizational Representative has the authority to submit proposals, accept awards, and sign contracts and agreements for Externally Sponsored Projects on behalf of the University.
Externally Sponsored Projects include all projects supported by way of grants and cooperative agreements (direct Assistance Actions); incoming or outgoing sub-recipient agreements or subawards (pass-through Assistance Actions); certain incoming or outgoing contracts (i.e., externally sponsored Procurement Actions), including direct contracts, service agreements, and consulting agreements, pass-through subcontracts and service agreements; and certain other agreements, including master collaboration agreements, material transfer agreements, and data-use agreements—whether funded or unfunded. Externally sponsored projects do not include Purchasing Agreements or Philanthropic Gifts.

Philanthropic Gift: A philanthropic gift is an instrument by which an outside donor voluntarily transfers money, services, or property from a donor to the University. There is no expectation of direct economic benefit or the provision of goods or services to the donor, although donors can place stipulations on gifts that direct the funds to the donors’ areas of interest. The absence of quid pro quo language helps define the charitable nature of this type of giving.

Procurement Action: The main purpose of a procurement action is to acquire property or services by purchase, lease, or barter for the use or direct benefit of the purchaser (whether the purchaser is the university purchasing from an outside entity or an outside entity purchasing services from the university). An agreement or contract is used as the legal instrument to award a Procurement Action.

Purchasing Agreement: An agreement entered into by the University through its Procurement Services Office and an outside vendor or supplier to purchase goods and/or services. Examples of non-sponsored purchasing agreements include software licenses, pricing agreements, equipment maintenance agreements, custodial and facilities services, landscaping services, and office supply-vendor agreements.

For more information about this policy and the procedures established to ensure compliance with it, please contact the Office of Sponsored Programs at 616-331-6626 or osp@gvsu.edu.

ALLOWABLE COST POLICY

SLT 3.11.1

Date of Last Update:
September 04, 2019

Approved By:
• Senior Leadership Team

Responsible Office:
Office of Sponsored Programs

POLICY

All costs proposed to be charged on externally sponsored projects (as defined at SLT 3.11. EXTERNALLY SPONSORED PROJECTS POLICY at Grand Valley State University (the University) must comply with the Federal cost principles prescribed in 2 CFR 200 Subpart E, §200.400; the policies of the sponsoring agency; the specific funding solicitation for which the cost is proposed; and all applicable policies of the University.

Specifically, in order to be deemed an allowable cost on such a project, the cost of any particular item must:
1. Be necessary and reasonable for the performance of the awarded project. That is, the project cannot be performed without the item and a reasonable and prudent person would incur the cost of the item under the circumstances prevailing at the time the decision was made to propose or incur the cost ($200.403-404).
2. Be fully allocable to the particular awarded project or be proportionally allocable to it and another cost objective according to the relative benefit derived ($200.405).
3. Be treated consistently. A cost may not be assigned to a sponsored project as a Direct Cost if any other cost incurred for the same purpose in like circumstances has been allocated to the awarded project as an Indirect Cost. University policies governing the treatment of costs must apply uniformly to both sponsored- and non-sponsored activities. Like expenses must be treated the same in like circumstances ($200.400(e)).

Such costs much also meet one of the following two criteria:
1. Be an item or category of cost that is not expressly disallowed by the federal government (guidance available at §200.420-475, General Provisions for Selected Items of Cost); or the sponsor (as documented in sponsor policy statements and in the applicable sponsor funding solicitation); or the University (as defined below under Unallowable Costs and documented in the Business and Finance Procedures and the University-Wide Policies); OR
2. Be an otherwise unallowable cost that is expressly allowed by the sponsor, whether as stipulated in an award or proposal solicitation document or as documented in a prior written approval request duly executed by an Authorized Organizational Representative of the University. If an expense does not meet the above criteria, it must not be charged to an externally sponsored project at the University.

DEFINITIONS

Direct Costs: are expenses that are specifically associated with a particular externally sponsored project that can be directly assigned to such activities with a high degree of accuracy.

Indirect Costs: also referred to as Facilities & Administration (F&A) or overhead costs) are expenses that cannot be identified specifically with a particular project or activity. Indirect costs benefit multiple activities and programming objectives. In order to capture the amount of indirect costs that should be allocated to a grant, the University has calculated an indirect cost rate, approved by the federal government.

The indirect costs included in this rate are made up of two broad categories: Facilities and Administration.

Facilities costs include:
• Custodial and Maintenance
• Utilities
• Grounds Services
• Parking Operations, less parking fines and fees
• Property and Liability Insurance
• Facility Planning and Management
• Engineering Planning and Management
• Depreciation

Administration costs include all the expenses incurred in providing the following university services:
• Central Administration
• Business & Finance, including financial audit
• Human Resources
• Legal Services
• Inclusion & Equity
• Library Operations
• Administrative Computer Operations
• Grants and Research Administration
• Department Administration, as defined by the federal government to be 20% of Dean and Dean’s assistant compensation
• Mail Services
• Public Safety
• University Communications

Federal Cost Principles are the Federal regulations that govern expenditures on federal awards and which also apply to non-federal awards to GVSU because of the University’s required federal compliance under 2 CFR 200 Subpart F: Audit Reporting.

Prior Written Approval is a formal permission the University must document before it proposes or incurs a special or unusual cost that may be deemed unallowable under the federal cost principles under normal circumstances.

Requests for prior written approval must be rationalized in writing as allowable under an “unlike circumstances” justification by the University personnel who wish to propose the special or unusual costs. The requests are then reviewed, approved, and (assuming approval is granted) formally submitted to the sponsoring agency by the Authorized Organizational Representative of the University (as defined in SLT 3.11. EXTERNALLY SPONSORED PROJECTS POLICY).

In accordance with the Uniform Guidance at 2 CFR 200, prior written approval from the sponsor is explicitly required (either in the awarded proposal budget, during award negotiation, or prior to incurring of costs in the event that the expense is to be proposed post-award) for a number of items, including the following:
1. Administrative expenses ($200.413(c))
2. Change of scope ($200.308 (c)(1))
COST SHARING POLICY FOR EXTERNALLY SPONSORED PROJECTS
SLT 3.11.2
Date of Last Update: September 04, 2019
Approved By: Senior Leadership Team

Responsible Office: Office of Sponsored Programs

POLICY
Grand Valley State University (the University) shall minimize cost sharing on all externally sponsored projects as defined at SLT 3.11: EXTERNALLY SPONSORED PROJECTS POLICY.

The University will allow cost sharing on such projects under the following conditions:
1. When it is required by the sponsoring agency (Mandatory Cost Sharing) as documented in a proposal solicitation, program description, sponsor policy, Catalog of Federal Domestic Assistance record, broad agency announcement or other official sponsor document.
2. When it is required by the University to meet federal cost principles, are not allowable on any sponsored program. Exceptions are possible with a strong justification for unlike circumstances and with prior written approval (as defined above) from the Authorized Organizational Representative of the University and the sponsor.

Further, in cases under which the University will allow cost sharing:
1. The proposed cost sharing must be thoroughly and accurately quantified.
2. The proposed cost sharing must represent an allowable cost (as defined in SLT 3.11: ALLOWABLE COST POLICY).
3. The proposed cost sharing must be limited to what is required by the sponsor (Mandatory Cost Sharing) or to what is deemed reasonable by the Appointing Officer (as defined in BOT 4.1.1: GENERAL PERSONNEL POLICIES FOR FACULTY AND STAFF – PERSONNEL ADMINISTRATION) who has authority over the resources proposed to be committed (Voluntary Cost Sharing);
4. The approval to subsidize all proposed cost sharing must be documented by the Appointing Officer who has authority over the resources proposed to be committed;
5. The quantification and approval of cost-sharing subsidies must be documented and approved by the Authorized Organizational Representative of the University (as defined at SLT 3.11: EXTERNALLY SPONSORED PROJECTS POLICY) using the standard systems and processes of the Office of Sponsored Programs; AND
6. All approved cost sharing included in an awarded externally sponsored project must be monitored, tracked, and reported by the Grants Accounting office in accordance with all applicable federal and sponsor requirements.

DEFINITIONS
Cost Sharing: Cost sharing is that portion of an externally sponsored project cost that is not reimbursed by the sponsor (whether federal or non-federal) and therefore represents a commitment of institutional resources that would generally otherwise be devoted to other University purposes.

There are three forms of cost sharing:
1. Mandatory Cost Sharing, which is required by the sponsor as an award condition and becomes an obligation once an award is made;
2. Voluntary Committed Cost Sharing, which is voluntarily offered and documented in a proposal submission and therefore becomes an obligation once an award is made; and
3. Voluntary Uncommitted Cost Sharing, in which voluntary cost sharing is intended, but not explicitly committed (documented) in a proposal, and therefore not a binding commitment that must be tracked and reported.

For more information about this policy and the procedures established to ensure compliance with it, please contact the Office of Sponsored Programs at 616-331-6826 or osp@gvsu.edu.

SUPPLEMENTAL COMPENSATION ON EXTERNALLY SPONSORED PROJECTS POLICY
SLT 3.11.3

Unallowable Costs are costs that could be considered appropriate and reasonable, but which are not eligible for reimbursement by the federal government and therefore to ensure consistent treatment under the federal cost principles, are not allowable on any sponsored program. Exceptions are possible with a strong justification for unlike circumstances and with prior written approval (as defined above) from the Authorized Organizational Representative of the University and the sponsor.

Unallowable costs include:
1. Advertising and public relations
2. Advisory councils
3. Alcoholic beverages
4. Alumni/ae activities
5. Bad debt expense
6. Collections of improper payments
7. Commencement and convocation costs
8. Contributions and donations
9. Entertainment costs
10. Fines, penalties, damages and other settlements
11. Fund raising and investment management costs
12. Lobbying
13. Intra-Institution of Higher Education (IHE) Consulting
14. Losses on other awards or contracts
15. Club, social, dining club or lobbying organization memberships
16. Proposal costs
17. Meals and travel associated with lobbying, fund raising, alumni activities
18. Student activities
19. Passports and immigration visas

For more information about this policy and the procedures established to ensure compliance with it, please contact the Office of Sponsored Programs at 616-331-6826 or osp@gvsu.edu.
Grand Valley State University (the University) normally does not allow for compensation charges in excess of an individual's Institutional Base Salary on any Externally Sponsored Project (as defined in SG 3.03: REASSIGNED TIME) or Supplemental Compensation from the appropriate University and/or sponsor officials as described in this policy. Such offsets shall require a reorganization of established job duties in the staff member’s organizational unit that is approved by the staff member’s Appointing Officer and Executive Officer. In the absence of unusual circumstances and specific Prior Written Approval (as defined in SG 3.05: REASSIGNED TIME) or SLT 3.11.1: ALLOWABLE COSTS POLICY from the appropriate University and/or sponsor officials as described in this policy, faculty member compensation for sponsored-project work at the University must offset Institutional Base Salary through:

1. The use of Significant Focus Time (as defined in SG 3.01: FACULTY RESPONSIBILITIES);
2. The application of Reassigned Time (as defined in SG 3.05: REASSIGNED TIME) or SLT 3.11.1: ALLOWABLE COSTS POLICY;
3. Some combination of Significant Focus Time and Reassigned Time.

Absent Prior Written Approval for Supplemental Compensation following procedures stated below, Administrative/Professional staff members must also offset Institutional Base Salary to participate in externally sponsored projects (supplement, not supplement). Such offsets shall require a reorganization of established job duties in the staff member’s organizational unit that is approved by the staff member’s Appointing Officer and Executive Officer.

In order to charge Supplemental Compensation to federally funded Externally Sponsored Project accounts at the University, the work performed must be justifiable as Intra-Institution of Higher Education Consulting as defined at 2 CFR 200.430—COMPENSATION; PERSONNEL SERVICES. Consulting service compensation is not charged to a University account when:

1. Across departmental lines or involve a separate or remote location that is at least 30 miles away from the employee’s University campus office;
2. Outside the scope of the employee’s regular appointment as documented in the employee’s appointment letter;
3. Short term in nature; and
4. Provided for in a sponsored agreement, or approved in writing by the sponsoring agency prior to the incurring of applicable expenses.

In order to charge Supplemental Compensation to a non-federal Externally Sponsored Project account, the allocation is subject to the prior written approval of the Vice Provost for Research Administration as well as the sponsoring agency.

### DEFINITIONS

**Base Appointment Period** at the University generally falls into one of two categories:

1. An Academic Year Appointment is comprised of the nine-month span from August 6 of a given calendar year to May 5 of the following calendar year.
2. A 12-month Appointment is comprised of the twelve-month span from August 6 of a given calendar year to August 5 of the following calendar year.

**Institutional Base Salary** is the annual salary the University pays for an employee’s appointment, regardless of appointment category and whether the employee’s time is spent on research, teaching, administration, or other University responsibilities. Institutional Base Salary does not include bonuses, one-time payments, incentive pay, or income that an employee is permitted to earn outside of their University responsibilities such as Private Consulting.

**Private Consulting** refers to works for hire performed by a University employee outside of their University responsibilities. To be deemed private consulting, work must be performed without the use of any University resources, including administrative services of any kind, facilities (classroom, clinical, meeting, or office space), supplies, equipment, computing resources, and any other service or resource owned by the University. Any outside employment must be approved in advance by the Appointing Officer (BOT 4.1.10). Consulting services that require the use of University resources are subject to SLT 3.17: SERVICE AND CONSULTING AGREEMENTS POLICY.

**Supplemental Compensation** also known as extra salary or extra service pay, is compensation a University employee receives in excess of Institutional Base Salary. Supplemental Compensation represents payments for services outside the normal scope of employment.

For more information about this policy and the procedures established to ensure compliance with it, please contact the Office of Sponsored Programs at 616-331-6826 or osp@gvsu.edu.

### FACILITIES AND ADMINISTRATIVE COST POLICY

SLT 3.11.4

Date of Last Update:  July 13, 2016

Approved By:  

- Senior Leadership Team

**Responsible Office:**  
Center for Scholarly and Creative Excellence

**POLICY STATEMENT**

Grand Valley State University’s Facilities and Administrative (F&A) rate (also known as the indirect-cost rate) is established in accordance with the Federal Office of Management and Budget under 2 CFR 200 Uniform Guidance (previously A-21). The rate is negotiated between the University and the U.S. Department of Health and Human Services, the cognizant federal agency that oversees the administration of sponsored agreements at the University. The University’s F&A rate reflects the cost of real, audible expenses incurred in the conduct of sponsored research and programs. Included among these costs are depreciation costs of buildings and equipment, maintenance and repairs, janitorial services, utilities, hazardous waste disposal, libraries, and general administrative costs such as sponsored programs administration, departmental administration, and general administration (accounting, purchasing, legal services, personnel, and compliance). These costs are indirect because they are not easily identified with a specific project and therefore are not included in the “direct” portion of the budget. Such indirect costs support the conduct of research and other sponsored programs, regardless of the source of funding. The University uses a 12-month base year in negotiating the F&A rate. The rate applies to all sponsored projects and is adjusted to reflect changes in the cost of labor, fringe benefits, and other costs used in support of all sponsored activities. It is expected that all sponsored projects recover full F&A costs.

**PROCEDURES**

**Facilities & Administrative (F&A) Cost Recovery Policy:**

It is the University’s policy that all proposals and agreements for sponsored research, including subawards and industry contracts, are subject to the recovery of facilities and administrative costs (F&A) at the University’s approved and published rate. In some cases, the sponsor has a written policy, uniformly applied, prohibiting F&A costs or restricting the payment of such costs to a lower rate. An exception to the University’s F&A cost recovery policy may be warranted if it is clearly in the best interest of the University to accept the award with less than full F&A cost recovery. Any reduction (defined as a waiver of F&A) is strongly discouraged and requires prior approval from the Vice Provost for Research Administration. This exception does not apply to for-profit (industry) sponsors, as such sponsors are expected to provide full F&A when funding a sponsored project. Designation of a sponsored award as a gift will not preclude the recovery of indirect costs if such costs are allowed by the donor.

**Supplemental Compensation** also known as extra salary or extra service pay, is compensation a University employee receives in excess of Institutional Base Salary. Supplemental Compensation represents payments for services outside the normal scope of employment.

For more information about this policy and the procedures established to ensure compliance with it, please contact the Office of Sponsored Programs at 616-331-6826 or osp@gvsu.edu.
For all other proposals, recovered funds are distributed as follows.

- Appointing Officer of unit generating the recovered funds – 40%
- Provost – 20%
- General Fund (Facilities Infrastructure) – 40%

It is anticipated that, when appropriate, recovered funds will be used strategically for research initiatives, faculty start-ups, bridge funding and required cost share, and to provide the necessary administrative support for research projects. Indirect cost recovery funds cannot be used to increase the principal investigator’s annual compensation. Indirect cost revenue recovered on Financial Aid expenditures will not be allocated and all proceeds will be returned to the General Fund.

Charter Schools are not considered a sponsored program and therefore not affected by this policy.

*Note: If the recovered funds allocated to the Faculty PI are less than $500, funds will be deposited into departmental FOAPs rather than individual Faculty PI FOAPS

GENERAL RESPONSIBILITIES OF PRINCIPAL INVESTIGATORS/PROJECT DIRECTORS POLICY

SLT 3.11.5

Date of Last Update:
April 03, 2013

Approved By:
- Senior Leadership Team

Responsible Office:
Center for Scholarly and Creative Excellence

POLICY STATEMENT

The Principal Investigator/Project Director is responsible for a variety of general responsibilities, which are outlined in the following section.

PROCEDURES

Responsibilities

The following General Responsibilities form shall be provided by OSP to each Principal Investigator at the time of award. The Principal Investigator is responsible for signing and returning the original to OSP within five business days of its receipt. The original shall be retained in the award OSP record file in accordance with record retention guidelines. The Principal Investigator/Project Manager is responsible for:

- Compliance with the award terms and conditions. Notifying OSP of potential scope, budget or schedule shifts, and requesting/approval of such change.
- Obtaining signatures on the (Request to Add a New Fund (RANF) form, and submitting the RANF to the Office of Business & Finance. A copy of the original proposal, notice of award, budget, and other official documents must be attached to the RANF form. The RANF will not be processed without these attachments.
- Ensuring that the Salary Request is prepared and signed by the Unit Head/Dean and other appropriate individuals. Salary Request letters accompany the signed RANF form.
- Management of the grant, contract/subcontract, or cooperative agreement and conducting the project to meet project goals and objectives while adhering to agency guidelines and GVU policies and procedures.
- Ensuring that all individuals involved in the administrative and financial aspects of the award receive BANNER training.

The Office of Grants Accounting will assist with the invoicing and accounting process. The PI is also responsible for ensuring that all grant expenditures are reviewed on a monthly basis (at a minimum) and ensuring that those expenses incurred are approved in the BANNER system.

- Ensuring that all items acquired or purchased under the terms of the award and with grant funds that sponsor requirements and GVU Purchasing Procedures are followed.
- Ensuring that all project expenditures are directly related to the project and necessary to meet project goals and objectives. Knowing that the required use requirements that were committed in the budget approved by the agency and GVU and ensuring that these obligations are met.
- Certifying the time/effort of personnel paid by the grant, contract/subcontract, or cooperative agreement, or cost sharing/matching time on the project.
- Completing a Conflict of Interest Financial Disclosure form, and having no conflict of interest that could affect the conduct of the project. Any such possible conflict of interest must be reported to OSP as soon as it is apparent.
- Ensuring that the PI as well as all undergraduate, graduate, or post-doctoral students receive Responsible Conduct of Research training, if required by the sponsor. PIs and students are required to sign a Completion of Training form confirming the date, receipt, and satisfactory completion of this training. The form must be returned to OSP for the record file.
- Ensuring that GVU polices and federal regulations governing the protection of human research subjects are followed. Ensuring the adherence to federal governing regulations and GVU polices and GVU Animal Care and Use Policy for the use of animals in research.
- Ensuring compliance with the GVU policy on Political Activity, as well as ensuring compliance with the terms and conditions of an award governing such activity.
- Submitting required reports and/or documentation in a timely manner.
- Certifying that the PI, if applicable, and any subcontractor or sub-recipient on this project, is not debarred, suspended or co-debated by any federal entity. The PI agrees to notify the University (both OSP and Purchasing Dept.) of any change in this status, should one occur, until such time as an award is made under a procurement action. See www.sam.gov

SERVICE AND CONSULTING AGREEMENTS POLICY

SLT 3.11.6

Date of Last Update:
October 18, 2018

Approved By:
- Senior Leadership Team

Responsible Office:
Center for Scholarly and Creative Excellence

POLICY STATEMENT

This document establishes Grand Valley State University’s (University) official policy governing the approval and management of service or consulting agreements that employees through the University, meaning cases in which the University would be the contracting party. These are agreements under which Principal Investigator (PI)-Eligible faculty and/or Administrative/Professional (A/P) staff members are obligated to provide specified services or “deliverables” and that do not fall squarely within the traditional framework of research or teaching activities. While these agreements may have research, scholarly, or other benefits to the University, these benefits are a secondary aspect, not the primary purpose of the activity. The terms “service agreement” or “consulting agreement” are intended to be descriptive; such agreements could have other labels or titles.

In some cases, employees who may consider providing services independently of the University as consultants will do this for their own account, on their own time, and using their own resources and subject to applicable University policies. However, review and approval of all proposed service and consulting agreements under this policy is required to ensure compliance with employment, tax, and intellectual property law; regulatory requirements governing research and the use of certain kinds of data; and institutional policies regarding student engagement in externally funded activity and the appropriate use of University resources.

The University should be the contracting party only when justified by compelling reasons that meet the General Criteria of this policy. There are occasions, however, when a PI-Eligible faculty or A/P staff member wants to provide a service through the University. For example, the activity may have a strong academic and/or university programmatic component and the faculty or A/P staff member may want to be able to use university facilities, resources, staff, or students to carry out the proposed contractual activity. In those circumstances, this policy allows for the University to act as the contracting party, but only if the activity in question meets the General Criteria of this policy.

By way of illustration, but without limitation, services that PI-Eligible faculty and A/P staff members may seek to provide through this policy may include:
• Performing an evaluation or assessment of an external program, such as an educational program or public-health initiative;
• Establishing rating criteria, such as standards for measuring health or safety outcomes;
• Providing technical assistance to a foreign government in areas such as social, health or economic services;
• Delivering professional-development services;
• Partnering with industry to engage students in technical projects the delivery of which will contribute to the educational goals of the students involved; and/or
• Assisting a city government in its urban planning.

PROCEDURES
This policy designates authority to the Vice Provost for Research Administration or their designee to establish such operational procedures as deemed necessary to implement the policy, and ensure operational efficiency, proper oversight of compliance and financial management, and ensure the success of externally sponsored projects at the University.

DEFINITIONS
Authorized Organizational Representative (AOR): The official to whom the Provost delegates authority to submit proposals to fund and/or otherwise support externally sponsored projects on behalf of the University and to accept on behalf of the University any awards, contracts, or agreements resulting from such proposal submissions or other solicitation processes.

PI-Eligible: University faculty and AP staff members who are documented as eligible to serve as a Principal Investigator as defined in the University’s Principal Investigator Eligibility Policy.

Benefits and Risks
Often, participating in service agreements involves high-profile and challenging projects that may benefit members of the university community by, for example:
• Adding significantly to faculty, staff, and student expertise;
• Demonstrating connections to curricular and co-curricular development, new teaching cases, program development in executive education, and professional development;
• Engaging faculty in domestic and international matters that are highly relevant to their teaching and scholarship, or employees in their administrative responsibilities; and/or
• Initiating or reinforcing strong institutional relationships that can serve long-term University interests.

Though there may be much to recommend the pursuit of these opportunities, especially where there is substantial potential to advance scholarship, education, and service, these arrangements may also pose risks that need to be managed. Service and Consulting Agreements are more complicated for the University to manage than routine sponsored-project agreements because of the expectations of the external entities, who perceive themselves as clients or customers rather than sponsors.

The following potential risk factors will be considered in the evaluation of Service and Consulting Agreements:
• The University, as the contracting party in these agreements, bears the risk of liability or reputational harm for non-performance or poor performance of agreed-upon tasks and for unsatisfactory contract “deliverables.” Potential risks reach beyond the payments to the University and could include monetary damages from the downstream effects of contested performance.
• Unlike in sponsored-project arrangements (i.e., assistance awards, such as grants or cooperative agreements), in which the sponsor may be presumed to be committed to the principles of objective science or the enhancement of the public welfare, “clients” or “customers” in service arrangements may be more focused on obtaining specific results and will likely be more involved in directing performance of the services. Institutional integrity and impartiality may be called into question if expectations are not properly managed at the outset.
• The use of the University’s students and staff to assist in these projects also raises unique policy issues. The University has a duty to students in particular. They should not be made to work on projects unless the work advances their educational goals. The interests of employees, students, and the institution must be safeguarded in the negotiation of such arrangements to assure them that they may generate and publish works of scholarship, receive proper credit for their work, obtain appropriate intellectual property or other proprietary rights in the work product, and avoid confidentiality or other obligations that may compromise transparency and injure reputations.
• Special attention must be paid to assure that these arrangements comply with the university’s obligations as a tax-exempt organization (e.g., IRS regulations regarding Unrelated Business Income).

General Criteria
The proposed Service and Consulting Agreement must:
1. Advance the core mission of the academic or non-academic organizational units that will carry it out;
2. Provide a significant institutional and/or public benefit; and,
3. If students are to participate in the activity, provide a learning experience that advances student educational goals and that students will be free to use and disclose details of the experience in their academic and career pursuits, unless a Non-disclosure Agreement has been approved by the Office of the Vice Provost for Research Administration.

The determination as to whether a proposed Service and Consulting Agreement meets these criteria shall be the responsibility of the employee’s Appointing Officer. Such determinations shall be documented using University procedures for sponsored activity.

In addition, the proposed Service and Consulting Agreement must:
1. Present manageable and limited risks;
2. Be accurately budgeted to generate sufficient revenue to pay for full performance that includes both the direct charges associated with the activity and the university’s full federal negotiated facilities & administrative cost rate;
3. Be properly accounted for from a tax perspective;
4. Be reviewed and processed by the Technology Commercialization Office and the Office of Sponsored Programs (which may include the execution of a non-disclosure agreement to protect the intellectual property of the parties to the agreement; and
5. Receive approval from the employee’s Appointing Officer.

Be approved and submitted by the Vice Provost of Research Administration and designee.

And finally, once the Service and Consulting Agreement is fully executed, and throughout the performance of the contractual scope of work, the PI and responsible organizational unit must ensure that the activity complies with:
1. The contracted scope of work, timeline, and all agreed deliverables;
2. All applicable federal and state laws and regulations (e.g., export controls, use of human or animal subjects, intellectual property rights, disclosure and mitigation of financial and other conflicts of interest); and
3. All relevant University policies, such as invoicing for payment via the central accounting office of the University, and the use of the University’s name, facilities, equipment, supplies, and other resources.

PRINCIPAL INVESTIGATOR ELIGIBILITY POLICY
SLT 3.11.7
Date of Last Update: October 15, 2018
Approved By:
• Senior Leadership Team

Responsible Office: Center for Scholarly and Creative Excellence

POLICY
This policy establishes the eligibility requirements for and the duties and responsibilities of all Principal Investigators (PI) at Grand Valley State University (University). The policy also provides for the establishment of formal processes to request and approve exceptions to the PI eligibility requirements.

POLICY STATEMENT
For each externally sponsored project, it is customary to designate as PI one person who bears ultimate responsibility for scientific, technical, and programmatic decisions, and all financial, administrative, and
PI eligibility is automatically conferred upon tenured and tenure-track faculty at the rank of Professor, Associate Professor, or Assistant Professor. Training requirements, certification, and documentation are determined and administered collaboratively by the Vice Provost for Research Administration, the Office of Sponsored Programs, the Office of Research Compliance & Integrity, and the Controller.

Compliance and Training Requirements

However it is conferred, PI Eligibility Status is contingent upon the documented completion of all required compliance and sponsored programs training. Training requirements, certification, and documentation are determined and administered collaboratively by the Vice Provost for Research Administration, the Office of Sponsored Programs, the Office of Research Compliance & Integrity, and the Controller.

PROCEDURES

This policy designates authority to the Vice Provost for Research and the Director of Sponsored Programs to establish such operational procedures as they deem necessary to implement this policy, and ensure operational efficiency, proper oversight of compliance, and administration, and the success of externally sponsored projects at the University. It is the responsibility of the Office of Sponsored Programs to review all proposals to fund externally sponsored projects to determine and document PI eligibility prior to proposal submission. Proposals put forward by individuals without documented PI eligibility will generally not be approved for submission. Awards resulting from proposals submitted by ineligible PIs who either knowingly or unwittingly circumvent the standard approval process will generally not be accepted by the University.

Responsibilities of All Principal Investigators

Although the University is legally responsible to the sponsor as the actual recipient of any externally sponsored award, the Principal Investigator (PI) is accountable for the proper fiscal management and conduct of the project. This includes managing the project within funding limitations and all of the terms of the award, assuring that the sponsor is notified when significant conditions related to project status change, and ensuring that all programmatic reporting requirements are met in a timely fashion. To assist PIs, the University provides supporting administrative services and has established procedures to help meet both sponsors and University requirements. While responsibility for the day-to-day management of project finances may be delegated to administrative or other staff, accountability for compliance with federal, University policies, and sponsor requirements ultimately rests with the PI. The full cooperation and vigilance of the PI, along with the University, is necessary to maintain the stewardship role.

Preparation of Proposals

Principal Investigators have primary responsibility for planning and carrying out the preparation and submission of proposals for external support. Although PIs may have administrative staff to assist with the proposal-development process, they are ultimately responsible for the quality and scientific integrity of the proposal, and for understanding and complying with all University policies for managing external support.

1. Technical Proposal

a. The Principal Investigator is responsible for preparing the technical proposal.

b. The Principal Investigator prepares, or directly supervises the preparation of, and electronically signs internal proposal-approval forms, and requests required approvals in a timely fashion.

c. The Principal Investigator is responsible for reviewing and approving the award agreement, in conjunction with OSP, including the scope of work, budget, and the special terms and conditions of the award, and for managing the award in accordance therewith.

2. Conduct and Management of Award

a. The Principal Investigator is responsible for managing and completing the project, including compliance with financial and administrative requirements. Principal Investigators are responsible for routine monitoring of the status of grant accounts to prevent overdraws and incorrect charges and to ensure that unallowable costs are not charged to an award.

b. The Principal Investigator is responsible for the timely submission of all required programmatic reports, interim and final. The information contained in such reports must be supported by adequate documentation.

3. Acceptance of the Award

The Principal Investigator is responsible for collaboratively with the Office of Sponsored Programs in any negotiations with the sponsor relating to modifications of the project scope or budget or proposed terms and conditions of the award.

DEFINITIONS

Externally Sponsored Project: All grants and cooperative agreements (direct assistance actions); all incoming or outgoing sub-recipient agreements or subawards (pass-through assistance actions); certain incoming or outgoing contracts (i.e., externally sponsored procurement actions), including direct contracts, service agreements, and consulting agreements; pass-through subcontracts and service agreements; and certain other agreements, including master collaboration agreements, material transfer agreements, and data-use agreements—whether funded or unfunded. Externally sponsored projects do not include purchasing agreements or philanthropic gifts.

Principal Investigator (PI): An individual with a formal affiliation with the University, normally an employee, who is or becomes eligible under this policy to submit a proposal for extramural support for a research, training, public-service, or other externally sponsored project. The PI has primary responsibility for the scientific, technical, programmatic, and administrative conduct and reporting of the project, including compliance and financial matters. A Principal Investigator is the head of a training or other sponsored project may be known as a Project Director for the purposes of this policy. For the purposes of this policy, the terms shall be considered equivalent. The University recognizes one individual as the Principal Investigator and this individual must personally participate in the project to a significant degree.

Co-Investigator (Co-I): An investigator who will share responsibility for the scientific, technical, and/or administrative conduct and reporting of a research or sponsored project with the Principal Investigator.
Each individual named as a Co-Principal Investigator at the University must meet the same eligibility requirements as a PI as noted above. There may be more than one Co-Principal Investigator, but one person is designated as the leader (PI) of the project. While the University allows this approach, not all sponsors allow Co-PI models. In certain cases, a sponsor (e.g., the National Institutes of Health—NIH) may allow a Multiple Principal Investigator model to be employed in a research or sponsored project. Such models feature multiple PIs who are expected to equally share responsibility for leadership of multidisciplinary and other types of "team science" projects that are not optimally served by the single Principal Investigator model. Such models typically require a single "Contact PI" and special justification in the form of a Multi-PI Plan that documents processes for project governance and resolution of conflicts.

TRAINING OF PERSONNEL INVOLVED IN ANIMAL RESEARCH

SLT 3.13

Date of Last Update:
July 31, 2008

Approved By:
Senior Leadership Team

Responsible Office:
Center for Scholarly and Creative Excellence

POLICY STATEMENT

In conduction of research, all people working with laboratory animals must be qualified to do so in order to ensure the humane treatment of animals. As such, Grand Valley complies with the Animal Welfare Act as described below.

PROCEDURES

The Animal Welfare Act (AWA) Sec. 2.32 (a), (b), and (c) specify:

(a) It shall be the responsibility of the research facility to ensure that all scientists, research technicians, animal technicians, and other personnel involved in animal care, treatment, and use are qualified to perform their duties. This responsibility shall be fulfilled in part through the provision of training and instruction to those personnel.

(b) Training and instruction shall be made available, and the qualifications of personnel reviewed, with sufficient frequency to fulfill the research facility’s responsibilities under this section and §2.31.

(c) Training and instruction of personnel must include guidance in at least the following areas:

   (1) Humane methods of animal maintenance and experimentation, including:
       (i) The basic needs of each species of animal;
       (ii) Proper handling and care for the various species of animals used by the facility;
       (iii) Proper pre-procedural and post-procedural care of animals; and (iv) Aseptic surgical methods and procedures;

   (2) The concept, availability, and use of research or testing methods that limit the use of animals or minimize animal distress;

   (3) Proper use of anesthetics, analgesics, and tranquilizers for any species of animals used by the facility;

   (4) Methods whereby deficiencies in animal care and treatment are reported, including deficiencies in animal care and treatment reported by any employee of the facility. No facility employee, Committee member, or laboratory personnel shall be discriminated against or be subject to any reprisal for reporting violations of any regulation or standards under the Act;

   (5) Utilization of services (e.g., National Agricultural Library, National Library of Medicine) available to provide information:
       (i) On appropriate methods of animal care and use;
       (ii) On alternatives to the use of live animals in research;
       (iii) They could prevent unintended and unnecessary duplication of research involving animals; and
       (iv) Regarding the intent and requirements of the Act.

The PHS Policy, Section IV.C.1.f. places the responsibility specifically with the IACUC to ensure that personnel conducting procedures on research animals are appropriately qualified and trained in those procedures. The Institutional Animal Care and Use Committee may require additional training for each individual, depending on their prior training and experience with animals.

UNITED STATES GOVERNMENT DOCUMENTS INTERNET USE

SLT 3.14

Date of Last Update:
July 31, 2008

Approved By:
Senior Leadership Team

Responsible Office:
University Libraries

POLICY STATEMENT

Grand Valley State University Libraries will provide the public with free and unrestricted access to online government information provided through the Federal Depository Library Program in accordance with section 1911 of Title 44, United States Code.

PROCEDURES

The public is able to access these materials on the Government Documents personal computers in Mary Idema Pew Library Learning & Information Commons and Steelcase libraries. Patrons are not required to provide any form of identification to use these computers, although they will need to see a librarian or staff person at the reference desk to login. Patrons using these computers are expected to adhere to the university’s policies regarding the use of electronic resources.

SPACE ASSIGNMENT POLICY

SLT 3.15

Date of Last Update:
July 16, 2012

Approved By:
Senior Leadership Team

Responsible Office:
Provost and Facility Planning Offices
POLICY STATEMENT

Space is an institutional resource of Grand Valley State University. As such, it does not belong to an individual, a program, a unit or a college and may be reassigned in the best interests of the University. The goal of the University’s allocation and reallocation of space is to achieve the highest and best use of University resources.

The Provost’s Office is responsible for assigning and overseeing space used for academic purposes, including classrooms, laboratories, academic secretarial spaces, and faculty offices. It discharges that responsibility by working closely with the Facilities Planning Office to maintain and remodel existing space; to allocate and reallocate that space; to help plan, schedule, and coordinate moves; to plan new space; and to explain allocation and reallocation decisions.

The Facilities Planning Office is responsible for overseeing all non-academic space, including outdoor space. It discharges that responsibility by working closely with the Provost’s Office to maintain and remodel existing space; to allocate and reallocate that space; to help plan, schedule, and coordinate moves; to plan new space; and to explain allocation and reallocation decisions.

At least annually, Facilities Planning will conduct a physical review of space to investigate identified issues or potential space issues that need attention.

Periodically, the Provost’s Office and the Facilities Planning Office will meet with appropriate representatives of the University’s organizational units to discuss upcoming moves and longer term plans for expansion and/or contraction.

This policy is applicable to all departments, offices, University employees and other members of the University community occupying space owned or leased by the University.

ACCOMPANYING STUDENTS OUTSIDE THE U.S.A. POLICY

SLT 3.16

Date of Last Update:
November 07, 2017

Approved By:
Senior Leadership Team

Responsible Office:
Office of the Provost

POLICY STATEMENT

Policy Statement

Faculty and staff accompanying students outside the United States assume a degree of responsibility for students which does not exist when faculty or staff travel independently. The lead faculty and staff member who is accompanying students abroad is required to:

1. Submit the appropriate application to their Dean or Appointing Officer, for acknowledgement and approval before travel plans are confirmed (or flight tickets purchased), and no later than 30 days before departure.
2. Submit the following documents to their Dean or Appointing Officer, with copies to the Padnos International Center (PIC), at least seven days before departure:
   a. Detailed itinerary for program/project;
   b. Contact information on how the faculty member can be reached for each stage of the program;
   c. Emergency contact information for each participant in the group (form available from PIC website);
   d. Signed liability waivers from each participant in the group (form available from PIC website);
   e. Photocopy of photo/information page of each participant’s passport;
   f. Completed Health Information form for each participant in the group (form available from PIC website).

Documents submitted by units, pursuant to this policy, will be collected and maintained as appropriate by the Padnos International Center. A link to the application form can be found on the Padnos International Center’s website: [www.gvsu.edu/pic](http://www.gvsu.edu/pic) (under “Faculty/Staff”).

ADOPTION LEAVE POLICY

SLT 4.1

Date of Last Update:
December 14, 2021

Approved By:
Senior Leadership Team

Responsible Office:
Human Resources

POLICY STATEMENT

The University recognizes the need for family and medical related leave. The following policy complies with the Family and Medical Leave Act (FMLA) and provides guidelines for procedures regarding paid or unpaid leave. By enacting this policy Grand Valley aims to allow necessary time away from the university for individuals to cope with and adapt to various family and medical related situations as described in this policy.

PROCEDURES

Adoption Leave

Grand Valley State University provides paid time off for bonding for all GVSU employees who are eligible for salary continuation/short term disability policies holding parental relationships for adoption. Please refer to the Parental Leave Policy regarding paid leave time.

Qualifying Expenses

Qualifying adoption expenses will be reimbursed up to a maximum of $3,000 per child. Qualifying expenses are defined as those that are reasonable and necessary adoption fees, court costs, attorney fees, traveling expenses while away from home, and other expenses related to, and whose principal purpose is for, the legal adoption of a child.

Process for Applying for Benefits

Upon formal placement of the adopted child, submit an adoption assistance claim form to Human Resources at 1090 James H. Zumberge Hall along with detailed receipts for eligible expenses. Human Resources will determine eligible expenses, the amount payable for reimbursement and will submit a request to the Payroll Office for payment. The reimbursement will be processed with the next payroll.

Taxation of Benefits

The amount of tax credits and exclusions available to adopting parents vary. Since an employer's adoption assistance is not subject to income tax withholding, GVSU will not determine the extent to which the payment of reimbursement on behalf of each employee is eligible for the exclusion. However, GVSU will withhold taxes only for Social Security and Medicare.

Adding Dependent to Insurance

At the time of placement, you may add your child to your benefit plans. Any additions or changes must occur within 30 days of the official placement. Contact Human Resources at 331-2215 to add dependent.

EXERCISE RELEASE TIME POLICY

SLT 4.2

Date of Last Update:
February 04, 2022
POLICY STATEMENT

Faculty and staff well being is valued at GV SU. Physical activity is a vital component to wellbeing and GV SU strives to increase the ability for faculty and staff to take time to fit physical activity into their day. The exercise release time policy reflects University’s mission by providing opportunities for faculty and staff to maintain optimal health and capacity to educate students. The policy supports university values, specifically sustainability and effective teaching. The concept of sustainability includes modeling a lifestyle of healthy living through active living, which this policy supports.

The policy provides opportunities for physical activity during the workday encouraging and promoting health risk reduction. It has been shown that physical activity increases attentiveness, reduces stress and biochemical functions such as blood pressure and glucose levels. A healthier faculty and staff population with fewer health risks can increase the number of positive interactions between faculty, staff and students through improved attentiveness, reduced stress and more.

Upon supervisor approval, GV SU staff may utilize up to two (2) 30 minute time periods a week to allow time to freshen up from, or to prepare for, a fitness class or individual workout. Pending schedules and workload, up to two additional 30 minute time periods per week may be permitted. This time can be added to the beginning of the workday, added to the lunch period, or to the days end and is inclusive of travel time if needed (i.e. to the Field house or YMCA for a class). Days and times to utilize this policy may be determined with the help of a written agreement between the supervisor and staff member (see HR website for this optional written agreement form). The release time may be divided into time prior to or after the indicated workout period. For further information contact Human Resources at 331-2215.

POLICY STATEMENT

FAMILY AND MEDICAL LEAVE ACT (FMLA) POLICY

SLT 4.3

Date of Last Update: February 04, 2022

Approved By: • Senior Leadership Team

Responsible Office: Human Resources

A. Who is eligible for FMLA?

1. All full-time and part-time faculty or staff members who meet all of the following criteria:
   a. Have worked at Grand Valley State University for at least 12 months.
   b. Have worked at least 1,250 hours of service during the 12-month period before the leave.

2. Grant, contract and temporary-funded faculty or staff members may be eligible for benefits under the FMLA during the term of their grant, contract or funding. The provisions of the FMLA do not continue past the date the funding or contract expires.

B. Notification Requirements

1. In order to receive leave under the FMLA, the faculty or staff member must notify their supervisor and Human Resources of the need for leave. When possible, this should be a minimum of 30 calendar days prior to the date the leave will begin.

2. If the faculty or staff member is unable to provide 30 days advance notice (such as a medical emergency) the faculty or staff member must notify their supervisor and Human Resources as soon as possible.

3. Failure to provide advance notice (when determined it was possible to do so) may result in delaying approval of the FMLA leave.

C. Faculty/Staff Job Rights

1. Subject to the specific limitations contained in this Policy, eligible faculty or staff members may take a total of up to 12 weeks of FMLA leave during a 12-month period.

2. The faculty or staff member will be returned to their position or equivalent position at the end of the FMLA leave, provided: the grant/contract/term of employment did not expire during the leave, or the University is still offering those services previously performed by the faculty or staff member at the time the faculty or staff member is ready to return to work, or the faculty or staff member's position was not eliminated due to a business or economic reason.

3. If a faculty or staff member is requesting an intermittent or reduced schedule leave, the University has the right to transfer the faculty or staff to another position during the time period of such leave. However, such a temporary transfer would be to a similarly situated and similarly classified position. The faculty or staff member's salary, benefits, etc. would not be negatively affected.

4. If a faculty or staff member does not return to work after the FMLA leave is over and they do not apply for and receive approval for another University leave, they will be considered to have voluntarily resigned employment with the University.

5. The University will not discharge or discriminate against, or otherwise interfere with, restrain or deny a faculty or staff member from exercising rights under the FMLA.

D. Time Period

1. For purposes of the FMLA, the 12-month period will be a "rolling" 12-month "look back" period based on the faculty or staff member's use of the FMLA leave during the previous 12 months. Therefore, an employee will not be entitled to more than 12 weeks of FMLA leave during any 12-month period.

2. A faculty or staff member requesting a FMLA leave may be required to use available accrued vacation for all or part of the leave. If they do not have enough accrued vacation to cover the leave period they may use a combination of vacation and unpaid leave.

3. University policies on leaves of absence, sick leave, salary continuation etc., will run concurrently with the provisions of the FMLA when applicable. Additional paid or unpaid leave may be considered, consistent with other University approved leave of absence policies.

E. Faculty/Staff Member Benefits

1. The faculty or staff member on FMLA leave is entitled to maintain the same health benefits (such as medical, dental and vision insurance) as they had before going on leave. The faculty or staff member, however, would continue to pay their share of any applicable premiums during the leave period.

2. If a faculty or staff member does not return from the FMLA leave, they may be required to repay the University for the cost of benefits received while they were on leave.

3. If a faculty or staff member is requesting an intermittent or reduced schedule leave, the University has the right to transfer the faculty or staff to another position during the time period of such leave. However, such a temporary transfer would be to a similarly situated and similarly classified position. The faculty or staff member's salary, benefits, etc. would not be negatively affected.

4. If a faculty or staff member does not return to work after the FMLA leave is over and they do not apply for and receive approval for another University leave, they will be considered to have voluntarily resigned employment with the University.

5. The University will not discharge or discriminate against, or otherwise interfere with, restrain or deny a faculty or staff member from exercising rights under the FMLA.

6. The exercise release time policy reflects University’s mission by providing opportunities for faculty and staff to maintain optimal health and capacity to educate students. The policy supports university values, specifically sustainability and effective teaching. The concept of sustainability includes modeling a lifestyle of healthy living through active living, which this policy supports.

The policy provides opportunities for physical activity during the workday encouraging and promoting health risk reduction. It has been shown that physical activity increases attentiveness, reduces stress and biochemical functions such as blood pressure and glucose levels. A healthier faculty and staff population with fewer health risks can increase the number of positive interactions between faculty, staff and students through improved attentiveness, reduced stress and more.

Upon supervisor approval, GV SU staff may utilize up to two (2) 30 minute time periods a week to allow time to freshen up from, or to prepare for, a fitness class or individual workout. Pending schedules and workload, up to two additional 30 minute time periods per week may be permitted. This time can be added to the beginning of the workday, added to the lunch period, or to the days end and is inclusive of travel time if needed (i.e. to the Field house or YMCA for a class). Days and times to utilize this policy may be determined with the help of a written agreement between the supervisor and staff member (see HR website for this optional written agreement form). The release time may be divided into time prior to or after the indicated workout period. For further information contact Human Resources at 331-2215.

FAMILY AND MEDICAL LEAVE ACT (FMLA) POLICY

SLT 4.3

Date of Last Update: February 04, 2022

Approved By: • Senior Leadership Team

Responsible Office: Human Resources

A. Who is eligible for FMLA?

1. All full-time and part-time faculty or staff members who meet all of the following criteria:
   a. Have worked at Grand Valley State University for at least 12 months.
   b. Have worked at least 1,250 hours of service during the 12-month period before the leave.

2. Grant, contract and temporary-funded faculty or staff members may be eligible for benefits under the FMLA during the term of their grant, contract or funding. The provisions of the FMLA do not continue past the date the funding or contract expires.

B. Notification Requirements

1. In order to receive leave under the FMLA, the faculty or staff member must notify their supervisor and Human Resources of the need for leave. When possible, this should be a minimum of 30 calendar days prior to the date the leave will begin.

2. If the faculty or staff member is unable to provide 30 days advance notice (such as a medical emergency) the faculty or staff member must notify their supervisor and Human Resources as soon as possible.

3. Failure to provide advance notice (when determined it was possible to do so) may result in delaying approval of the FMLA leave.

C. Faculty/Staff Job Rights

1. Subject to the specific limitations contained in this Policy, eligible faculty or staff members may take a total of up to 12 weeks of FMLA leave during a 12-month period.

2. The faculty or staff member will be returned to their position or equivalent position at the end of the FMLA leave, provided: the grant/contract/term of employment did not expire during the leave, or the University is still offering those services previously performed by the faculty or staff member at the time the faculty or staff member is ready to return to work, or the faculty or staff member's position was not eliminated due to a business or economic reason.

3. If a faculty or staff member is requesting an intermittent or reduced schedule leave, the University has the right to transfer the faculty or staff to another position during the time period of such leave. However, such a temporary transfer would be to a similarly situated and similarly classified position. The faculty or staff member's salary, benefits, etc. would not be negatively affected.

4. If a faculty or staff member does not return to work after the FMLA leave is over and they do not apply for and receive approval for another University leave, they will be considered to have voluntarily resigned employment with the University.

5. The University will not discharge or discriminate against, or otherwise interfere with, restrain or deny a faculty or staff member from exercising rights under the FMLA.

D. Time Period

1. For purposes of the FMLA, the 12-month period will be a "rolling" 12-month "look back" period based on the faculty or staff member's use of the FMLA leave during the previous 12 months. Therefore, an employee will not be entitled to more than 12 weeks of FMLA leave during any 12-month period.

2. A faculty or staff member requesting a FMLA leave may be required to use available accrued vacation for all or part of the leave. If they do not have enough accrued vacation to cover the leave period they may use a combination of vacation and unpaid leave.

3. University policies on leaves of absence, sick leave, salary continuation etc., will run concurrently with the provisions of the FMLA when applicable. Additional paid or unpaid leave may be considered, consistent with other University approved leave of absence policies.

E. Faculty/Staff Member Benefits

1. The faculty or staff member on FMLA leave is entitled to maintain the same health benefits (such as medical, dental and vision insurance) as they had before going on leave. The faculty or staff member, however, would continue to pay their share of any applicable premiums during the leave period.

2. A faculty or staff member on FMLA leave is entitled to maintain the same health benefits (such as medical, dental and vision insurance) as they had before going on leave. The faculty or staff member, however, would continue to pay their share of any applicable premiums during the leave period.

3. Failure to provide advance notice (when determined it was possible to do so) may result in delaying approval of the FMLA leave.

4. If a faculty or staff member does not return from the FMLA leave, they may be required to repay the University for the cost of benefits received while they were on leave.
3. If the faculty or staff member does not return from leave, they may continue their medical and dental coverage by paying all required premiums under the COBRA provisions.

F. Intermittent and/or Reduced Schedule Leaves

1. Faculty or staff members may request and be granted intermittent/reduced schedule leave in the case of a serious illness of themselves, their parent, spouse or child if there is a medical necessity, or for the birth of a child, adoption or foster care in collaboration with approval of the supervisor, and if the leave needs can be best accommodated through such a leave.

2. Intermittent/reduced schedule leave must be scheduled whenever possible at least ten (10) days in advance.

3. Intermittent/reduced schedule leave must be taken in 15-minute increments.

4. Intermittent/reduced schedule leave is counted toward the 12 week maximum FMLA leave which can be used during a 12-month period.

5. Intermittent/reduced schedule leaves, unless otherwise noted, are subject to the appropriate general provisions of this policy.

6. The faculty or staff member is required to schedule intermittent leave, when possible, so not to unduly interfere with the department's operations.

7. If the faculty or staff member was temporarily transferred to another position during their intermittent or reduced schedule leave, the employee must give the University ten (10) days notice of the ability to end the leave and return to their former position or an equivalent position.

G. Conditions and Procedures for Birth and Adoption (Family Leave)

An eligible faculty or staff member is entitled to take up to 12 consecutive weeks off for family leave for the birth of their newborn child, for the legal adoption of their child: or, to accept foster care placement of a child. The following conditions apply:

1. The 12 weeks of leave is typically taken consecutively and must be within the first 12 months after the birth or adoption. Intermittent or reduced schedule leaves may be considered and will be done in collaboration and with the approval of the supervisor and Human Resources.

2. Each employee is entitled to 12 weeks except if both spouses work for Grand Valley State University. In that case, the total number of bonding weeks taken between the two faculty or staff members cannot exceed 12. This does not include the personal medical recovery period for a birth parent. Leave time must be taken concurrently, unless otherwise approved by the University.

3. The medical recovery period for the birth of a baby will be considered as a medical leave, and be counted towards the 12 weeks of FMLA. The bonding period must be taken within the first 12 months following the baby's birth. The bonding period will also be counted toward the 12 weeks of FMLA.

4. The faculty or staff member requesting family leave for birth/adoptive leave (other than under the provisions of Income Protection) may use available accrued vacation time, unpaid leave or a combination of paid and unpaid leave as part of the FMLA leave, or the University may require the faculty or staff member to substitute available paid leave for FMLA leave. If the employee does not have enough paid benefit time to cover the leave, they will go on an unpaid leave.

5. Verification of adoption, birth of a child of foster placement may be requested.

H. Procedures on Serious Health Condition of Family Member

An eligible faculty or staff member is entitled to take up to 12 weeks off from work to care for a spouse, parent or child with a serious health condition.

1. A serious health condition means an illness, injury, impairment or physical or mental condition that involves inpatient care or continuing treatment by a health care provider.

2. The "need to care for" a family member includes both physical and psychological care when the family member is unable to care for their own basic medical hygiene or nutritional needs or safety, or is unable to transport themselves to the doctor, etc. It also includes time needed to make arrangements for changes in care, such as transfer to a nursing home.

3. "A child" includes a biological, adoptive, or foster child, stepchild, legal ward, or a child of a person standing in loco parentis who is under the age of 18 or, if older than 18, is incapable of self-care because of mental or physical disability. The term "spouse" means husband or wife. "Parent" is the person who acted as a parent when the faculty or staff member was a child but does not include mother-in-law or father-in-law.

4. The leave may be taken intermittently or on a reduced schedule but the total amount of time off cannot exceed 12 weeks of the faculty or staff member's normal hours worked.

Example: Full-time faculty or staff member: 40 hours/week X 12 weeks = 480 hours
Part-time faculty or staff member: 20 hours/week X 12 weeks = 240 hours

5. Only in cases where both parents are university employees and one must stay home to take care of the other who is seriously ill, or where there is a serious illness of a child that is so serious as to require a parent to stay with the child, can each parent take 12 weeks off.

I. Procedures on Faculty/Staff Member's Own Serious Health Condition

An eligible faculty or staff member is entitled to take up to 12 weeks off from work due to their own serious health condition, which prevents them from being able to perform the functions of their position.

1. A serious health condition means an illness, injury, impairment or physical or mental condition that involves inpatient care or continuing treatment by a health care provider.

J. Military Leave

An eligible faculty or staff or staff member is entitled to take up to 12 weeks off from work because of any qualifying exigency arising out of the fact that the staff member's spouse, son, daughter, or parent is a covered military member on active duty (or notified of impending call or order to active duty) in support of a contingency operation.

1. A covered military member includes the National Guard or Reserves who is undergoing medical treatment, recuperation, or therapy, is otherwise in outpatient status, or is otherwise on the temporary disability retired list, for a serious injury or illness.

2. A member of the Armed Forces (including the National Guard or Reserves) who is undergoing medical treatment, recuperation, or therapy, is otherwise in outpatient status, or is otherwise on the temporary disability retired list, for a serious injury or illness.

3. A member of the Armed Forces (including the National Guard or Reserves) who is undergoing medical treatment, recuperation, or therapy, is otherwise in outpatient status, or is otherwise on the temporary disability retired list, for a serious injury or illness.

4. The leave may be taken intermittently or on a reduced schedule but the total amount of time off cannot exceed 12 weeks of the faculty or staff member's normal hours worked.

Example: Full-time faculty or staff member: 40 hours/week X 12 weeks = 480 hours
Part-time faculty or staff member: 20 hours/week X 12 weeks = 240 hours

5. Only in cases where both parents are university employees and one must stay home to take care of the other who is seriously ill, or where there is a serious illness of a child that is so serious as to require a parent to stay with the child, can each parent take 12 weeks off.

K. Certification of Need for FMLA Leave

1. Initial Certification - Grand Valley may require certification from the faculty or staff member's health care provider for the following reasons: to verify that the faculty or staff member is needed to care for the family member; the faculty or staff member is not able to perform their job duties. The University reserves the right to ask for a second opinion by a health care provider chosen by the University. Such an opinion will be paid for by the University. If the University requests a third opinion, that opinion will be final and binding. If the second opinion and the original opinion conflict, the University will pay for a third opinion. The University and the faculty or staff member will work together to reach agreement on whom to use for the third opinion. All certification must be provided to the University within 15 calendar days of the University's request, if practical. The third opinion will be final and binding.

2. Continuing Certification - Each 30 days, the University may request verification of the need to continue the leave. Failure to provide such requested documentation in a 15-day period may result in termination of FMLA leave.

3. A "health care provider" may include, for example, a licensed doctor of medicine or osteopathy, dentist, clinical psychologist, and other health care providers authorized under the Family Medical Leave Act.

4. When the faculty or staff member is ready to return from their leave, they may be required to submit medical verification (if applicable) of their ability to return to work.

L. Questions and Policy Interpretation

1. The Human Resources Office is responsible for implementing and coordinating the provisions of the FMLA for the campus. Questions may be directed to the Human Resources Office, extension X12215.

2. If there are any conflicts between the University policy and provisions of the Federal Act, the provisions of the Federal Act will supersede, with the exception of situations where University policy, handbooks or contracts provide benefits greater than the act. The Federal Act and the Federal regulations will be used to resolve issues that arise.

For additional information and documents for next steps visit the Time Off & Leaves website.
MILITARY LEAVE OF ABSENCE POLICY FOR FACULTY STAFF

SLT 4.5

Date of Last Update:
February 04, 2022

Approved By:
• Senior Leadership Team

Responsible Office:
Human Resources

POLICY STATEMENT

Grand Valley State University faculty and staff members in the Armed Forces, Reserves, National Guard, or other "uniformed services" who are called to active duty will be granted an unpaid leave of absence and reinstatement privileges as prescribed by the Uniformed Services Employment and Reemployment Rights Act of 1994 (USERRA).

"Uniformed services" includes: active duty, active duty for training, active duty for special work, weekend or weekday drill, funeral honors, or fitness for duty examination (whether voluntary or involuntary).

PROCEDURES

Military leave is available to all full and part-time faculty and staff of the University, including probationary staff members.

A. Military Duty Pay

A regular faculty or staff member who loses time from work during their regular schedule of hours because of military training as a reservist or National Guardsman or due to a civil disturbance, not exceeding four (4) weeks per year, shall be paid the difference between their base military pay and their regular pay. Adjunct faculty and temporary staff members are not eligible for military duty pay.

B. Benefits

The University will continue to provide health insurance for benefit eligible faculty and staff members, as well as their enrolled dependents, who are on duty less than thirty (30) days. Faculty and staff members serving for more than thirty 30 days may elect to continue health insurance coverage for themselves and any enrolled dependents through COBRA.

C. Leave Period

Faculty and staff members are entitled to an unpaid military leave of absence, with reemployment rights, for a period up to five years. The five years is a cumulative total and includes both past and present military service. Military leave for adjunct faculty and temporary staff will not extend beyond the appointment end date.

D. Reinstatement Requirements

Regular faculty and staff members have the right to reemployed at the University following a military leave of absence as long as they meet the following reinstatement requirements.

1. The faculty or staff member ensures that Human Resources or the applicable appointing officer receives advance written or verbal notice of your service.
2. The faculty or staff member has five (5) years or less of cumulative service in the uniformed services while employed at the University.
3. The faculty or staff member returns to work or applies for reemployment in a timely manner after conclusion of service, and
4. The faculty or staff member has not been separated from service with a disqualifying discharge or under other than honorable conditions.

Military leaves of absences for temporary staff and adjunct faculty will not extend beyond the appointment end date.

If eligible to be reemployed, a faculty or staff member has the right to be restored to the job and benefits they would have attained if they had not been absent due to military service or, in some cases, a comparable job. The faculty or staff member’s seniority would also be restored to the level they would have attained if they have been on duty at the University continuously.

Questions and Policy Interpretation

The Human Resources Office is responsible for implementing and coordinating the provisions of the Military Leave of Absence Policy for the University. Questions may be directed to the Human Resources Office at (616) 331-2215.

If there are any conflicts between the University policy and provisions of the Federal Act, the provisions of the Federal Act will supersede, with the exception of situations where the University policy, handbooks or bargaining agreements provide benefits greater than the act. The Federal Act and the Federal regulations will be used to resolve issues that arise.

SMOKING (AND VAPING) POLICY

SLT 4.6

Date of Last Update:
February 04, 2022

Approved By:
• Senior Leadership Team

Responsible Office:
Human Resources

POLICY STATEMENT

The United States Department of Health and Human Services Surgeon General Report of 2006 indicates that secondhand smoke is an avoidable cause of disease and death. Exposure to second hand smoke, even for a short time, results in adverse affects to the cardiovascular system and can cause coronary heart disease and lung cancer. The report concludes that second hand smoke can cause disease and premature death in individuals who do not smoke. Reducing and eliminating opportunities for exposure to second hand smoke protects the overall health of non-smokers. By enacting this policy, Grand Valley State University is taking action to minimize the harmful effects and discomfort which smoking produces for the benefit of all members of the University Community. During the interim until further study and review can be concluded, for purposes of this policy, the use of electronic cigarettes (vaping) shall follow this policy to the same extent as smoking a tobacco product.

PROCEDURES

All buildings at all GVSU owned or controlled locations are designated as smoke free. Smoking is prohibited in all indoor spaces; including, but not limited to, educational, housing and dining locations.

Smoking is prohibited within twenty-five (25) feet of any GVSU building, within twenty-five feet of any GVSU bus stop on University property and within twenty-five feet of the Little Mac Bridge on the Allendale campus.

Smoking is prohibited in all University owned, leased or rented vehicles.

At all Intercollegiate Athletic facilities and at The Meadows Golf Club smoking is permitted in designated outdoor smoking areas only.

Smokers must cease smoking prior to entering any prohibited smoking area; twenty-five feet from any building, bus stop and bridge.

While GVSU permits smoking in areas not designated to be smoke free, it is the responsibility of smokers to be respectful of non-smokers in choosing a location in which to smoke so as to minimize non-smokers’ contact with second-hand smoke.

Smokers are responsible for properly disposing of all smoking related litter, which includes cigarette and cigar butts, tobacco, etc. Disposal of any smoking litter is not permitted on University grounds except in the provided ash receptacles.

If University facilities are rented by non-University individuals or groups, they shall be notified of and required to comply with this policy.

As the University acquires space or constructs new buildings or additions, smoking shall not be permitted in these buildings or areas. The above twenty-five foot distance from any building will be maintained at all new facilities.

GVSU recognizes that smoking is highly addictive. Smokers interested in assistance with quitting smoking should contact the appropriate office to learn about smoking cessation options and support. Students...
should contact the Campus Recreation Fitness and Wellness Office and faculty and staff members should contact Human Resources at 331-2215.

Policy Enforcement
This policy assumes that with notice to our community individuals will voluntarily adhere to these regulations and enforcement will not be needed. If smoking is observed in violation of this policy the appropriate action to take is to:

- Politely ask the person who is smoking either to stop smoking or to move to a designated smoking area, outside of the twenty-five foot perimeter of the building, bus stop or bridge.
- Should the problem persist, ask the person for his/her name and whether he/she is a student, faculty, staff member or visitor. If the person refuses to identify himself/herself, on the Allendale campus contact the Department of Public Safety Services at (616) 331-3255 or on the Pew Campus or other campuses contact Pew Campus Security at (616) 331-6677 for assistance.
- If the person violating this policy is a student, a complaint may be filed with the Dean of Students’ Office, (616) 331-3585, which shall take appropriate action.
- If the person is a faculty or staff member, a complaint may be filed with that person’s dean, unit head or supervisor who shall act pursuant to the appropriate personnel policies.
- If the person is a visitor, a complaint may be filed with the Department of Public Safety on the Allendale campus or with Pew Campus Security for the Pew Campus and regional campuses who will take appropriate action.

ALCOHOL AND OTHER DRUGS POLICY

SLT 5.1
Date of Last Update: October 30, 2018
Approved By: Senior Leadership Team

Responsible Office: Alcohol and Other Drugs Campus Education and Services Office (ACES)

POLICY
Grand Valley State University strives to provide a healthy University community free of the abuse of alcohol or other drugs and illegal or unauthorized use of alcohol and controlled substances. This commitment to students, faculty, and staff is evidenced by the:

- Publication of standards of conduct and University policies
- Provision of alcohol and other drug abuse prevention programs
- Availability of counseling, treatment, and rehabilitation resources
- Enforcement of applicable University policies
- Enforcement of federal and state laws and local ordinances that govern alcohol and other drug use (including underage drinking, hosting, and furnishing laws)
- Promotion of an environment that supports healthy choices

The unlawful manufacture, possession, use, distribution or dispensation of illicit or prescription drugs and the unlawful possession, use, or distribution of alcohol by faculty, staff, and students on University-controlled property or as part of University activities is prohibited. This prohibition includes Marijuana as federal law bans it from University owned and controlled property and workplaces. All University employees will, as a condition of employment, abide by the terms of this policy. Faculty, staff, and students are responsible for making decisions within the context of University policies and federal, state, and local laws related to alcohol and other drugs.

The Alcohol and Other Drugs Policy Handbook (www.gvsu.edu/aces/aces) includes information about University drug and alcohol abuse prevention programs; health risks; counseling, treatment and rehabilitation resources; legal sanctions and summary of laws; University employee and student sanctions for violations of alcohol and other drug policies; employee notification obligations; requirements for Federal grant recipients; and links to additional University policies, procedures, and resources.

POLICY STATEMENT
This policy and the Alcohol and Other Drugs Policy Handbook will be electronically distributed annually to all faculty, staff, and students. The coordinator of the ACES (Alcohol & Other Drugs Campus Education and Services) Office will conduct the required review, no less than biennially, in even-numbered years.

ANIMALS ON PROPERTY OWNED OR CONTROLLED BY THE UNIVERSITY

SLT 6.1
Date of Last Update: May 20, 2019
Approved By: Senior Leadership Team

Responsible Office: Public Safety

POLICY
This Policy applies to all faculty, students, staff, contractors, vendors and visitors.

POLICY STATEMENT
This policy is intended to enhance the safety and health of students, faculty, staff, contractors, vendors and other visitors, and to supplement the existing GVSU policies, by providing rules and regulations regarding the presence of animals in GVSU facilities.

No person shall bring any animal(s) onto University owned or controlled property unless otherwise permitted by this or other University policy as listed below. All University employees will, as a condition of employment, abide by the terms of this policy. Faculty, staff, and students are responsible for making decisions within the context of University policies and federal, state, and local laws related to alcohol and other drugs.

The Animal Care Policy Handbook (www.gvsu.edu/dsr) includes information about University animal policies; the responsibilities of University departments in the care, maintenance, and training of animals; the roles and responsibilities of animal owners; the impact of animals on the University community; the costs associated with animal ownership; and the responsibilities of animal owners.

The animal policy and the Animal Care Policy Handbook will be electronically distributed annually to all faculty, staff, and students. The coordinator of the DSR (Disability Support Resources) Office will conduct the required review, no less than biennially, in even-numbered years.

PROCEDURES
A. Animals Permitted on Property Owned or Controlled by the University:
1. Service Animals are permitted within all University facilities subject to the additional requirements of this policy. Individuals who wish to bring a service animal into a University housing facility may do so without prior approval. However, students are strongly encouraged to reach out to the University’s Office of Disability Support Resources (DSR) to ensure that their experience bringing the animal to campus is a positive one. Advance notice of a service animal in housing facilities will enable the University to appropriately plan for the animal’s presence and will allow more flexibility in meeting the student’s needs. Service animals are permitted to accompany the resident to all areas of housing where residents are normally permitted to go. Please note that service animals are required to be at least 12 months of age unless an exception to this requirement has been approved by DSR.
2. Employees with a disability who wish to utilize a service animal as a reasonable accommodation in a University office or other areas of campus buildings not open to the general public, must submit the request to the Office of Disability Support Services at least 30 days before the animal is needed.
3. Service animals in training are permitted in all public facilities on the same basis as working service animals, provided that the service animal is being led or accompanied by a trainer for the purpose of training the dog and the trainer has documentation confirming the trainer is affiliated with a recognized or certified service dog training organization. Service animals in training are not permitted in certain classrooms, offices, or other areas of campus buildings not open to the general public. Facilities generally considered off limits unless an exception is granted:
   a. Research Laboratories: The natural organisms carried by service animals may negatively affect the outcome of the research. At the same time, the chemicals, and/or organisms used in the research may be harmful to service animals.
   b. Areas Where Protective Clothing is Necessary: Any room where protective clothing is required or necessary. Examples include chemistry laboratories, research/medical laboratories, wood shops, metal or machine shop, electrical shops, etc.
c. Areas Where There is Danger to the Service Animal: Any room, including a classroom where there are sharp metal cuttings or other sharp objects on the floor or protruding from a surface; where there is hot material on the floor e.g. molten metal or glass; where there is a high level of dust; or where there is moving machinery. Where the threat of injury is to the health of the dog, the student will be permitted to make the decision.

4. A student or employee with a disability who wishes to utilize a service dog in training in University housing, classrooms, offices, or other areas of campus buildings not open to the general public must seek approval through the reasonable accommodation process.

5. Animals under the control of a law enforcement officer acting in the course of his or her duties.

6. Animals kept in residence halls as approved by the Department of Housing and Residence Life including animals kept by housing staff in residence. All animals must register with and be approved by Disability Support Resources prior to entering University housing, in accordance with this policy: https://www.gvsu.edu/iacuc/.

7. Service animals are permitted to accompany the resident to all areas of housing where residents are normally permitted to go.

8. Animals approved by the University for use in research or for instructional purposes: http://www.gvsu.edu/iacuc/.

9. Animals brought on campus for a special event sponsored by the University or a student organization provided that the event has been pre-approved in writing by the Dean for the sponsoring college or department or by the Office of Student Life.

10. Animals accompanied by members of the University community and visitors, as long as they remain on sidewalks and University walkways.

11. Any animal brought into a University owned or controlled property pursuant to this Policy must be properly licensed, vaccinated and tagged as required by applicable law. All animals must be under the control of their owner or handler and must be on a leash at all times, unless the owner is unable to use a leash due to a disability or the use of a leash would interfere with the service animal’s ability to perform its duties. In that case, the owner must be able to control the service animal by other effective means such as voice controls or signals.

12. Animals may not be cleaned or groomed in rest rooms, locker rooms, or other University facilities.

*For purposes of this Policy, “University Facility or Facilities” means any building, facility, structure or improvement, open or enclosed, that is owned, licensed, leased by, or under the control of the University.

B. General Requirements:

Persons bringing animals onto University owned or controlled property as permitted by this Policy are solely responsible for:

a. the full control, supervision and care of the animal
b. ensuring that animal droppings or other waste are picked up, thoroughly cleaned up and properly disposed of;
c. reimbursing the University for the costs associated with the repair of any real and/or personal property and/or University facility damaged directly or indirectly by the animal or the animal’s presence in the facility;
d. assuming full responsibility for any harm caused to others by their animal including medical expenses.
e. animals must not be cleaned or groomed in rest rooms, locker rooms, or other University facilities.

In addition, individuals bringing animals on campus must comply with all other applicable University ordinances, policies, practices and procedures and any applicable local, state or federal ordinance, statute and/or regulation.

C. Areas Requiring Pre-Approval for Service Animals:

1. The University may prohibit the use of service animals in certain locations due to health or safety restrictions, where service animals may be in danger, or where their use may compromise the integrity of research. Restricted locations may include, but are not limited to: teaching laboratories, classrooms, medical and surgical areas, and research areas.

2. Exceptions to restricted areas may be granted on a case-by-case basis by contacting the Office of Disability Support Resources. In making its decision, DSR will consult with the appropriate department and/or laboratory representative regarding the nature of the restricted area and any ongoing research. Additional requirements may be necessary to protect the animal. To be granted an exception: A student and/or employee who wants their animal to be granted admission to an off-limits area should contact DSR. Visitors should also contact DSR.

D. Clarifying Animal Status:

1. Service animals are permitted in all public facilities on campus in accordance with this Policy. University employees should refrain from questioning any individual about an accompanying service animal, including persons with non-visible disabilities, unless there is a genuine question about the animal based upon its behavior.

2. In the unusual circumstance when an inquiry must be made to determine whether an animal is a service animal, a University employee may only ask two questions:
   - Is the animal a service animal required because of a disability?
   - What work or task has the animal been trained to perform?

You may not ask these questions if the need for the service animal is obvious. You may not ask the owner to make the animal perform the task.

3. A University employee may not ask about a person’s disability, require medical documentation, require a special identification card or training documentation for the service animal or ask that the service animal demonstrate its ability to perform the work or task. Although a service animal may sometimes be identified by an identification card, harness, cape, or backpack, such identifiers are not required and should not be requested or demanded for any service animal on campus.

4. Allergies and fear of animals are not valid reasons for denying service or refusing to service people using service animals.

E. Removal of Service Animals:

1. A service animal may be removed from University facilities or grounds if it disruptive (e.g., barking, wandering, posing a direct threat to the health or safety of others; is housebroken; or displays aggressive behavior and the behavior is outside the duties of the service animal), ill, unhygienic, and/or unsanitary service animals are not permitted in public campus areas. The individual responsible for such an animal may be required to remove the animal. A service animal may also be removed if the animal is out of control and the owner does not take effective action to keep the animal under control. If the out of control behavior happens repeatedly, the owner may be prohibited from bringing the animal into facilities until the owner can demonstrate that significant steps have been taken to mitigate the animal’s behavior.

2. When an animal has been properly removed pursuant to this policy, the University will work with the handler/owner to determine reasonable alternative opportunities to participate in the University’s services, programs, and activities without having the animal on the premises.

3. Any individual with a dispute or disagreement concerning the removal of a service animal or any other aspect of this policy should first contact Disability Support Resources. If the matter is not resolved, a request for mediation should be submitted to DSR. Individuals may also file a written complaint with the Equity and Compliance Unit in the Division of Inclusion and Equity by calling 616-331-2894 or at https://www.gvsu.edu/cms4/asset/C7BF326A-0990-A17D-F66456C52989A6FA/assistance_animals_policy.pdf.
disability and after an assessment of the following factors: the type, size and weight of the miniature horse and whether the facility can accommodate these features; whether the handler has sufficient control of the miniature horse; whether the miniature horse is housebroken; and whether the miniature horse’s presence in a specific facility compromises legitimate safety requirements that are necessary for safe operation. (28 CFR 35.136)

2. Service animal in training: Dogs twelve months of age and older being individually trained to do work or perform tasks for people with disabilities that are at all times accompanied by a certified trainer. Puppies (dogs less than twelve months old) in training are not permitted in any University facilities.


FOOTNOTES

References and Resources

1. State of Michigan Service Animal Frequently Asked Questions (FAQs)

1. U.S. Department of Justice Civil Rights Division “Frequently Asked Questions about Service Animals and the ADA”
https://www.ada.gov/pubs900/service_animal.cfm

1. The Fair Housing of West Michigan
http://www.fhcmw.org/

ENDOWMENT SPENDING

SLT 6.4

Date of Last Update:
February 04, 2022

Approved By:

• Senior Leadership Team

Responsible Office:
Business and Finance

POLICY STATEMENT

Each year the University Board of Trustees approves spending rates for true and quasi-endowments. These rates are used to calculate the amount that can be distributed from each endowment. Only investment income is distributed from true endowments, as the principal must be maintained intact in perpetuity. Spending distributions are calculated quarterly for the current fiscal year based on the average balance over a rolling three-year period and transferred to the various spending funds at that time. Effective November 6, 2019 an endowment requires a minimum balance of $50,000 in principal before spending can begin.

PROCEDURES

A spending fund is created for each endowment so that monies are expended according to the purpose for which the endowment was established; usually a restricted fund is utilized for true endowments and a designated fund for quasi-endowments. Documentation that supports how the funds are expended must be maintained for five years. Specifically, for true endowments established to fund scholarships, the selection committee must ensure that documentation regarding the criteria utilized for candidate selection and award is maintained for a five-year period.

EQUIPMENT ACQUISITION AND DISPOSAL POLICY

SLT 6.5

Date of Last Update:
January 04, 2013

Approved By:

• Senior Leadership Team

Responsible Office:
Business and Finance

POLICY STATEMENT

The GVSU Purchasing Office (331-2280) is responsible for the acquisition and disposal of University-owned equipment, as outlined below.

PROCEDURES

1. ACQUISITIONS

A. New Equipment with a Value Greater Than $ 5,000.00

If your department has received new equipment and its cost is greater than $ 5,000.00, it needs to be tagged for inventory purposes. This process involves the placement of an inventory tag on the equipment plus recording of pertinent information – serial number, model number, location of equipment and the department, which purchased the equipment.

B. New Equipment with a Value Less Than/Equal to $ 5,000.00

If your department has received new equipment and its cost is less than or equal; to $ 5,000.00, tagging is optional. To have equipment tagged contact the Accounting Office at 331-2233.

2. DISPOSAL

Purchasing is responsible for the disposal of surplus, obsolete and worn out equipment. They will coordinate making the appropriate journal entries to update the equipment inventory, removal of University ID tags, and settlement of proceeds. Contact 331-2280 for requests to dispose of property and for appropriate disposal advice.

EVENT SIGNS AND BANNERS POLICY - ALLENDALE CAMPUS

SLT 6.7

Date of Last Update:
November 05, 2013

Approved By:

• Senior Leadership Team

Responsible Office:
Facilities Services

POLICY STATEMENT

Grand Valley State University event sign and banner policy is intended to establish a uniform system used across campus. The primary function of a sign or banner is to provide information. The effectiveness of distributing this information will be based on consistent design and standards. Implementation and maintenance of the event sign system will be the responsibility of the GVSU Facilities Services Department.
Event signs are made by Facilities Services. Banners are provided by the customer. When making a request, please follow the steps listed below:

1. Call Facilities Customer Service at 331-3000 to place your sign or banner request approximately two weeks prior to the event.
2. All banners are to be provided by the customer. There are two (2) locations on campus where they can be hung. One is in front of Lake Huron Hall in the Academic Mall area. The other is at the Northwest side of the Student Services Building facing Campus Dr. The banner(s) will be tied to metal posts that are secured into the ground. Banners may not hang from sculptures, the roof or inside any building, or be placed in the ground at a different location other than the two indicated unless approval has been given by the Associate Vice-President of Facilities Services. Also, approval must be given for any light pole banners. Light pole banner design must conform to Facilities size and construction standards and will be provided upon request.
3. Facilities Services will make and install three (3) real estate style signs for any GVSU sanctioned event on campus. They are 18” x 24”, white with navy blue lettering. Any additional signs ordered will be at a cost of $15.00 each and you must provide a FOAP number. There is a maximum of 15 signs that may be ordered.
4. If you are ordering a real estate sign then please indicate the event name, the location and which parking lot to use. We are able to place quotes or statements on the signs but it is a good idea to keep the letters to a minimum so they can be made large enough to read. Please give a date/time to have these installed and a date/time for removal.
5. Facilities Services will install the real estate signs at the locations the customer indicates. Usually this is at the campus entrances or along Campus Drive. No signs may be installed in front of the arch at the north main entrance or in front of any welcome sign at the campus entrances.
6. Handwritten signage is not allowed and any professional signage brought on campus must have approval by the Associate Vice-President of Facilities Services.

If you have any questions about your signage or need approval please call 331-3000.
DEFINITIONS

Account - a continuing relationship established by a person with a financial institution or creditor to obtain a product or service for personal, family, household or business purpose.

Account includes:
- an extension of credit, such as the purchase of property or services involving a deferred payment; and
- a deposit account

Card Issuer - a financial institution or creditor that issues a debit or credit card.

Consumer Reports - any written, oral, or other communication of any information by a consumer reporting agency bearing on a consumer's credit worthiness, credit standing, credit capacity, character, general reputation, personal characteristics, or mode of living which is used or expected to be used or collected in whole or in part for the purpose of serving as a factor in establishing the consumer's eligibility for:
- a. Credit or insurance to be used primarily for personal, family, or household purposes;
b. Employment purposes; or
c. Any other purpose authorized under U.S. Code: Title 13k, 1681b

Covered Accounts- an account that a financial institution or creditor offers or maintains, primarily for personal, family, or household purposes, that involves or is designed to permit multiple payments or transactions, such as a credit card account, mortgage loan, automobile loan, margin account, cell phone account, utility account, checking account, or savings account. Any account that the financial institution or creditor offers or maintains for which there is a reasonable foreseeable risk to customers or to the safety and soundness of the financial institution or creditor from identity theft, including financial, operational, compliance, reputation, or litigation. This includes all student accounts or loans that are administered by GVSU.

Debit Card- any card issued by a financial institution to a consumer for use in initiating an electronic funds transfer from the account of the consumer at such financial institution, for the purpose of transferring money between accounts or obtaining money.

Identifying Information- is any name or number that may be used, alone or in conjunction with any other information, to identify a specific person, including:

- a. Name
- b. Date of birth
- c. Address
- d. Government issued driver's license
- e. Telephone number
- f. Alien registration number
- g. Social security number
- h. Government passport number
- i. Employer or taxpayer ID number
- j. Student identification number
- k. Computer Internet address
- l. Routing code

Identity Theft- a fraud committed or attempted using the identifying information of another person without authority.

Program Administrator- the individual designated by the President with primary responsibility for oversight of the Program.

Red Flag- a pattern, practice, or specific activity that indicates the possible existence of identity theft.

Service Provider- a person that provides a service directly to the financial institution or creditor.

INTERIOR SIGNAGE STANDARDS POLICY
SLT 6.10
Date of Last Update: July 31, 2008
Approved By: Senior Leadership Team
Responsible Office: Facilities Planning

POLICY STATEMENT
Grand Valley State University has developed and maintains standards that govern the design, content, appearance, installation and use of interior signage through the institution. These standards are published on the Facilities Planning Web site.

PROCEDURES
Requests for additional signage, revisions in existing signage and/or removal of signage should be handled by submitting a work order through the Facilities Services Web site or by contacting the Facilities Planning office at 331-2962.

INVOICE PAYMENT POLICY
SLT 6.11
Date of Last Update: July 31, 2008
Approved By: Senior Leadership Team
Responsible Office: Business and Finance

POLICY STATEMENT
All purchases must be made in accordance with terms outlined in the Grand Valley State University Board of Trustees’ Policies. Payment of the resulting invoices is subject to the policies and procedures outlined below.

PROCEDURES
1. Vendors are to mail invoices to:
   Grand Valley State University
   Accounts Payable
   2015 Zumberge Hall
   Allendale, Michigan 49401

2. The University requires each new vendor to submit a W-9. Accounts Payable will either retain a file copy if the first invoice has an EIN included, or solicit a W-9 from the vendor.

3. Accounts Payable maintains original invoices. Departments will receive a copy of each invoice that will then require an approved signature based on the Banner approval queue information for the department. If the invoice references a valid purchase order, receipt of goods/services may be confirmed by any member of the requesting department via signature. If the invoice does not have a corresponding purchase order, the only requesting department staff members who may approve the invoice for payment are those authorized in the Banner approval queue. Departments must provide complete FOAPs on each invoice.

4. Department should then return invoice copies to Accounts Payable as soon as possible. The University desires to pay its obligations within the negotiated vendor terms. If these terms include discounts, they will only be given to a department if the approved invoice is returned in time for the vendor terms to be met. Please allow up to three (3) days for processing within Accounts Payable.

5. When you use a hotel that direct bills for university guests lodging you are required to provide the guest’s name and the business purpose of the stay.

6. Departments disputing an invoice must follow up with the vendor and inform Accounts Payable about reasons delaying authorization and return of disputed invoices.
Any requests for additional access are issued as requested to allow access to department files or personal office files, desks or cabinets.

Levels of Keys and Issuance

A system of keys ranging from Grand Master (GM) Keys down to the lowest level key is used to satisfy user needs. Facilities Services aims to issue the smallest number of keys possible. Keys will not be issued where card access is available.

**Grand Master Key** is the highest-level key on campus. These keys cover all of the keyways within the entire key system. All GM key requests must receive the approval of the appropriate division heads, the Associate Vice President for Facilities Services, and the Vice President for Finance and Administration. These keys are issued to the Associate Vice President for Facilities, Director of Facilities, Assistant Director, or Manager of Facilities Services, the Director of Facilities Planning, Project Managers, the Director of Public Safety Services, Facilities Services Supervisors and Public Safety Officers. Other Facilities Services staff and some university personnel may be approved, but only after administrative, and executive officer authorization.

Due to the level of access granted by a GM key, all GM keys will be issued on a tamper proof key ring, and require a tracking device be added to any key ring containing this level of key. The initial tracking device will be issued by Facilities Services Allendale or Facilities Services Grand Rapids and Regional Centers. Data collected through the tracking device is for use only by Facilities Services - Allendale, Security staff, and Facilities Services – Grand Rapids and Regional Centers and is for the sole purpose of locating a GM key in the event of loss or theft. Employees are prohibited from tampering or attempting to alter or disable the tracking device or key ring which the GM key was issued. The employee will be responsible for costs associated with replacing a tracking device due to loss or theft. Replacement due to damaged, worn, or non-working tracking devices will be covered by Facilities Services – Allendale or Facilities Services Grand Rapids and Regional Centers, so long as the damaged, worn or non-working tracking device is returned.

**Master Keys** operate all keyways except mechanical rooms and designated restricted areas. These keys are issued to Deans or Administrative Assistants with responsibility for all building and department activities. Custodial Staff will also be issued appropriate keys for the building to which they are assigned. Issuance of these keys must be approved by the Associate Vice President for Facilities Services.

**Sub-Master Keys** operate a sub-group of locks within a master key system such as an individual department. These are issued based on need and require approval of the Associate Vice President for Facilities Services.

**Operator Keys** allow entry to individual offices or rooms. These are the primary keys issued to faculty and staff. Approval is from the Associate Vice President for Facilities Services.

**Entrance Keys** operate entrance doors to buildings, departments, or suites. Approval is from the Associate Vice President for Facilities Services.

**File Keys** are issued as requested to allow access to department files or personal office files, desks or cabinets.

**Key Records** are maintained for each employee possessing a University key or access card. The information in the key system includes the employee’s name, job title, department and phone number. The system also lists the date the key is issued, the key code, access location, room numbers, employee’s signature, and dates of key/access card return.

**Key Request Procedures**

1. If your department receives a request for a new key, please follow the Key Request Procedures.
2. Invoices for additional access are processed daily. Each keyway is run as an invoice, and all costs are billed to the department.
3. Payments to non-employees and international guests for services rendered require a purchase order. Payments are processed in accordance with contract terms.
4. Payments to employees for services, honoraria, stipends, prizes and awards are paid via the payroll system in accordance with IRS regulations.
5. Any questions should be directed to Accounts Payable at 616-331-2202.
Access to locked areas of the University is based upon need.
Whenever possible, employees will be issued the minimum number of keys and access cards at the lowest level allowing access to their areas of assignment only.
Students, Grad Assistants, and temporary employees will not be issued brass keys to academic buildings. Employees signing out keys for these individuals will be held responsible for the keys.
Access cards may be issued if a student is enrolled in a program that requires card access i.e. Engineering, Health Campus Programs, CLAS.
Grad Assistants may be issued access cards for the duration of their employment to buildings or spaces where they work.
All key requests must be submitted in the KEY SYSTEM, which is available on the Facilities Services website.
Information in the KEY SYSTEM must be complete with proper supervisor or department approval. Incomplete requests will be denied. Proper justification must be provided for anything other than keys to specific doors.

Upon approval
Allendale Campus: Keys will be delivered to a designated office or can be picked up at the Central Utilities Building (CUB). The employee responsible for the keys/cards will use their university username and password to login to the electronic key system to verify receipt and responsibility of the keys/cards.
Grand Rapids Campuses: The requestor will receive an email letting them know that the keys/cards are ready to be picked up at either the Facilities Services office located in the Bicycle Factory, Suite 350, or at the main desk of the Cook-DeVos Center for Health Sciences Building. The employee responsible for the keys/cards will use their university username and password to log in to the electronic key system to verify receipt and responsibility of the keys/cards.
Regional Centers: Keys/cards requested for regional center buildings will be delivered. The employee responsible for the keys/cards will use their university username and password to log in to the electronic key system to verify receipt and responsibility of the keys/cards.

Return of Keys
When a key or access card is returned from an employee because the need no longer exists, the key record system will be updated with a return date. Upon termination of university employment, Facilities Services Allendale, Facilities Services Grand Rapids and Regional Centers will be notified by Human Resources.
The employee’s supervisor should follow up and direct the proper return of keys to Facilities Services Allendale and Facilities Services Grand Rapids and Regional Centers. These key changes are reflected in the key records and the file is closed.

Under no circumstances are keys to be retained by the department and given to a new employee.

Contractors and Vendors - Special Key Issuance and Lost keys
Vendors are issued temporary keys and access cards through the Facilities Services Allendale office or the Facilities Services Grand Rapids and Regional Centers office. Such keys and access cards are to be returned immediately upon completion of the contracted service or by 5:00 p.m. each day. Keys may be kept overnight with university manager approval.
In addition to the fee for a lost key, the responsible person/vendor accepts the responsibility to pay for the re-core of the building or buildings which the lost key was assigned to open. The cost of such a re-core may reach $10,000.00 or more depending on the size of the building and number of cores to replace. We urge all of our contractors/vendors to maintain custody of your keys and access cards at all times.

Students and Temporary Job Assignments
Students and temporary employees may have keys or access cards temporarily assigned to them for the duration of their shift and must return them daily. These are to be checked out through the Facilities Services Allendale office or the Facilities Services Grand Rapids and Regional Centers office and require supervisor’s permission. A driver’s license must also be presented and information will be recorded.
Employees receiving temporary job assignments may check out the appropriate building key from the Facilities Services Allendale office or Facilities Services Grand Rapids and Regional Centers office to work in the assigned area. (Example: custodians or maintenance personnel temporarily assigned to a different routine). These keys may be held for the duration of the temporary assignment and do not have to be returned daily.

Key Check Out Procedures
Lock Box Keys are issued to Facilities Services Allendale, Facilities Services Grand Rapids, Public Safety, Technology Services, and designated contractors only. This key allows access to key boxes that are located in designated buildings and contain a master key for that building. Lock Box keys are issued to designated individuals within those departments based on proof of need. These key requests must be approved by the Associate Vice President for Facilities Services.
Allendale Campus: Key and access card checkout is located in CUB for temporary checkout of keys and access cards. Identification (driver’s license) of the individual checking out the key as well as verification of need will be necessary.
Grand Rapids and Regional Center Campuses: Key and access card checkout for the Pew Campus and Regional Centers is located at the Bicycle Factory, Suite 350, for the temporary checkout of keys and access cards. Key and access cards for the Health Campus can be signed out from the main desk at the Cook-DeVos Center for Health Sciences. Identification of the individual checking out the key as well as verification of need will be necessary. Keys and access cards are to be returned daily by 5pm.

LIGHTNING/SEVERE WEATHER POLICY - ATHLETICS
SLT 6.14
Date of Last Update: August 22, 2014
Approved By: Senior Leadership Team
Responsible Office: Athletics

POLICY STATEMENT
The safety of all participants and observers of athletic events is extremely important. Therefore, the Athletics Department has developed the following policy and chain of command in order to ensure the safety of both athletes and onlookers in the case of severe weather during an athletic event.

PROCEDURES
Chain of Command
The athletic trainer(s) present (most practices) in coordination with the game administrator and game officials are responsible for terminating an athletic activity in the event of lightning or other severe weather. During outdoor activities, a primary responsibility of the athletic trainer(s) is to have the ability to make sound decisions on environmental factors effecting the safety and well being of the athletes as well as others involved with the activity.
The certified athletic trainer will communicate with the game administrator, the head coach, and game official(s)/umpire(s) of the potential for lightning or severe weather and will make the recommendation that all activities stop or be suspended.
If the head coach is not present, the senior most member of the coaching staff will assume responsibility.
If an athletic event proceeds with their outside activity against the recommendation of the individual in charge to seek a safe shelter, the athlete assumes all personal liability with regard to their safety and health.
If a coach and/or game official(s)/umpire(s) make the decision to continue to practice and/or continue with a game or other activity despite a Telvent weather warning, the cancellation of classes, and/or the verbal instruction by a certified athletic trainer or game administrator, they will be doing so against the recommendations of the Grand Valley State University Athletic Department and will be personally liable for any and all injuries.

Severe Weather
In the event of severe weather, the following measures will be taken by Grand Valley:
The athletic training staff will check the weather daily prior to the practice or competition. Up-to-date weather reports will be obtained by using Telvent services.
During outdoor practices and games, an early warning text message system from Telvent may be used to help determine the occurrence of and/or distance of lightning in the area. The weather reports and systems will be monitored for storms that may develop during practices and games.
When an early warning text message system from Telvent has detected lightning or thunder has been heard, the athletic trainer in charge will monitor the distance on the early warning system. When the lightning is within an 8-mile radius of the venue, the activity will be ceased and a warning message with recommended safe structure will be given.
Anytime the Meadows sirens are used to close the golf course, all athletic outdoor activities will be suspended.

The certified athletic trainer will be responsible for notifying the head coach, official(s)/umpire(s) and game administrator (if present) of the lightning or severe weather. The certified athletic trainer will recommend play to be suspended at this time and shelter should be taken.

Any person who feels they are in danger of any lightning activity will have the right to leave the field or event site to seek safe shelter without fear of penalty or repercussion.

If play is suspended due to severe weather, everyone (including observers) should seek shelter in the designated “safe structures” (listed in Table A). A “safe structure” is defined as “any building normally occupied or frequently used by people, i.e. a building with plumbing and/or electrical wiring that acts to electrically ground the structure.” This structure does not include: shower facilities, baseball/softball dugouts, outside storage sheds, and canopies/awnings/tents. When in a “safe structure,” stay away from corded telephones, electrical appliances, lighting fixtures, ham radio microphones, electric sockets, and plumbing.

In the absence of a “sturdy, frequently inhabited building,” one may seek shelter in fully enclosed hardtop vehicles but should not touch the sides of the vehicle.

If no “safe structure” is available within reasonable distance, find a thick grove of small trees surrounded by taller trees or a dry ditch and assume a lightning safe position. A lightning safe position is one in which one is crouched on the ground with only the balls of the feet touching the ground, with their arms wrapped around the knees and head lowered. Do not lie flat on the ground. Stay away from the tallest trees or objects (i.e. light poles or flag poles), metal objects (i.e. fences or bleachers), individual trees, standing pools of water, and open fields. Avoid being the highest object in a field.

Any person who feels his/her hairs stands on end or skin tingle should immediately assume a lightning safe position.

Avoid using a landline telephone. Cellular phones may be used in an emergency situation.

Resumption of Activity

Play will resume thirty minutes following the last flash of lightning per the Telvent warning system. The certified athletic trainer will make this recommendation to the official(s) during an official game.

Blue skies and absence of rain are not protection from lightning. Lightning can strike up to ten miles from the rain shaft and it does not have to be raining for lightning to strike.

Care of a Lightning-Strike Victim

A lightning strike does not stay attached to the source therefore they do not carry an electrical charge. It is safe to touch the victim to move him/her to a safe location and to render medical treatment. Medical personnel should consider their own personal safety before venturing into a dangerous situation to render care.

Lightning-strike victims who show signs of cardiac or respiratory arrest need emergency help quickly. CPR and AED use should begin immediately. If necessary, Prompt, aggressive CPR has been highly effective for the survival of victims of lightning strikes.

Victims should be assessed and treated for apnea, asystole, hypothermia, shock, fractures and burns.

Refer to the Safe Structures table.

References


TABLES

Safe Structures

<table>
<thead>
<tr>
<th>Activity Location</th>
<th>Primary Safe Locations</th>
<th>Secondary Safe Locations</th>
</tr>
</thead>
<tbody>
<tr>
<td>Lubbers Stadium/FB Practice Fields</td>
<td>Football Athletic Building or Kelly Family Sports Center</td>
<td>Odie Weight Room/personal vehicles</td>
</tr>
<tr>
<td>Tennis Courts</td>
<td>Fieldhouse</td>
<td>Personal vehicles/team bus</td>
</tr>
<tr>
<td>Softball Field</td>
<td>Kelly Family Sports Center</td>
<td>Personal vehicles/team bus</td>
</tr>
<tr>
<td>Baseball Field</td>
<td>Kelly Family Sports Center</td>
<td>Personal vehicles/team bus</td>
</tr>
<tr>
<td>Outdoor Track/Lax Stadium</td>
<td>Stadium Restrooms</td>
<td>Personal vehicles/team bus</td>
</tr>
<tr>
<td>Soccer Field</td>
<td>Stadium Restrooms</td>
<td>Personal vehicles/team bus</td>
</tr>
<tr>
<td>Cross Country Ski Hill</td>
<td>Dorms – nearest to Parking Lot D</td>
<td>Personal vehicles/team bus</td>
</tr>
<tr>
<td>Meadows Golf Course</td>
<td>Meadows Clubhouse or weather shelter on course</td>
<td>Personal vehicles/team bus</td>
</tr>
</tbody>
</table>

SPACE HEATERS AND PERSONAL APPLIANCE POLICY

SLT 6.16

Date of Last Update: January 12, 2015

Approved By:
POLICY STATEMENT

This document is designed to provide guidance to faculty and staff on University travel policies, regulations and procedures. These guidelines are in general terms and are not expected to cover every situation.

For questions regarding policies, procedures or travel arrangements, call Procurement Services at 616-331-2280. For questions regarding travel and expense reimbursement, call the Accounting Office at 616-331-2200.

POLICY STATEMENT

This document is designed to provide guidance to faculty and staff on University travel policies, regulations and procedures. These guidelines are in general terms and are not expected to cover every situation.

For questions regarding policies, procedures or travel arrangements, call Procurement Services at 616-331-2280. For questions regarding travel and expense reimbursement, call the Accounting Office at 616-331-2200.

POLICY STATEMENT

This document is designed to provide guidance to faculty and staff on University travel policies, regulations and procedures. These guidelines are in general terms and are not expected to cover every situation.

For questions regarding policies, procedures or travel arrangements, call Procurement Services at 616-331-2280. For questions regarding travel and expense reimbursement, call the Accounting Office at 616-331-2200.

POLICY STATEMENT

This document is designed to provide guidance to faculty and staff on University travel policies, regulations and procedures. These guidelines are in general terms and are not expected to cover every situation.

For questions regarding policies, procedures or travel arrangements, call Procurement Services at 616-331-2280. For questions regarding travel and expense reimbursement, call the Accounting Office at 616-331-2200.

TRAVEL POLICY

SLT 6.18

Date of Last Update:

July 01, 2021

Approved By:

• Senior Leadership Team

Responsible Office:

Business and Finance

POLICY STATEMENT

This document is designed to provide guidance to faculty and staff on University travel policies, regulations and procedures. These guidelines are in general terms and are not expected to cover every situation.

For questions regarding policies, procedures or travel arrangements, call Procurement Services at 616-331-2280. For questions regarding travel and expense reimbursement, call the Accounting Office at 616-331-2200.

POLICY STATEMENT

This document is designed to provide guidance to faculty and staff on University travel policies, regulations and procedures. These guidelines are in general terms and are not expected to cover every situation.

For questions regarding policies, procedures or travel arrangements, call Procurement Services at 616-331-2280. For questions regarding travel and expense reimbursement, call the Accounting Office at 616-331-2200.

POLICY STATEMENT

This document is designed to provide guidance to faculty and staff on University travel policies, regulations and procedures. These guidelines are in general terms and are not expected to cover every situation.

For questions regarding policies, procedures or travel arrangements, call Procurement Services at 616-331-2280. For questions regarding travel and expense reimbursement, call the Accounting Office at 616-331-2200.

POLICY STATEMENT

This document is designed to provide guidance to faculty and staff on University travel policies, regulations and procedures. These guidelines are in general terms and are not expected to cover every situation.

For questions regarding policies, procedures or travel arrangements, call Procurement Services at 616-331-2280. For questions regarding travel and expense reimbursement, call the Accounting Office at 616-331-2200.

POLICY STATEMENT

This document is designed to provide guidance to faculty and staff on University travel policies, regulations and procedures. These guidelines are in general terms and are not expected to cover every situation.

For questions regarding policies, procedures or travel arrangements, call Procurement Services at 616-331-2280. For questions regarding travel and expense reimbursement, call the Accounting Office at 616-331-2200.

POLICY STATEMENT

This document is designed to provide guidance to faculty and staff on University travel policies, regulations and procedures. These guidelines are in general terms and are not expected to cover every situation.

For questions regarding policies, procedures or travel arrangements, call Procurement Services at 616-331-2280. For questions regarding travel and expense reimbursement, call the Accounting Office at 616-331-2200.

POLICY STATEMENT

This document is designed to provide guidance to faculty and staff on University travel policies, regulations and procedures. These guidelines are in general terms and are not expected to cover every situation.

For questions regarding policies, procedures or travel arrangements, call Procurement Services at 616-331-2280. For questions regarding travel and expense reimbursement, call the Accounting Office at 616-331-2200.
All uses of UAS/MA must comply with the following:

- In operating a UAS/MA for purposes of recording or transmitting visual images, operators must take all reasonable measures to avoid intrusions into areas normally considered private.

- Operation of UAS on University property is to be done in accordance with only part 107 of the FAA rules for certified remote pilots and commercial operators. All rules of part 107 apply to operation on University property. UAS/MA may not be used inside of any University building without prior permission given by an Executive Officer of the University.

- UAS/MA must be in visual line of sight of the remote pilot in command.

- UAS/MA must be visible to the operator with no assistance other than corrective lenses.

- UAS/MA may not fly over people

- UAS/MA must be visible to the operator with no assistance other than corrective lenses.

- UAS/MA must be in visual line of sight of the remote pilot in command.

- UAS/MA must be visible to the operator with no assistance other than corrective lenses.

- UAS/MA may not fly over people

- UAS/MA may be operated in daylight only

- UAS/MA must yield right of way to other aircraft

- UAS/MA may be operated in daylight only

- UAS/MA may yield right of way to other aircraft

- UAS/MA maximum speed 100 mph/87 knots

- UAS/MA may be operated in daylight only

- UAS/MA may not fly over people

- UAS/MA must be visible to the operator with no assistance other than corrective lenses

- UAS/MA may not fly over people

- UAS/MA may be operated in daylight only

- UAS/MA must yield right of way to other aircraft

- UAS/MA may be operated in daylight only

- UAS/MA must yield right of way to other aircraft

- UAS/MA maximum speed 100 mph/87 knots

- UAS/MA may be operated in daylight only

- UAS/MA may not fly over people

- UAS/MA must be visible to the operator with no assistance other than corrective lenses

- UAS/MA may not fly over people

- UAS/MA may be operated in daylight only

- UAS/MA must yield right of way to other aircraft

- UAS/MA may be operated in daylight only

- UAS/MA must yield right of way to other aircraft

- UAS/MA maximum speed 100 mph/87 knots

- UAS/MA may be operated in daylight only

- UAS/MA may not fly over people

- UAS/MA must be visible to the operator with no assistance other than corrective lenses

- UAS/MA may not fly over people

- UAS/MA may be operated in daylight only

- UAS/MA must yield right of way to other aircraft

- UAS/MA may be operated in daylight only

- UAS/MA must yield right of way to other aircraft

- UAS/MA maximum speed 100 mph/87 knots

- UAS/MA may be operated in daylight only

- UAS/MA may not fly over people

- UAS/MA must be visible to the operator with no assistance other than corrective lenses

- UAS/MA may not fly over people

- UAS/MA may be operated in daylight only

- UAS/MA must yield right of way to other aircraft

- UAS/MA may be operated in daylight only

- UAS/MA must yield right of way to other aircraft

- UAS/MA maximum speed 100 mph/87 knots

- UAS/MA may be operated in daylight only

- UAS/MA may not fly over people

- UAS/MA must be visible to the operator with no assistance other than corrective lenses

- UAS/MA may not fly over people

- UAS/MA may be operated in daylight only

- UAS/MA must yield right of way to other aircraft

- UAS/MA may be operated in daylight only

- UAS/MA must yield right of way to other aircraft

The University will permit the benefits of airline/hotel/rental car rewards programs derived from travel paid for by the University to accrue to the traveler. This provision does not permit travelers to charge travel and booking arrangements with a personal method of payment when the University P-Card can be used.
A UAS/MA may carry an object if it does not affect flight operations and the entire UAS/MA with the object weighs less than 55 pounds.

**Enforcement of Complaints**

Any violations of this policy will be dealt with in accordance with applicable University procedures which may include disciplinary actions and where appropriate, legal action. All complaints concerning the operation of UAS/MA over University Property should be referred to the Department of Public Safety. Users will also be subject to applicable Federal and State laws.

Any FAA fines incurred by individuals or departments will be the responsibility of the individuals involved.

**Definitions**

For purposes of this Policy, these terms should have the following meaning:

Model Aircraft - is an aircraft weighing less than 55 pounds

University Property – Buildings, grounds and land owned or controlled by the University.

Uncrewed Aircraft Systems (UAS) – UAS are also known as or may be characterized as uncrewed aircraft systems or Drones.

According to the FAA, a UAS is the Uncrewed aircraft and all of the associated support equipment, control station, data links, telemetry, communications and navigation equipment, etc., necessary to operate the Uncrewed aircraft. UAS may have a variety of names including but not limited to quadcopter and quadrotor. FAA regulation applies to UAS regardless of size or weight. Model aircraft are not considered by the FAA as UAS and have different regulations.

**COA** – Certificate of Authorization or Waiver. The COA is an authorization issued by the FAA to a public operator for a specific UAS activity. After a complete application is submitted, FAA conducts a comprehensive operational and technical review. If necessary, provisions or limitations may be imposed as part of the approval to ensure the UAS can operate safely with other airspace users. In most cases, FAA will provide a formal response within 60 days from the time a completed application is submitted.

**333 Exemption** – FAA exemption based on Section 333 of the FAA Modernization and Reform Act of 2012 (FMRA) which grants the Secretary of Transportation the authority to determine whether an airworthiness certificate is required for a UAS to operate safely in the National Airspace System.

**Remote Pilot Certificate** – Certificate of authorization issued by the FAA to public operator for commercial operation of UAS activity of a craft under 55 lbs. in weight.

---

**LAKER STORE POLICY**

SLT 6.21

**Date of Last Update:**
October 20, 2015

**Approved By:**
Senior Leadership Team

**Responsible Office:**
GVSU Laker Store

**POLICY STATEMENT**

GVSU Laker Store is dedicated to serving the students of Grand Valley State University with resources, which enhance the University’s mission and image.

**PROCEDURES**

**Textbook Locations**

GVSU Laker Store - Allendale carries the textbooks and supplies required for classes taught on the Allendale campus and for those taught in Holland and other “satellite” locations across the state. These are available online at [http://lakerstore.gvsu.edu](http://lakerstore.gvsu.edu) or by calling toll free at 866-299-0001.

GVSU Laker Store – Robert C. Pew Grand Rapids Campus carries the textbook and supplies for classes taught in Grand Rapids, including those at the Cook-DeVos Center for Health Sciences.

**Other Merchandise**

Both store locations offer a selection of GVSU imprinted clothing and gifts, greeting cards, leisure reading books, school supplies, and a full line of computers and accessories, including Apple products. The leisure reading department includes a faculty book section. Faculty who publish are encouraged to notify the GVSU Laker Store staff, and we will add the title to our inventory.

**Discounts, Sales and Promotions**

GVSU Laker Store attempts to maintain pricing policies that are reasonable, fair and consistent. As a result, the same pricing is made available to students, faculty and staff. Sales and promotions are announced in advance on the GVSU Laker Store website and the University bulletin board, in addition to fliers and posters across campus. The same promotions are made available at both store locations.

**Merchandise Returns Policies**

Textbooks may be returned for a full refund through the first week of classes with a valid receipt. Specific deadlines are announced each semester. Other merchandise can generally be returned within a reasonable period when accompanied by a valid receipt. Merchandise, other than textbooks, will be accepted for an exchange when no receipt is presented. Refunds for purchases paid with cash or check will be refunded in cash, while returns for credit card purchases will be credited to the card.

**Book Sell Back**

GVSU Laker Store conducts a daily textbook sell-back at both store locations. Generally, sell-back values increase near the end of each semester, and the majority of students sell their books during final exam week. Books that will be used at GVSU in the coming semester are purchased by the bookstore at 50% of the new book retail price until store quotas are met. Books that are not being used at GVSU may be purchased at 5-30% of retail price as long as they are current editions.

**Textbook Orders**

To insure the arrival of textbooks for the start of classes and to offer students an opportunity to sell their books at the end of the term, it is requested that faculty submit textbook orders by published deadlines. Textbook orders need to be in the bookstore by October 25 for winter semester, by February 25 for spring-summer semester, and by March 25 for fall semester. Requisitions may be submitted on-line in the faculty access section of the bookstore’s website at [http://lakerstore.gvsu.edu](http://lakerstore.gvsu.edu/).

For access information, please consult your department coordinator. When submitting a requisition, please provide accurate ISBN information to insure that the correct book is ordered. GVSU Laker Store staff also appreciate notification that “no books are required” for a class when appropriate.

**Coursepacks**

Faculty may choose to create coursepacks for sale through the bookstore. This may be done by working with a custom publisher, which manages copyright clearances and copying, or through the University’s Copy Center. In the latter case, faculty must perform copyright clearances themselves. Letters from publishers authorizing copying of materials, together with a complete and final version of the coursepack, should be forwarded to the appropriate bookstore location well in advance before the start of the semester. The textbook manager will arrange for copying, return the original to the faculty member, and place coursepacks on the shelf in time for the start of the semester.

**Desk Copy Loans**

Publishers will not honor requests for desk copy loans from bookstore personnel, so such requests should be made directly to the publisher. Faculty requiring a desk copy of an adopted textbook should contact their publisher representative for additional information.
USE OF SECURITY CAMERAS

SLT 6.29

Date of Last Update:
May 15, 2019

Approved By:

• Senior Leadership Team

Responsible Office:
Public Safety

POLICY STATEMENT

Grand Valley State University seeks to promote campus safety and to provide its community with a secure environment. Security video camera systems are a critical component to a comprehensive emergency and security plan. A security camera is defined as video technology that records a specific area in order to detect, deter, prevent, or investigate crime or other threats to public safety. The University takes seriously its responsibility to protect personal privacy when it operates security camera systems. No security camera will be installed on University owned or controlled property in any location for which there is a reasonable expectation of privacy. These areas include but are not limited to restrooms, locker rooms and occupied student residential rooms. This policy applies to stationary security cameras and not to portable or temporary camera applications.

This policy serves to regulate the installation and appropriate uses of security cameras, including the retention, viewing, release and destruction of recorded images, data or records produced by security camera use.

The existence of this policy does not imply or guarantee that security video cameras will be monitored in real time, continuously or otherwise, nor that any particular department is going to observe and respond to a crime in progress.

Video recordings with information about a specific student are considered law enforcement records unless the University uses the recording for disciplinary purposes or makes the recording part of the educational record. The Department of Public Safety, working in conjunction with the Information Technology Department has the authority to select, coordinate, operate, manage, and monitor all security camera systems pursuant to this policy.

PROCEDURES

Individual colleges, departments, programs, or organizations wishing to install security camera equipment on any of the University campuses are required to collaborate with Facilities Planning, Department of Public Safety and Information Technology prior to any installation. All equipment and installation must be approved and coordinated through the Department of Public Safety in order to meet the minimum technical specifications identified by the Department of Public Safety and Facilities Planning along with Information Technology for technical standards. All costs for purchase, installation, and maintenance of security cameras will be the responsibility of the appropriate project budget or the department/unit making the request.

Security Camera System Operator

Security Camera System Operators are trained staff members who have access and been assigned responsibility by the Department of Public Safety. Prior to being permitted access to any security cameras, these individuals will be trained by the Department of Public Safety in the technical, legal, and ethical parameters of appropriate camera use. The Department of Public Safety will maintain an up-to-date list of authorized Security Camera System Operators having access to the system and any live or recorded images. Access to viewing, copying, duplicating and/or retransmission of live, recorded video or still images will be limited to Security Camera System Operators.

Security Camera System Operators are responsible to appropriately protect the privacy of personal information that may have been captured by cameras under their control.
CRISIS COMMUNICATION PLAN

SLT 7.1

Date of Last Update: February 18, 2021

Approved By:

• Senior Leadership Team

Responsible Office:

University Communications

POLICY

The audience for this plan includes all students, faculty, staff, alumni, parents, trustees, the Grand Valley Foundation, and the general public.

POLICY STATEMENT

The purpose of this communication plan is to set guidelines to be followed for sharing information with executive officers, faculty, staff and students, as well as the general public. This includes both emergency and non-emergency communications. The plan is coordinated with the Comprehensive Emergency Management Plan (CEMP) administered by the Emergency Management team.

Executive Summary

• The Vice President for University Relations shall serve as the crisis communication director along with another member of the Senior Leadership Team. In a physical emergency, that second executive officer will be the Vice President of Finance and Administration. In a public relations issue, the second executive officer will be determined by the issue.

• The Associate Vice President for University Communications, Chief of Police, Associate Vice President for Facilities, and Dean of Students shall be the crisis communication coordinators.

• Whenever a situation affecting the campus reaches proportions that cannot be handled by routine measures, the crisis communication coordinators shall notify the Vice President for University Relations.

• The crisis communication director communicates with the other executive officers and Board of Trustees.

• Each university administrator, upon being notified, is to provide applicable information to those persons under his/her direction as per the crisis notification system.

• Main and field crisis communication posts shall be established as required by the situation and shall be equipped with communications systems required to utilize resources.

Following the crisis, the crisis communication coordinators will gather all appropriate individuals for debriefing and review. Appropriate action will be determined.

PROCEDURES

TYPES OF COMMUNICATION

Emergency Notification:

An emergency is defined as a serious, unexpected, and often dangerous situation requiring immediate action. A university emergency is generally defined as any incident or event causing or potentially causing serious injury to persons, extensive property damage, loss of life, or disruption of university operations.

NOTE: The Comprehensive Emergency Management Plan can be found at http://gvsu.edu/s/0pC.

If an Emergency Notification should be sent, GVPD will write the initial notification message and send it using Grand Valley’s emergency notification system (RAVE). The crisis communication director, and the crisis communication coordinators will determine who else needs to be notified and the appropriate action and follow-up messages as it relates to each campus.

The Vice President for University Relations and the Associate Vice President for University Communications, or their designee(s), write any subsequent message(s). A designated representative from University Communications will be responsible for distributing the alert using RAVE. University Communications will post messages on the university home page, GVNext and/or use the university’s social media outlets.

NOTE: Evacuation of Building procedures should follow instructions found in the Annual Security and Fire Safety Report (www.gvsu.edu/s/0Mx).

If a Timely Warning should be considered, GVPD will notify the crisis communication director and/or crisis communication coordinator(s). A Timely Warning is required if GVPD receives a report that a Clery crime has been committed on GVSU Clery geography and considers there to be a serious or continuing threat to students and employees. The content of a Timely Warning needs to include information that would promote safety and aid in the prevention of similar crimes and information about the crime that triggered the Timely Warning. The Vice President for University Relations and the Associate Vice President for University Communications, or their designee(s), will write the message(s). A designated representative from University Communications will be responsible for distributing the alert using RAVE. University Communications may post messages on the university home page, GVNext and the university’s social media outlets.

SAFETY NOTICE FOR OFF-CAMPUS INCIDENTS

Grand Valley may elect to issue a safety notice to members of the University when it is determined there is a reoccurring series of criminal activity, a disruption to operations, or when the Department of Public Safety determines that there may be a serious continuing or ongoing threat to the health or safety of off-campus students. When deemed necessary, the Department of Public Safety will notify the Vice President for University Relations or Associate Vice President for University Communications for dissemination.

PUBLIC WEATHER INFORMATION

If the weather poses an immediate threat to the campus community the Comprehensive Emergency Management Plan (CEMP) will be followed and communications made accordingly.

When there is inclement weather that requires cancellation or closure, the GYSVU Cancellation/Closure Policy shall be followed.

REPORTING TO UNIVERSITY COMMUNICATIONS POTENTIAL REPUTATIONAL INCIDENTS OR CRISIS

Whenever an event or issue appears to have potential for becoming a controversial news story the Grand Valley staff or faculty member aware of the circumstance should immediately notify the unit head who has responsibility in the situation.

The unit head should immediately communicate all available information to the appropriate appointing officer/dean and/or executive officer, and the Associate Vice President for University Communications. University Communications should be notified regardless of the availability of the appointing officer/dean and/or executive officer.

DEFINITIONS

Crisis Communication Director:

The Vice President for University Relations or designee will be responsible for supervising communications being released during and surrounding a crisis.

Crisis Communication Coordinators:

The Associate Vice President for University Communications, Chief of Police, Associate Vice President for Facilities, and the Dean of Students shall be the crisis communication coordinators. It is the responsibility...
IDENTITY STANDARDS POLICY

Date of Last Update: December 04, 2012
Approved By: Institutional Marketing

POLICY STATEMENT

To convey clear messages about Grand Valley, it's important that University messaging is consistent in look and tone. In addition, Grand Valley's logos are trademarked so it's very important that they are used correctly. Institutional Marketing has created a standards guide to assist with consistency.

PROCEDURES

The address for the website containing the standards guide and other helpful information is www.gvsu.edu/identity. From this site, you can find logo dos and don'ts, download logos, determine word usage, read about GVSU's marketing messages, and get help with publication, website, and advertising guidelines. Please contact 331-2525 for more information.

MOTION PICTURE/VIDEO/FILM/DIGITAL IMAGING PRODUCTION ON THE CAMPUS

Date of Last Update: January 04, 2013
Approved By: University Communications

POLICY STATEMENT

GVSU understands the importance of the film industry to the local economy and the benefit it can provide to our students interested in a career in film and film production. However, film production on campus is permitted only if it does not interfere with normal University business and/or previously scheduled events. A Location Permit is required to film on campus. The Location Permit can be approved only when all of the appropriate procedures and requirements have been met. Use of any location can only be approved with the consent of the impacted University units or buildings and consideration of the impact on surrounding areas and activities. Scheduled University events, regardless of size, take precedence over film shoots in determining location availability.

PROCEDURES

Requests for Permits

Requests for a Location Permit must complete the appropriate application. This application and complete script (if applicable) shall be submitted to University Communications. Upon receipt, the completed application and script will be forwarded to a core committee that will include a representation of affected units or buildings for review and project approval.

Fees & Costs

Location fees and operational costs will be charged and are based on a number of variables. The length of the shoot, locations involved, and types of GVSU services needed are all considered in determining the total operational costs. In certain limited instances fees and costs may be waived for uses such as public service announcements. Other forms of media may be subject to this fee and will be handled on a case-by-case basis.

Alterations to Premises

Production companies may not make any alterations to the University Premises (either temporary or permanent), including trimming, cutting or removing natural features such as trees and shrubs, without the express written approval of the University. Production companies will leave the University Premises and all property of any kind located therein in as good order and condition as they were immediately prior to production. Production companies will be responsible for paying for any expenses to restore the University’s Premises to its original condition.

Code of Conduct

While filming on our campus production companies and their employees are guests of the University and should treat this location and campus community with respect and courtesy. The production company, cast, crew and all others associated with the project are expected to comply fully with University Policies. They will be expected to adhere to the Filmakers Code of Professional Responsibility, which is Attachment A to the Guidelines and Procedures for this policy.

University Identification and Appropriate Use of Campus Images

No identification of GVSU as a location is permitted, except in rare instances and only when the specific use is submitted for prior approval University Communications. The request will only be approved when it is deemed to be in the University’s best interests.

Identification includes but is not limited to trademarks, icons, recognizable University landmarks, and the use of merchandise containing trademarked images/logos (i.e. flags, apparel, posters, miscellaneous items containing logo, etc.). Identification also includes verbal references on film.

Filming and Athletics

Under no circumstances is the production company to film or use any information/images/names/biographical information pertaining to any current university intercollegiate athlete for any purpose. Appearing in a commercial production that identifies them as university intercollegiate athletes will jeopardize their NCAA eligibility.

Cancellation

If written notice of cancellation for an approved location permit is received before the production begins, then the production is liable for any actual costs incurred by the University as of the receipt of the cancellation notice. University shall have the right to cancel the agreement if the Agreement holder is deemed insolvent or, in the University’s sole opinion, shall fail to perform any material term in the Agreement after having received written notice from the University to do so.

Exceptions to Policy

Student projects are subject to School of Communication requirements and procedures and do not require a permit. Incidental filming that includes uses such as: filming for non-commercial or internal use, class projects, or personal use. This filming requires no special services and does not in any way disrupt the normal functioning of the University. This filming cannot be used for commercial purposes after the fact without written permission from Grand Valley State University.

PUBLICATIONS AND ADVERTISING

Date of Last Update: October 18, 2012
Approved By: Senior Leadership Team
POLICY STATEMENT
Institutional Marketing manages, designs, and produces a full range of publications and visual materials, including recruitment materials, course catalog, programs, brochures, posters, postcards, banners, and invitations. Advertising is also designed and produced in the Office of Institutional Marketing for the purposes of university image/awareness and recruitment. If not produced by Institutional Marketing, all publications and ads should be approved by Institutional Marketing before publication. Logo, design, and copy standards can be found at [http://www.gvsu.edu/identity](http://www.gvsu.edu/identity).

PROCEDURES
Because of the high demand for print pieces, the following priorities have been set for pieces to be produced by Institutional Marketing:

1. The Office of the President
2. Publications used by academic services in student recruiting
3. Materials used off campus for development purposes
4. Major publications of the university dealing with the general public or special external audiences
5. Advertising production support for development and student recruiting
6. Other university publications

Requests for forms, applications, etc. are not handled by Institutional Marketing and should be ordered through Procurement Services.

All publications and advertising should be sent to Institutional Marketing for review before they are printed or posted. Please contact 331-2525 for more information.

WEB POLICIES FOR ACADEMIC AND ADMINISTRATIVE UNITS
SLT 7.5
Date of Last Update: December 18, 2012
Approved By:
Senior Leadership Team

Responsible Office:
Institutional Marketing

POLICY STATEMENT
All GVSU units are required to maintain their webpages on the university’s domain [www.gvsu.edu](http://www.gvsu.edu) and use the university’s content management system unless authorized by Institutional Marketing. All GVSU organizations, whether on the gvsu.edu domain or authorized to maintain their own servers and publish pages under domains other than [www.gvsu.edu](http://www.gvsu.edu) are equally responsible for adhering to GVSU Web standards.

The purpose of website design standards is to:
- Reinforce GVSU’s identity
- Meet the needs of the constituencies Grand Valley serves
- Provide continuity in website appearance
- Protect and regulate the use of proprietary GVSU names, logo marks, word marks, and graphic devices
- Keep content current
- Faithfully represent GVSU to the public

Web standards can be found at [http://www.gvsu.edu/identity](http://www.gvsu.edu/identity).

ALUMNI HOUSE POLICY FOR FACULTY/STAFF
SLT 8.1
Date of Last Update: January 03, 2013
Approved By:
Senior Leadership Team

Responsible Office:
Alumni Relations

POLICY
The Alumni House is available for use by departments of the University. However, the reservation must be made by a faculty or staff member. Faculty and staff members that wish to use the Alumni House for a personal event may do so; all room rentals and additional charges will apply.

POLICY STATEMENT
General
The applicant undertakes to observe all rules and directions, which are imposed by GVSU and the Alumni Relations Office generally, or specifically, in respect to the space which is being used.

The room rental fee will be waived for University-sponsored events providing all additional charges (i.e. catering, equipment, a/v, etc.) are paid by a University account number.

Inform the Event Coordinator if there will be any distinguished or special guests attending the event.

Reservations
Reservations for University events must be made by a University employee. All reservations are to be arranged with the Event Coordinator at (616) 331-3590. When the Event Coordinator approves the reservation request, he/she will send the primary contact a confirmation of the event with all event details submitted in the reservation form.

Reservations will be made according to the event time specified on the request form. The Event Coordinator will add to your reservation two hours before event start and one hour after event end time for any necessary setup and/or cleanup you may require; contact the Event Coordinator if you require more time.

Food and Beverage
For events that involve the service of prepared food and drink, you must use the University’s catering service (Classic Fare Catering).

If you will use the University catering service for your event, please arrange your menu selections with the Event Coordinator by the deadlines described on the event confirmation.

For events that involve the service of alcohol, you must agree to abide by all Michigan Liquor Control Commission rules and regulations involved in the service of alcohol in addition to those established by the University. Final alcohol selections must be given to the Event Coordinator at least two weeks prior to the event to ensure the availability of requested menu items. No changes/additions will be accepted after the deadline.

A final guest count must be given to the Event Coordinator at least three (3) business days prior to the event. If a final guest count is not received three business days prior to the event, the food service provider...
will consider the last communicated guest count to be the final guest count. The final bill will be based on the final guest count given or actual number served, whichever is higher.

Please contact the Event Coordinator at (616) 331-3590 for information on hosting a non-University sponsored event.

Minimum charges may be applicable for food and bar service.

Outside food and drink are not allowed in the Alumni House.

Food and drink from the Alumni House, partial or whole, are not to leave the premises by state law.

No event may exceed 12:00 midnight, and alcohol service will be discontinued at 11:30 pm.

Set-up and Special Requests

The Perry Dining Room has a standard furniture set-up; additional furnishings or the rearrangement of existing furnishings may result in additional expenses. Set-up requests must be made in advance with the Event Coordinator and must be finalized five (5) business days before the event date. Changes to the set-up after this deadline may incur an additional charge.

Contact the Event Coordinator to order additional equipment, audiovisual equipment, and any other special requests at least five (5) business days before the event.

Cancellation

The Event Coordinator encourages a five (5) business day notice for event cancellations. Special cases will be handled on a case-by-case basis.

You may cancel your event up to three (3) business days prior to the scheduled time of the event at no charge. Events cancelled with less than three (3) business days' notice will be billed for costs incurred, up to 100% of the total bill.

Late charges will occur when catered events are planned less than three (3) business days in advance. These charges will be 10% of the total cost of the event with a minimum of $10.00.

Release of Alumni Contact Information

We do not release contact information to the general public from our alumni database. At this time, individuals searching for alumni do so by filling out a form online that is forwarded to University alum via postal mail.

ENDOWMENT MATCH POLICY

SLT 8.2

Date of Last Update: November 01, 2019

Approved By:

Senior Leadership Team

Responsible Office:
University Development

POLICY STATEMENT

To provide additional incentive for employees to support endowments the University provides a match equal to the amount of the employee’s gift up to $25,000. Gifts are defined as outright gifts, payments and sustainer payments. Gifts are matched the same year upon actual receipt of cash or similar monetary instruments.

PROCEDURES

The following criteria are to be followed:

Only contributions to named endowments will qualify for matching funds.

Current and retired faculty and staff and their spouse’s contributions will be eligible for match. This definition excludes adjunct faculty and staff.

The available funds for matching will be limited to current year earnings of unrestricted endowed funds and undistributed interest earnings. Use of the general fund for employee gift matching is not permitted. If contributions eligible for match exceed available funding, the match will need to be reduced by an appropriate percentage for all gifts.

The match on one single gift is limited up to $25,000 per donor per year of the gift. Matching gifts over $25,000 requires a recommendation from the President and approval by the Chair of the Board of Trustee’s Finance and Audit Committee in consultation with the Vice President for Finance and Administration regarding funding availability.

The eligible contributions that are received during the calendar year will be matched in the following February. Any eligible gifts received after December 31st will be matched the following February

FUNDRAISING POLICY

SLT 8.3

Date of Last Update: December 08, 2014

Approved By:

Senior Leadership Team

Responsible Office:
University Development

POLICY STATEMENT

University Development helps Grand Valley State University fulfill its mission and realize its aspirations in three ways: (1) securing, stewarding and increasing financial support; (2) building meaningful partnerships with external and internal constituents; and (3) communicating the university’s character, quality, priorities and goals.

Accordingly, University Development must strive at all times to ensure that its policies and procedures and those of the university are in compliance with local, state and federal regulations in regard to the solicitation and acceptance of gifts. Any deviation from the Internal Revenue Code could result in fines, public embarrassment and/or the loss of the University’s tax-exempt status.

For these and many other reasons, all fundraising requests made in the name of the University must be approved in advance by the Vice President for Development. In addition, only the Vice President for Development or their designee is empowered to issue the University’s official receipt that qualifies a donor’s charitable contribution as deductible for tax purposes.

Any fundraising activity that employs the name, image or reputation of the University, in an effort to secure financial gifts will be considered fundraising in the name of the University and is subject to this policy.

In the area of “sponsored research”, University Development may work with the Office of Sponsored Research to determine the most appropriate fit for the project.

Any potential fundraising activities on behalf of an University program or initiative must first be approved by the appropriate dean and/or the divisional vice president before a request for assistance is directed to University Development. In all decisions related to funding, the priorities of the University, as approved by the Board of Trustees, shall guide the decision making process.

University Development will not share lists of donors or other constituents with individuals and organizations not affiliated with approved university fundraising activities.

This policy shall apply to any and all members of the University community, as well as to any other individuals or organizations who may represent themselves as members of the University community or who claim to be acting on behalf of the University. This policy does not apply to members of the University community when they are engaged in fundraising activities for other organizations and/or when they have explicitly stated that their fundraising activities are unrelated to the university.

Today’s donors have more outlets for their philanthropic desires than ever before. As competition for the philanthropic dollar has increased, donors now receive numerous appeals from multiple non-profit
GIFT ACCEPTANCE AND DISPOSITION POLICY

SLT 8.4

Date of Last Update: July 31, 2008

Approved By:
Senior Leadership Team

Responsible Office: University Development

POLICY STATEMENT
This policy is established to govern the acceptance and disposition of all gifts made to Grand Valley State University, whether such gifts are inter vivos (lifetime) or from estates, other than gifts of: (1) cash or (2) publicly traded equities traded on national exchanges. The Vice President for Development in advance of acceptance must approve all such gifts, which fall under this policy.

Gifts subject to this policy will be considered in four categories:

1. Tangible personal property
2. Real property
3. Life insurance
4. Other assets

The latter category includes, but is not limited to: promissory notes, assignments of promissory notes, partnership interests, and restricted or non-publicly traded securities. The criteria for acceptance, the acceptance/approval process, and the disposition policy (where relevant) for each category are set forth below.

PROCEDURES

1. Tangible personal property

   A. Criteria for acceptance
   Gifts of tangible personal property, including but not limited to works of art, manuscripts, literary works, boats, motor vehicles, and computer hardware, may be accepted only after thorough review indicates that the property is (1) readily marketable or (2) needed by the University for use in a manner that is related to one of the purposes for which tax-exempt status of the University was granted; that is, for education, research, or a combination of both.

   B. Approval/acceptance process
   A Development Officer or other appropriate gift officer will prepare a written summary of the gift proposal and submit that summary to the Assistant Vice President for Development Services. At a minimum, the summary shall include the following information:
   • Description of the asset
   • The purpose of the gift (e.g. to fund an endowed chair, a deferred gift, an unrestricted gift) and the department(s), program(s), or endowment(s) to benefit from the gift
   • An estimate or appraisal of the gift’s fair market value and marketability
   • Any potential University use and, if so, written review by the department to benefit from the asset
   • Any special arrangements requested by the donor concerning disposition (e.g., price considerations, time duration prior to disposition, potential buyers, etc.)

   The Vice President for Development and the Vice President for Business and Finance will review the material presented by the Development Officer and make a determination as to whether to accept or reject the proposed gift (or, of necessary, to postpone a decision pending the receipt of additional information). The Vice President for Development or the Vice President for Business and Finance shall communicate the final determination to the Development Officer, and the Development Officer shall communicate the University’s decision to the donor in writing, including any conditions imposed by the Vice President for Development or the Vice President for Business and Finance, prior to acceptance.

   If the Vice President for Development and the Vice President for Business and Finance approve a proposed gift of tangible personal property, the Development Officer will acknowledge receipt of the gift on behalf of the University. The University will not appraise or assign a value to the gift property. It is the donor’s responsibility to establish a value for the gift and provide, at the donor’s expense, a qualified appraisal required by the IRS in the case of gifts of tangible personal property valued in excess of $1,500. The gift will be completed by the execution and delivery of a deed of gift or other appropriate conveyance acceptable to the University, and the delivery of the property, as applicable. The donor will pay all costs associated with the conveyance of the gift. In addition, the filing of Form 8283 by the donor is required by the IRS for gifts of tangible personal property valued at more than $500. The donor should send this form to the Gift Assistant for execution.

   C. Disposition
   Upon approval of a proposed gift of tangible personal property by the Vice President for Development, he/she will assign a University office the responsibility for disposing of the gift, unless the gift is intended for a specific University purpose, in which case no immediate disposition is necessary. Any guidelines on disposition, including minimum sales price and approval or rejection of any special arrangements with the donor, will be put in writing to the university office responsible for disposing of the gift.

   Upon approval of a proposed gift, the Vice President for Business and Finance or his/her designee will identify a Fund and Organization Code for charging expenses associated with the gift pending disposition. In the absence of a known beneficiary for the gift, a development code will be used as a holding account.

   Until the property is sold or otherwise disposed of, the university office responsible for disposing of the gift will prepare quarterly status reports and distribute them to the Vice President for Development and to the designated representative of the department to benefit from the gift.

   The Vice President for Development must be consulted before a gift of tangible personal property may be sold for less than appraised value, estimated fair market value, or guidelines imposed by University Development in approving the gift, as the case may be. If in the judgment of the person responsible for disposing of the gift, a current appraisal of the property would assist in disposition, the property will be re-appraised.

   Upon sale of the property, the office responsible for disposing of the gift will prepare a final report on the property, including a financial summary of net proceeds to the extent known, and distribute it to the Vice President for Development, the Vice President does Business and Finance or his/her designee, and the designated representative of the department to benefit from the gift.

2. Real Property
The University will consider gifts of real property, both improved and unimproved (e.g., detached single-family residences, condominiums, apartment buildings, rental property, commercial property, farms, acreage, etc.), including gifts subject to a retained life estate, only after a thorough review of the criteria for acceptance set forth below under the direction and supervision of the Vice President for Business and Finance or his/her designee. All gifts of real property shall be subject to terms and conditions as set forth in the Grand Valley State University Policy for Accepting Gifts of Real Estate.

   A. Criteria for Acceptance

   i. Market Value and Marketability: The Vice President for Business and Finance or his/her designee must receive a reasonably current appraisal of the fair market value of the property and interest in the property the University would receive if the proposed gift is approved. Development Officers must understand and communicate to donors that it is the University’s policy to dispose of all gifts of real estate (other than property which the University wishes to retain) as expeditiously as possible. Thus, regardless of the value placed on the property by the donor’s appraisal, the University will
attempt to sell at a reasonable price in light of current market conditions, and the donor needs to be informed that any such sale occurring within two years of the date of gift will be reported to the IRS on Form 8283.

iii. Potential Environmental Risks: All proposed gifts of real property, including gifts from estates, must be accompanied by a Phase I environmental audit performed at the donor’s expense. The only permitted exception to this requirement is for residential property which has been used solely for residential purposes for a significant (at least twenty year) period of time. In cases where this exception cannot be met, no environmental audit is undertaken, the donor/executor must have an outside party complete an Environmental Checklist prepared by the Vice President for Business and Finance or his/her designee and may be required to execute an environmental indemnity agreement. Even in cases where a Phase I audit is submitted, the Vice President for Business and Finance or his/her designee may require that the donor sign an environmental indemnity agreement.

iii. Limitations and Encumbrance: The existence of any and all mortgages, deeds of trust, restrictions, easements, mechanic liens and other limitations of record must be disclosed. No gift of an interest in real estate will be accepted until all mortgages, deeds of trust, liens and other encumbrances have been discharged, except in very unusual cases where the fair market value of the University’s interest in the property net of all encumbrances is substantial or where a separate agreement to pay such encumbrances to the University has been executed by a financially responsible party.

Carrying Costs: The existence and amount of any carrying costs, including but not limited to property owners’ association dues, country club membership dues and transfer charges, taxes and insurance, must be disclosed.

iv. Title Information: A copy of any title information in the possession of the donor, such as the most recent survey of the property, a title insurance policy, and/or an attorney’s title opinion, must be furnished.

B. Approval/Acceptance Process
The Development Office, with the assistance of the Vice President for Business and Finance or his/her designee, will prepare a written summary of the gift proposal and submit that summary to the Vice President for Development. At a minimum, the summary shall include the following information:

- Description of real property
- The purpose of the gift (e.g., to fund an endowed chair, a deferred gift, an unrestricted gift and the department(s), program(s), or endowment(s) to benefit from the gift
- An appraisal of the properties and, if different, the University’s interest in the property’s fair market value and marketability
- The result of a title search
- Any potential for income and expenses, encumbrances, and carry costs prior to disposition
- Any environmental problems revealed by audit or survey
- Any potential University use
- Any special arrangements requested by the donor concerning disposition (e.g., price consideration, time durations prior to disposition, potential buyers, realtors or brokers with whom the donor would like the University to list the property, etc.)

The Vice President for Development and the Vice President for Business and Finance will review the material present by the Development Officer and make a determination as to whether to accept or reject the proposed gift (or, if necessary, to postpone a decision pending the receipt of additional information.) The Vice President for Development or the Vice President for Business and Finance shall communicate the final determination to the Development Officer, and the Development Officer shall communicate the University’s decision to the donor in writing, including any conditions imposed by the Vice President for Development or the Vice President for Business and Finance, prior to acceptance.

If the Vice President for Development and the Vice President for Business and Finance approve a proposed gift of real property, the Development Officer will acknowledge receipt of the gift on behalf of the University upon notice by the Vice President for Business and Finance or his/her designee that the property has been properly recorded in the local Registry of Deeds. The University will not appraise or assign a value to the gift property. It is the donor’s responsibility to establish a value for the gift and to provide, at the donor’s expense, a qualified appraisal required by the IRS.

The gift will be completed by the execution and delivery of a deed of gift or other appropriate conveyance. The costs associated with the conveyance and delivery of the gift, including but not limited to recording fees and, if deemed necessary by the Vice President for Business and Finance or his/her designee, a current survey, title insurance and/or attorney’s title opinion, will be either paid by the donor or charged to the fund code of the department(s), program(s), or endowment(s) to benefit by the donation. In addition, the IRS for gifts of real property requires the filing of Form 8283 by the donor. The donor should send this form to the Vice President for Business and Finance or his/her designee for execution.

C. Disposition
It is the responsibility of the Vice President for Business and Finance or his/her designee to dispose of all gifts of real property. Any guidelines the Vice President for Business and Finance or his/her designee wishes to impose on disposition, including minimum sales price and approval or rejection of any special arrangements with the donor, will be put in writing to the Vice President for Development.

If the Vice President for Business and Finance or his/her designee determines that it is in the best interests of the University to retain for its own use a gift of real property, it will be recommended to the appropriate officers of the University and to the Board of Trustees that the University purchase the property and that, in all other cases they authorize liquidation of such funds for the benefit of the designated gift purpose.

Upon acceptance of a gift, the Vice President for Business and Finance or his/her designee will designate a code for charging expenses associated with the gift pending disposition. In the absence of a known beneficiary for the gift, the code will be used as a holding account. Upon the property is sold or otherwise disposed of, the Vice President for Business and Finance or his/her designee will prepare quarterly status reports and distribute them to the Vice President for Development and to the designated representative of the department to benefit from the gift. The Vice President for Business and Finance or his/her designee of responsible for filing Form 8283 for gifts of real property sold by the University within two years of the date of gift.

The Vice President for Business and Finance or his/her designee of responsible for filing Form 8283 for gifts of real property sold by the University within two years of the date of gift.

3. Life Insurance

A. Criteria for Acceptance
With approval of the Vice President for Development, the University will accept gifts of life insurance policies that meet the following two criteria:

- The policy is a life insurance policy which is either paid-up or, if not paid-up as of the date of gift:
  - Has a minimum face value of $10,000
  - Has a payment schedule not to exceed ten years and which assumes an interest rate not to exceed two percent below prime interest rate as of the effective date of the policy
  - Requires charitable contributions from the donor to the University in the amount of any premiums, including unscheduled premiums, which may become due.

Grand Valley State University is designated as the owner and beneficiary of the policy. If intended for endowment purposes, the face value of the policy meets the minimum funding standards for endowments established by the Board of Trustees.

B. Approval/Acceptance Process
The Development Officer will prepare a written summary of any proposed gift of a life insurance policy, which fails to meet all of the criteria specified in the section above and submit that summary through the University’s Director of Planned & Endowed Giving or his/her designee. At a minimum, the summary shall include the following information:

- Description of the type of life insurance policy, face value, premium payment schedule, interest rate, age of insured(s), and other relevant policy information
- The purpose of the gift (e.g., to fund an endowed chair, a deferred gift, an unrestricted gift and the department(s), program(s), or endowment(s) to benefit from the gift

The Vice President for Development and the Vice President for Business and Finance will review the material presented by the Development Officer and make a determination as to whether to accept or reject the proposed gift (or, if necessary, to postpone a decision pending the receipt of additional information.) The Vice President for Development or the Vice President for Business and Finance shall communicate the final determination to the Development Officer, and the Development Officer shall communicate the University’s decision to the donor in writing, including any conditions imposed by the Vice President for Development or the Vice President for Business and Finance, prior to acceptance.

If the Vice President for Development and the Vice President for Business and Finance approve a proposed gift of a life insurance policy, the assigned Development Officer will acknowledge receipt of the gift on behalf of the University.

The gift will be completed upon the execution and delivery of the life insurance policy to the University or an assignment of the policy in the event that the University is not the original owner of the policy.

C. Administration
The Office of University Development shall administer all gifts of life insurance policies and shall maintain records of all donor policies, contribution schedules, donor designations of death benefits, and the like. The University’s Director of Planned & Endowed Giving or his/her designee shall be responsible for pledge reminders and monitoring payments of premiums.

The Vice President for Business and Finance or his/her designee shall be responsible for confirming the existence and cash value of all policies in force at least annually and for collecting and distributing death benefits. Upon receipt of death benefits, the Vice President for Business and Finance or his/her designee shall provide notice to the department(s), program(s), or endowment(s) to benefit from the gift.

4. Other Assets
Other assets include but are not limited to: promissory notes, assignments of promissory notes, partnership interests, and restricted or non-publicly traded securities.

### A. Criteria for Acceptance

The University will consider gifts of other assets, including but not limited to promissory notes, assignment of promissory notes, partnership interests and restricted or non-publicly traded securities, only after a thorough review of the criteria set forth below.

1. **Market Value and Marketability:** The University must receive a reasonably current appraisal of the fair market value of the property and interest in the property the University would receive if the proposed gift is accepted. Development Officers will inform the donor that, if the gift is completed, the IRS will require an appraisal made within sixty days of the date of gift. The appraisal and other information must indicate clearly and convincingly that there is in fact a market for the asset under consideration and that the asset can be sold within a reasonable period of time.

2. **Potential Environmental Risks:** All proposed gifts in which the University would acquire an interest in real property must be accompanied by a Phase I environmental audit performed at the donor’s expense. The only permitted exception to this requirement is for residential property, which has been used solely for residential purposes for a significant (at least twenty-year) period of time. In cases where this exception applies and no environmental audit is undertaken, the donor must have an agent complete an Environmental Checklist prepared by the Vice President for Business and Finance and the donor's legal counsel and may be required to execute a complete and unconditional indemnity agreement.

3. **Limitations and Encumbrances:** The existence of any and all mortgages, deeds of trust, restrictions, easements, mechanic liens and other limitations or record must be disclosed. No gift of an interest in real estate will be accepted until all mortgages, deeds of trust, liens and other encumbrances have been discharged, except in very unusual cases where the fair market value of the University’s interest in the property net of all encumbrances which might be charged to the University had been executed by a financially responsible party.

4. **Carrying Costs:** The existence and amount of any carrying costs, including but not limited to property owners’ association dues, country club membership dues and transfer charges, taxes and insurance, must be disclosed.

5. **Title Information:** A copy of any title information in the possession of the donor, such as the most recent survey of the property, a title insurance policy, and/or an attorney’s title opinion, must be furnished.

### B. Approval/Acceptance Process

The Development Officer will prepare a written summary of the gift proposal and submit that summary to the Vice President for Development and the Vice President for Business and Finance. At a minimum, the summary shall include the following information:

- **Description of the asset**
- **The purpose of the gift** (e.g., to fund an endowed chair, a deferred gift, an unrestricted gift and the department(s), program(s), or endowment(s)) to benefit from the gift
- **An estimate of the fair market value of the asset**
- **Potential for income and expenses, encumbrances, and carry costs prior to disposition**
- **Any environmental risks or problems revealed by audit or survey**
- **Credit history or financial statement of financially responsible party, if applicable**
- **Any special arrangements requested by the donor concerning disposition (e.g., price considerations, time durations prior to disposition, potential buyers, realtors or brokers with whom the donor would like the University to list the property, etc.)**

The Vice President for Development and the Vice President for Business and Finance will review the material presented by the Development Officer and make a determination as to whether to accept or reject the proposed gift (or, if necessary, to postpone a decision pending the receipt of additional information.) The Vice President for Development or the Vice President for Business and Finance shall communicate the final determination to the Development Officer, and the Development Officer shall communicate the university’s decision to the donor in writing, including any conditions imposed by the Vice President for Development or the Vice President for Business and Finance, prior to acceptance.

If the Vice President for Development and the Vice President for Business and Finance approve a proposed gift of an asset in Category 4, the assigned Development Officer will acknowledge receipt of the gift on behalf of the university. The university will not appraise or assign a value to the gift property. It is the donor’s responsibility to establish a value for the gift and to provide, at the donor’s expense, a qualified appraisal required by the IRS in the case of assets valued in excess of $1,500.

The gift will be completed by the execution and delivery of a deed of gift or other appropriate conveyance, and the delivery of the property, as applicable. The cost associated with the conveyance will be paid by the donor upon delivery of the gift. In addition, the filing of Form 8283 by the donor is required for gifts of assets valued at more than $1,500. The donor should send this form to the Office of University Development for execution.

### C. Disposition

It is the responsibility of the Vice President for Business and Finance or his/her designee to dispose of all gifts of assets in this Category 4. If the asset involves an interest in real estate, it is generally expected that the Vice President for Business and Finance or his/her designee will assist in disposing of the asset. If the asset is a security, it is generally expected that the Vice President for Business and Finance or his/her designee will follow policies for disposing of the asset. Any guidelines the Vice President of Business and Finance or his/her designee wish to impose on disposition, including minimum sales price and approval or rejection of any special arrangements with the donor, will be put in writing to the Vice President for Development at this time.

Upon acceptance of a gift, the Vice President for Business and Finance or his/her designee will designate a code for charging expenses associated with the gift pending disposition. In the absence of a known beneficiary for the gift, the Vice President for Business and Finance or his/her designee will determine how the gift will be disposed.

Until the property is sold or otherwise disposed of, the Vice President for Business and Finance or his/her designee will prepare quarterly status reports and distribute them to the Vice President for Development and the designated representative of the department to benefit from the gift.

Upon sale of the property, the Vice President for Business and Finance or his/her designee will prepare a final report on the property, including a financial summary of net proceeds, and distribute it to the Vice President for Development and the designated representative of the department to benefit from the gift.

The Vice President for Business and Finance or his/her designee is responsible for filing Form 8283 for assets valued at more than $5,000 sold by the university within two years of the date of gift.

### 5. Acceptance and Disposition of Deferred Gifts

#### A. Deferred Giving

Development Services is asked to record various types of deferred gifts; the office is often asked to provide a description of the nature of such a gift, or explain how various types of deferred gifts should be recorded by us and/or handled for tax purposes. Ultimately, the Gift Assistant should refer related inquiries to the university’s Director of Major & Deferred Gifts. This does not, however, remove the need for the Gift Assistant to be familiar with this type of gift. For this reason, below are the general explanations of certain types of deferred gifts with commonly accepted rules. Information concerning specific treatment of these gifts at Grand Valley State University should be procured from the Office of University Development.

#### B. Bequests

The most common and simplest form of deferred giving: a bequest is a gift of property that is made through a donor’s will. Benefits to Donors: Donors do not have to part with any money until they die, and do not owe any estate tax on the amount of the bequest.

#### 6. Charitable Remainder Trusts

Two basic types of charitable remainder trusts qualify for federal tax benefits. In both arrangements, a donor gives stock, cash, or other assets to a trust. Those assets are invested; producing income for the donor. Income or principal is distributed to the donor at specified times. The donor is generally entitled to a deduction for the gift made.

##### A. Unitruts

Under a basic unitrust, the donor receives one or more yearly payments equaling a fixed percentage of the value of the asset. The value is assessed each year. Under a net-income unitrust, the donor receives only the income earned by the trust, even if the trust earns less than the payout rate. However, the trust can be set up to include a “make-up provision,” which allows donors to make up the lost income or principal provided the trust earns more than the payout rate in future years.

##### B. Annuity Trusts

The donor receives a yearly fixed payment equaling at least five percent of the value of the asset at the time the deferred-giving agreement was signed. Donors who give real estate commonly use charitable remainder trusts. Real estate is not usually given through gift annuities and cannot be given to pooled-income funds. Benefits to Donors: Donors can get income-tax deductions and escape capital-gains taxes by making such gifts. Many donors find the trusts an appealing way to prepare for retirement. The assets can be invested to earn a lower rate of return when the donor is younger and then shifted to earn a higher rate of return, and thus provide more income, during a donor’s later years.

##### C. Gift Annuities

Donors contribute cash, securities, or other assets to a charity. In exchange, they receive annual payments for a fixed amount of time. With a deferred gift annuity, the annual payments do not start when the gift is made; they begin at a time specified by the donor when the gift is made.

Benefits to Donors: Gift annuities are attractive to donors who want to receive income from assets that have risen sharply in value, such as cash or stocks. In return for gifts of such assets, the charity guarantees the donors a fixed annual income for the rest of their lives and helps the donor avoid capital-gains tax. The donor also gets an income-tax break on a portion of the earnings from an annuity; the exact amount depends on the donor's age.
Grand Valley State University maintains a database (Millennium) of biographical and gift/pledge information about alumni and friends in accordance with the general needs and expectation of the university community. The information contained in this database is intended exclusively for purposes related to Grand Valley State University programs. The information is obtained through legal means and is protected by privacy policies and procedures.

The donor gives cash, securities, or other assets to a non-profit organization, which then invests those assets in a large, diversified portfolio. The donor receives income from the fund proportionate to the value of his or her contribution, as well as an income-tax deduction based on the estimated principal that will be left to the charity. Obtaining a "unit" in a pooled-income fund is similar to buying a share of a mutual fund.

Benefits to Donors: Life gift annuities, pooled-income funds appeal to donors who want to earn income on stock and other assets and escape capital-gains taxes. Unlike annuities, a donor’s income from a pooled-income fund is tied to fluctuating interest rates. That means that in the long run, donors may receive larger earnings than they do from annuities, but they can also do less well in the short term. As a result, the funds tend to appeal to younger people who are more often willing to take risks with their investments.

E. Charitable Lead Trusts
A charity receives the income from the donor’s assets for a specified time, after which the asset is transferred back to the donor or to the donor’s heirs.

Benefits to Donors: A lead trust can reduce gift and estate taxes or provide a charitable deduction for the donor. Charitable lead trusts are most appealing to donors who want to pass appreciated assets to their heirs without paying a substantial amount in taxes. The donor pays a gift tax on the asset when it is placed into the trust; after that it can grow tax-free. At the end of the specified period, the asset is returned to the donor’s heir or heirs, who do not have to pay any additional taxes.

7. Deferred Gifts Policy of Grand Valley State University
In an effort to maintain continuity and consistency with deferred gifts to Grand Valley State University, the following policy has been established:

GIFTS governed by this policy: All deferred gifts to the University, which are managed by Grand Valley State University or its agents, including but not limited to the following:

- Gifts establishing charitable remainder trusts
- Gifts to the pooled income funds
- Gifts purchasing charitable gift annuities
- Gifts funding charitable lead trusts

GIFTS not governed by this policy: Deferred gifts that do not require management; for example, gifts of personal residences or farms with retained life estate in donor and deferred gifts with are managed by trustees other than Grand Valley State University.

Trustee: Grand Valley State University has the option to serve as trustee of any deferred gift in which the University’s interest equals at least fifty-one percent of the total charitable interests. Usually the University prefers the use of a commercial trustee.

Payout rates: The payout rates offered to donors shall be competitive and determined in consultation with a Development Officer.

Value of Remainder: No deferred gift (except for deferred gift annuities) shall be accepted in which the value of the University’s remainder interest is less than twenty-five percent of the value of the assets transferred.

Minimum gift amounts: Grand Valley State University has the following minimums for acceptance of deferred gifts:

- Charitable remainder trusts: Initial gift – $100,000
- Pooled income fund gifts: Initial gift - $5,000 Additional gifts - $1,000
- Charitable gift annuities: Initial gift - $10,000

Note: Gift annuities may not be offered to residents of states in which such contracts are considered to be insurance products or securities. Currently almost one-half of the states (including Florida, New York and California) classify these contracts as either insurance or securities. Because this list is constantly changing, approval should be obtained from the Development Office before discussions for this gift type are initiated.

Acceptable gift assets: The University will accept the following assets:

- Cash
- Publicly traded securities
- Real estate (subject to approval of the Vice President for Development)
- Other assets, such as closely held stock and partnership interests (subject to approval of the Vice President for Development)

Valuation of gift assets: The University will follow applicable federal tax law.

Final approval, acceptance, and execution by the University: The Vice President for Development, in collaboration with the Vice President for Business and Finance or his/her designee must approve all documents.

All documents must be sent first to the donor for signature and then to the University’s Vice President of Business and Finance or his/her designee.

The Vice President of Business and Finance or his/her designee shall execute the documents on behalf of the University and the documents shall be executed in duplicate and the originals distributed as follows:

- One original to donor
- One original to Vice President of Business and Finance or his/her designee
- Copies to the appropriate Development Officer

PRIVACY POLICY
SLT 8.5

Date of Last Update: July 31, 2008

Approved By:

Senior Leadership Team

Responsible Office:
University Development

POLICY STATEMENT

Grand Valley State University maintains a database (Millennium) of biographical and gift/pledge information about alumni and friends in accordance with the general needs and expectation of the university community. The information contained in this database is intended exclusively for purposes related to Grand Valley State University programs.

It is the desire of Development Services to support the ongoing activities of Grand Valley State University by providing assistance for programs, communication and events that bring together the expanding orbit of constituents of the university. In order to provide the best possible service to those with legitimate needs for such information, and at the same time maintain the confidentiality of the information entrusted to us by our constituents, the following policies have been developed. These policies were approved in 2007 by the Vice President for Development and now apply to every request for information and to direct access to the Millennium System.

PROCEDURES

Organizations that may request information
The following organizations and individuals may request information from the Millennium database:

- Office of Alumni Relations
- Constituent groups approved by the Office of Alumni Relations
- University Development
- Other administrative units
- Academic units
- Athletic programs
- Office of Career Planning
WEB ACCESSIBILITY POLICY

SLT 9.7

Date of Last Update: November 12, 2018

Approved By:

Senior Leadership Team

Responsible Office:
Equity, Planning, and Compliance Unit

POLICY STATEMENT

Grand Valley State University is committed to the fundamental academic principles of equity and accessibility by providing all students and staff with equitable access to the University’s programs, services, events and staff development activities. The aim of this policy is to support an inclusive academic environment by incorporating design concepts that reduce or remove barriers to our websites or to provide equally effective alternative access.
This policy establishes minimum standards for the accessibility of web-based information and services considered necessary to meet the University's goals and ensure compliance with applicable law. The University has assigned web accessibility responsibilities to its Americans with Disabilities Act Coordinator and Web Manager, or their designees.

This policy applies to all official web pages and associated web-based services developed by or for a college, school, department, program, or unit of the University.

The University will ensure that new online content and functionality developed, procured, or used will be fully accessible to individuals with disabilities. This action will include any staff training that may be necessary to ensure full implementation.

All new web pages published by any University college, school, department, program, or unit on or after the effective date of this policy must conform to WCAG 2.0 Level AA Technologies Accessibility Standards and this policy.

For existing online content, the University has: developed a strategy for identifying inaccessible content and functionality for individuals with disabilities; developed a notice to person with disabilities regarding how to request that the University provide access to online information or functionality; prominently posted this notice on its home page and throughout its website; and developed a process to ensure that, upon request, inaccessible content and functionality will be made accessible in an expedient manner.

Each web site must contain a link to report accessibility issues, or to request an accessible version, should users have trouble accessing content within the site. This would usually be the site administrator or content author.

PROCEDURES

Training

Accessibility training will be provided and required of all faculty, staff and other authorized representatives prior to being given access to manage any online content through the Content Management System (CMS) or through remote access to a web server (FTP, SFTP, SSH, etc.).

This training will help content administrators produce accessible content, and assess and correct content that may be inaccessible. All content administrators will be required to attend Accessibility Training on an annual basis as long as they manage online content.

Enforcement

If necessary, at the discretion of the Web Accessibility Coordinators or their designees, some or all non-compliant portions of the web pages and resources may be taken offline, or brought into compliance by designated staff or contractors.

Purchasing of Outside Content

All web-based content and systems, whether developed internally or obtained from third-parties that the University chooses to make available, is expected to conform to accessibility standards set forth in this policy. Accessibility of these technologies should be verified by University staff with demonstrated ability in accessibility evaluation. This verification process should be accomplished through hands-on evaluation of the product, prior to purchase.

When evaluating third-party products, it is advisable to choose the most accessible product in the space. However, not always will there be accessible choices, or the most accessible choice may not align with other dominant selection criteria. In cases where a product with limited accessibility has been purchased, interim, equivalent accommodations documented in an approved ADA exception should be in place until the service can be made accessible.

Contact Information

Any concerns with the accessibility of online content should be directed to the Americans with Disabilities Act Coordinator, 4035 James H. Zumberge Hall, 616-331-3296 and/or Web Manager, 2090 James H. Zumberge Hall, 616-315-2525 or their designees who serve as the University’s Web Accessibility Coordinators. You may also utilize the Section 504 and Title II grievance procedures found at http://www.gvsu.edu/accessibility.

CONFLICT OF INTEREST POLICY

SLT 18.1

Date of Last Update:
February 14, 2022

Approved By:

• Senior Leadership Team

Responsible Office:
Office of General Counsel

POLICY STATEMENT

In the pursuit of its mission, Grand Valley State University through its Board of Trustees, Senior Leadership Team, faculty, staff and other representatives operates with the highest level of ethical behavior including, but not limited to, acting with integrity, reasonableness and fairness in our dealings, and avoiding bias or undue influence. Consistent with these values, the Board of Trustees has adopted institutional policies for identifying and managing potential, actual and perceived conflict of interest situations, including Grand Valley State University Board of Trustees’ Policy BOT 4.1.6: Conflict of Interest and Grand Valley State University Board of Trustees’ Policy BOT 7.9: Economic Development.

PROCEDURES

In addition to the policy obligations described above, the University requires compliance with procedures to avoid or address conflicts of interest as provided by the offices below in the Procedures for Conflicts of Interest table.

TABLES

Procedures for Conflicts of Interest

<table>
<thead>
<tr>
<th>Topic</th>
<th>Policy/Procedures</th>
<th>Responsible Office</th>
</tr>
</thead>
<tbody>
<tr>
<td>Procurement of Goods and Services</td>
<td></td>
<td>Business &amp; Finance Office</td>
</tr>
<tr>
<td>Conflicts of Interest and Commitment in Research and Sponsored Activities</td>
<td></td>
<td>Office of Research Compliance and Integrity</td>
</tr>
<tr>
<td>Human Subjects Research</td>
<td></td>
<td>Institutional Review Board</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Procurement of Goods and Services**

- [Policy/Procedures](#)
- [Responsible Office](#)

**Conflicts of Interest and Commitment in Research and Sponsored Activities**

- [Policy/Procedures](#)
- [Responsible Office](#)

**Human Subjects Research**

- [Policy/Procedures](#)
- [Responsible Office](#)
LEGAL SERVICES POLICY

SLT 10.2
Date of Last Update: March 03, 2015
Approved By: Senior Leadership Team

POLICY STATEMENT
Pursuant to Grand Valley State University Board of Trustees’ Policies BOT 10.2 approved by the Board of Trustees, the President has designated that legal services be coordinated through an administrative office, the Division of Legal, Compliance and Risk Management. All legal services for Grand Valley State University shall be provided through the Division of Legal, Compliance and Risk Management, either by its legal staff, by outside counsel retained by this Division or both. All requests for legal services on behalf of the University must be directed to this Division. The Division of Legal, Compliance and Risk Management provides legal counsel and advice exclusively to Grand Valley State University representatives regarding University matters.

FREEDOM OF INFORMATION ACT POLICY

SLT 10.3
Date of Last Update: June 03, 2019
Approved By: Senior Leadership Team

POLICY STATEMENT
Section 1 of the Michigan Freedom of Information Act ("FOIA" or "the statute") provides, “It is the public policy of this state that all persons, except those persons incarcerated in state or local correctional facilities, are entitled to full and complete information regarding the affairs of government and the official acts of those who represent them as public officials and public employees, consistent with this act. The people shall be informed so that they may fully participate in the democratic process.” To that end, all people, excluding prisoners, are allowed to file FOIA requests with a Grand Valley State University (the “University”). A requester must simply file a request in writing with the University’s FOIA Coordinator, and the University will begin processing his or her request. Each request must include the requesting person’s complete name, address (in compliance with United States Postal Service addressing standards), and either a telephone number or email address.

The University, in its initial response, will do one of the following within the timeframe permitted by the statute: grant the request, partially grant the request, deny the request, inform the requester that additional time is needed, require a fee deposit prior to further processing, or inform the requester that the requested record has not been sufficiently described.

If a request is denied or partially denied, the University will explain why the documents have not been released and inform the requester of his or her challenge and appeal options.

A fee deposit will be required when processing a request that will require significant University employee time and resources. The University will notify the requester of the estimated cost and provide a non-binding, best efforts estimate of the time it will take to provide the records to the requester. It is possible that after further processing of the request, the University will determine that the cost of processing the request is significantly less or greater than the estimated cost. If that is the case, the University will notify the requester to allow the requester to determine whether and how he or she wants to proceed with the request.

After the University receives a required deposit, it will make every effort to provide the requested documents within the time estimate provided. Requesters must understand, however, that at any given time, the University is processing multiple requests and cannot devote all of its time to one particular request.

If a requester feels that he or she was wrongly denied responsive documents, he or she may appeal to the Office of General Counsel, or file a civil action. If a requester believes that the University has required a fee that exceeds the amount permitted under the Procedures and Guidelines, he or she may file a civil action. Requesters are always free to contact the FOIA Coordinator at 616-331-2067 or foiad@gvsu.edu with any questions about the processing of their requests. Detailed Procedures and Guidelines follow.

PROCEDURES

1. How to submit a FOIA request to the University
   a. A FOIA request must be submitted in writing to the FOIA Coordinator in the Office of General Counsel. The request may be transmitted in hard copy, by email, or by facsimile.
   b. The University’s FOIA Coordinator address 4988 James H. Zumberge Hall, Grand Valley State University, Allendale, MI 49401. The email address is foiad@gvsu.edu. The fax number is (616) 331-3950.
   c. A request should describe the record(s) sought sufficiently to enable the University to find the record(s) and should provide the requester’s contact information.
   d. Requests should state that they are submitted pursuant to the Michigan Freedom of Information Act.
   e. Requests received electronically are deemed received the next business day. A business day is defined as Monday through Friday, exclusive of holidays and institutional closure days.
   f. If a request is delivered to the FOIA Coordinator’s junk mail folder, the request will be deemed received one business day after the FOIA Coordinator becomes aware of the request. The FOIA Coordinator will check the junk mail folder at least once per week.

2. Responses to FOIA requests to the University
   a. The University will respond to a FOIA request within five (5) business days of the FOIA Coordinator receiving the request.
   b. A response will consist of one or more of the following:
      i. A granting of the request
      ii. A partial granting of the request, and a partial denial because some or a portion of the records do not exist, are not in the possession of the University, and/or are exempt from disclosure
      iii. A complete denial of the request because all of the records do not exist, are not in the possession of the University, and/or are exempt from disclosure
      iv. A notice that more time is needed to process the request
      1. If more time is needed, the University will send out a follow up response within 10 business days of the initial response.
      v. A notice that a fee deposit is required prior to further processing
      1. If a fee deposit is required, the University will include in its response a non-binding, best efforts estimate regarding the time it will take to provide the records to the requester.
Pursuant to Article VIII of the Michigan Constitution of 1963, Grand Valley State University ("the University") has the responsibility to serve as a public institution of higher education. To carry out this responsibility, the University has established procedures and guidelines for handling requests for public records, as follows:

I. POLICY STATEMENT

The University is committed to providing access to its records in accordance with the Freedom of Information Act (FOIA). The University shall provide or deny access to records in a timely manner, and shall provide a written response to every request. The University shall reasonably search for records in its possession, and shall make the records available for inspection, copying, or mailing to the requester, subject to the provisions of the FOIA.

II. RESPONSIBLE OFFICER

The Office of General Counsel is responsible for receiving, processing, and responding to FOIA requests. The FOIA Coordinator is the point of contact for FOIA requests.

III. DATE OF LAST UPDATE

The date of last update for the current version of this policy is August 23, 2021.

III. SLT 10.4

The Senior Leadership Team (SLT) oversees the implementation of this policy.

IV. STATUTE

M.C.L.A. 15.231 provides the legal framework for the handling of FOIA requests.

V. FOOTNOTES

(1) M.C.L.A. 15.231.

(2) Verbal requests for information are not FOIA requests for purposes of these Procedures and Guidelines. If a verbal request for information is received by a University employee who knows that the information is available on the University’s website, the employee, where practicable, will inform the requester about the University’s website address.
This policy applies to all buildings, grounds, and other spaces owned or controlled by the University.

For purposes of this policy, the term "Expressive Activity" includes:

1. Meetings and other group activities of students and student organizations;
2. Speeches, performances, demonstrations, rallies, vigils, and other events by students, student organizations, and outside groups invited by student organizations;
3. Distributions of literature, such as leafletting and pamphleting; and
4. Any other expression protected by the First Amendment to the U.S. Constitution.

University property is primarily dedicated to academic, student life and administrative functions. But it also represents the "marketplace of ideas," and especially for students, many areas of campus represent a public forum for speech and other Expressive Activities. For students and registered student organizations, certain areas of campus are venues for free expression, including speeches, demonstrations, and the distribution of literature, as provided by this policy.

The University shall not consider the content or viewpoint of the Expressive Activity or the possible reaction to that Expressive Activity in applying this policy. The University shall not impose restrictions on students, student organizations, or university employees due to the content or viewpoint of their Expressive Activity or the possible reaction to that Expressive Activity. In the event that other persons react negatively to a student's, registered student organization's, or university employee's Expressive Activity, the University (including representatives from the Department of Public Safety) shall take all necessary steps to ensure public safety while allowing the Expressive Activity to continue, unless the University's operations are materially and substantially disrupted.

No Expressive Activity shall be permitted to violate or hinder the rights of others within the campus community.

The University does not assume any obligation or responsibility for the content of the materials distributed.

PROCEDURES

III.

A. General Rules.

Subject to the additional rules set forth herein, students and student organizations shall be allowed to conduct Expressive Activities on University property within the following parameters:

1. The Expressive Activity does not block access to campus buildings and does not impede ingress or egress to the University, any University property, parking lot, building, facility, or event (generally a minimum of 25 feet).
2. The Expressive Activity takes place at least 50 feet from academic buildings.
3. The Expressive Activity does not obstruct vehicular or pedestrian traffic.
4. The Expressive Activity does not constitute unlawful activity.
5. The Expressive Activity does not create a clear and present threat to public safety.
6. The Expressive Activity does not take place in a location that has already been reserved by the University, a registered student organization, or an outside organization.
7. The Expressive Activity may use an amplification device so long as the sound does not create a material and substantial disruption to the University's operations.
8. The Expressive Activity does not include posting materials on University property, except as provided by the University Posting Guidelines [http://www.gvsu.edu/posting/]
9. The Expressive Activity does not include soliciting or accepting donations, except as provided in this policy.
10. The Expressive Activity takes place between the hours of 8 a.m. and 5 p.m., except as otherwise provided in this policy.
11. Distribution of printed materials must be done in person.
12. Individuals and/or groups engaged in Expressive Activity are responsible for picking up any printed materials dropped on the ground around the areas of distribution. The University may charge such individuals and/or groups a reasonable clean up fee if they fail to do so.
13. Parking lots, ramps, and garages are not designated or suitable for Expressive Activities, and windshield flyers are not permitted.
14. Individuals and/or groups engaging in Expressive Activity agree to pay for any damage they cause to University property.
15. For University employees, the Expressive Activity addresses a matter of public concern.

This policy shall not apply to any person or organizations desiring to sell merchandise or services on campus. Any person or organization desiring to sell merchandise or services on campus should contact the Event Services Office at 616-331-2350.

B. Outdoor Locations.

For outdoor University areas, students, registered student organizations, and employees may freely engage in spontaneous Expressive Activities provided that such activities are in compliance with all other provisions of this policy.

Students and registered student organizations may reserve outdoor University facilities to solicit and accept donations for charitable causes or to engage in other Expressive Activities.

C. Indoor Locations.

For indoor University facilities and areas, students, registered student organizations, and University employees may freely engage in spontaneous Expressive Activities subject to the following conditions:

1. Distribution of written or printed materials, such as leafleting or pamphleting, and petitioning for signatures may be conducted at all outdoor locations and the indoor locations specified in this policy.
2. Guests may speak at indoor locations as long as they are invited by a student or a registered student organization.
3. The Expressive Activities are in compliance with all other provisions of this policy.

Students and registered student organizations may use the Kirkhof Center for the following activities:

1. Students and registered student organizations may reserve space in the Kirkhof Center for any proper purpose, including, but not limited to, to promote a cause or event, to promote a student organization, or to solicit and accept donations for charitable causes.
2. Distribution of written or printed materials, such as leafleting or pamphleting, and petitioning for signatures may be conducted inside the Kirkhof Center, as long as the student or registered student organization reserves the space pursuant to this policy.

D. Reserving Campus Facilities.

1. If students, registered student organizations, or University employees wish to reserve indoor or outdoor campus facilities, they shall submit their application for reservation to Event Services at least two business days prior to the reservation date. The University will respond to the reservation application within one business day.
2. If individuals or organizations who are not members of the University community (i.e., not students, student organizations, or University employees) wish to use campus facilities for Expressive Activities on campus, they may use either the area surrounding the Carillon Tower or the plaza surrounding the Transformational Link.
3. Use of this space is free of charge and may be reserved by contacting Event Services at 616-331-2350. A reservation for use of the space will take precedence over a spontaneous Expressive Activity. Expressive Activities must stay within 50 feet of each of these landmarks and are to follow the General Rules provided herein.
4. Students and registered student organizations, University employees, and non University members may reserve facilities for Expressive Activities to take place after 5 p.m., subject to the facility’s hours and availability.

4. Reservation requests will be processed and granted on a first-come, first-served basis. These requests may be denied for the following reasons only:
   a. The requested venue is an indoor facility and the request conflicts with any other provision of this policy;
   b. The venue is already reserved for another event(1);
   c. The activity will attract a crowd larger than the venue can safely contain;
   d. The activity is a clear and present threat to public safety, according to the university's police or security department;
   e. The activity will occur during college examination periods; or
   f. The activity is unlawful.
5. During an event, the student, student organization, or University employee requesting the reservation is responsible for preserving and maintaining the facility it reserved. If it causes any damage to those facilities, the person(s) or organization (and its officers, if applicable) shall assume responsibility.

FOOTNOTES

(1) In the event that multiple individuals or organizations submit conflicting reservation requests, the following order of precedence shall govern: (1) official University activities and events; (2) registered student organization activities and events; (3) student activities and events; and (4) all other activities and events.
COMMERCIAL ACTIVITY POLICY

SLT 16.4.1

Date of Last Update: November 17, 2020

Approved By: Senior Leadership Team

Responsible Office: Office of General Counsel

POLICY STATEMENT
Pursuant to Article VIII of the Michigan Constitution of 1963, Grand Valley State University ("the University") has the responsibility to serve as a public institution of higher education. To carry out this constitutional mandate, the University owns and/or controls property and facilities. The University has established the following policy to ensure the University’s educational mission is actualized, while allowing certain Commercial Activities on University property.

The University reserves the right to deny proposed Commercial Activities that compete with the University or its operations. This policy does not apply to the use of student housing facilities by residents, which is subject to the terms and conditions of the housing agreement. The use of University property for government functions is not subject to this policy.

Definitions
For the purposes of this policy, the term “Commercial Activities” includes:

1. The lawful selling, promotion, or offering of products, goods, or services;
2. The dissemination or collection of information for the purpose of facilitating the sale of goods or services;
3. Any activity that attempts to raise funds, whether through the sale of goods and services or via donations for any entity; or,
4. The distribution or offering of free gifts, incentives, or promotions.

PROCEDURES
1. University Departments
University departments and its service providers whose function includes the sale of food or merchandise or the use of outside vendors and/or advertisers are exempt from this policy. This includes, but is not limited to, all campus dining facilities, the Laker Store, University Athletics, and University Development.

2. Registered Student Organizations (RSOs)
Registered Student Organizations (RSOs) may engage in Commercial Activities on University grounds subject to the provisions in the Grounds and Facility Use Policy, and the conditions below.

1. When an RSO is using University property for Commercial Activities, 100% of the proceeds must either return to the RSO or be donated to a specified charitable organization.
2. RSOs may not sponsor or partner with outside solicitors as part of a Commercial Activity.
3. RSOs must have at least one of their organization’s members present at all times during the Commercial Activity period, and all sales must be made on a person-to-person basis.
4. Any literature distribution must include the name of the RSO responsible for the publication.

3. Students, Employees, and Non-University Solicitors
Students, employees, and those not affiliated with the University may engage in Commercial Activities only when renting indoor University facilities for an event, subject to the terms and conditions of their rental agreement, or when reserving outdoor space limited to the Cook Carillon Tower plaza. Outdoor reservations must be submitted to the Event Services Office at least five business days prior to the intended solicitation period. Due to high demand for space use, reservations must be canceled at least 72 hours prior to the scheduled event to receive a full refund or have previous payment applied to a new date. Reservations are subject to the provisions in the Grounds and Facility Use Policy.

1. When using a designated outdoor space for Commercial Activities, students, employees, and non-University solicitors are required to pay $250 per calendar day to the University.
2. There shall be no more than three separate Commercial Activities using outdoor locations at any one time.
3. Each individual or organization is entitled to use outdoor University property as provided in this policy for a maximum of five days per semester for purposes of Commercial Activities.

POLITICAL ACTIVITY POLICY

SLT 10.5

Date of Last Update: September 10, 2012

Approved By: Senior Leadership Team

Responsible Office: Office of General Counsel

POLICY STATEMENT
Political activity of faculty and staff members at Grand Valley State University as addressed in the Grand Valley State University Board of Trustees’ Policies BCT 4.1.6.3, in pertinent part, states: “The University affirms the rights of its faculty and staff members as citizens to be active in public affairs which do not conflict with the professional standards and ethics in employment.”

Further, the Board of Trustees address the subject of Academic Freedom of faculty in the Grand Valley State University Board of Trustees’ Policies BCT 4.6.2 specifically sections 2 & 3:

2. Faculty members are entitled to freedom in the classroom in discussing their subject, but they should be careful not to introduce into their teaching controversial material, which has no relation to their subject. The words faculty member as used in this document are understood to include the investigator who is attached to an academic institution without teaching duties.

3. University or university faculty members are citizens, members of a learned profession, and officers of an educational institution. When they speak or write as citizens, they should be free from institutional censorship or discipline, but their special position in the community imposes special obligations. As persons of learning and as educational officers, they should remember that the public may judge their profession and their institution by their utterances. Hence they should at all times act in a professional and responsible manner, and should make every effort to indicate that they are not institutional spokespersons.

In addition to University policy, state law, specifically the Michigan Campaign Finance Act, regulates political activities of public bodies, such as state universities, and its employees.

PROCEDURES
In light of University Board of Trustees’ policies and state law, the following guidelines are intended to help faculty and staff with compliance:

1. Faculty and staff may engage themselves, as private citizens, in political activities including support or opposition to candidates for office or ballot questions on their own time. If you are working for the University and charging your time to a federal grant, any activity to support a political candidate or ballot question must be conducted on personal time. For questions about federal grants, contact the Office of Sponsored Programs for more information.

2. University departments or programs may sponsor presentations and discussion groups about an upcoming election provided that the purpose is to provide factual information on a political subject or issue if the communication does not support or oppose a ballot question or candidate by name or clear inference.

3. Classroom discussions of candidates and ballot questions must be related to course content as described in the catalog and course syllabus. A reminder to students to register to vote and to vote is permissible.

4. Faculty and staff may express their support or opposition to candidates or ballot questions by wearing buttons.

5. Faculty and staff members, as private citizens, may elect to lend their names to support one or more candidates for office or in support of or opposition to a ballot question. However, care must be exercised to assure that the faculty or staff member does not use their University title in relation to such advocacy.
6. Faculty and staff members shall not use University resources for political activity to support or oppose candidates for office or ballot questions. "University resources" includes, but is not limited to:

- University funds or money administered through a University budget;
- University facilities including office space or meeting rooms (except speech in open forum areas) or use of University office address;
- University equipment including office or cellular telephones, computer hardware or software, printers, copiers and facsimile machines;
- University-provided email addresses or use of the University email system;
- University supplies including stationary, paper, postage, pens, pencils, and other office supplies;
- Identification numbers including trademarks, logos, University letterhead, and University titles; and
- University time including when the faculty or staff member is working or the use of clerical or student worker time.

SOCIAL SECURITY NUMBER PRIVACY POLICY

SLT 10.6

Date of Last Update:
July 31, 2008

Approved By:
- Senior Leadership Team

Responsible Office:
Office of General Counsel

POLICY STATEMENT

1. Applicability This policy applies to all members of the GVSU community including faculty, staff, and students.

2. Access to Social Security Numbers. GVSU restricts access to information or documents containing social security numbers to members of the GVSU community who have a legitimate university business reason to access such information or documents. The heads of departments having access to records containing social security numbers shall determine which other personnel within their departments have a legitimate reason in the University’s ordinary course of business to have access to such social security numbers. Personnel using such records containing Social Security Numbers must take appropriate steps to secure such records when not in immediate use.

3. Confidentiality of Social Security Numbers. Members of the GVSU community shall maintain the confidentiality of university information or documents containing social security numbers consistent with the law and this policy. No person shall knowingly obtain, store, transfer, use, disclose, or dispose of social security numbers except in accordance with the law and this policy.

4. Obtaining Social Security Numbers. Social security numbers should be collected only where required or permitted by federal and state law or for legitimate university business reasons consistent with law and policy.

5. Public Display. No more than four sequential digits of a social security number shall be on public display. Public display means to post, make visible, or set out for open view to members of the public or in a public manner. This includes open view on a computer screen or device.

6. Account Numbers. As of the effective date of this policy, GVSU has undertaken a systematic process to eliminate social security numbers as identification numbers for all current members of the GVSU community. Once assigned an alternative identification number, neither the University nor the individual may return to the use of a social security number as an identification number for that person.

7. Mailed Documents. Documents containing more than four sequential digits of a social security number shall only be sent by mail in cases where state or federal law, rule, regulation, or court order or rule authorizes, permits or requires that a Social Security number appear in the document, the document is sent as part of an application or enrollment process initiated by the individual, at the request of or with the permission of the individual, their parent or guardian, or with regard to an employee or health insurance benefit. Documents containing more than four sequential digits of a social security number, that are properly sent through the mail, shall not reveal the number through the envelope window or otherwise be visible from the outside of the envelope or package. Mail includes delivery by regular US mail, campus mail, or any other delivery service that does not require the signature of the recipient indicating actual receipt.

8. Freedom of Information Act Requests. Where more than four sequential digits of a social security number are contained within a document subject to release under the Freedom of Information Act, the social security number shall be redacted or otherwise rendered unreadable before the document or copy of the document is disclosed. Any request for disclosure of documents under the Freedom of Information Act shall be first referred to the Division of Legal, Compliance and Risk Management.

9. Storage of Documents. All documents containing social security numbers shall be stored in a physically secure manner. Social security numbers shall not be stored on computers or other electronic devices that are not secured against unauthorized access.

10. Disposal of Documents. Documents containing social security numbers will be retained in accordance with the requirements of state and federal law and consistent with the legitimate business needs of GVSU. At such time as documents containing social security numbers may be disposed of, such disposal shall be accomplished in a manner that protects the confidentiality of the Social Security numbers, such as by shredding.

11. Unauthorized Use or Disclosure of Social Security Numbers. GVSU shall take reasonable measures to enforce this Privacy Policy and to correct and prevent the reoccurrence of any known violations. Anyone who knowingly obtains, uses or discloses social security numbers for unlawful purposes or contrary to the requirements of this policy, state or federal law, shall be subject to discipline up to and including discharge for employees or expulsion for students consistent with existing disciplinary policies. Additionally, certain violations of the Act carry criminal and/or civil sanctions. GVSU will cooperate with the appropriate law enforcement or administrative agencies in the apprehension and prosecution of any person who knowingly obtains, uses or discloses Social Security numbers for unlawful purposes.

12. Lawful and Required Use. Nothing in this policy is designed to prohibit the collection, retention or transmission of documents or records containing Social Security numbers as required or permitted by state or federal law, rule or regulation, at the request of or with permission of the individual, for administrative use in the ordinary course of business to verify identity, to pursue legal rights of GVSU, or to provide or administer employee benefits such as health or retirement benefits.

Volunteers Policy

SLT 16.7

Date of Last Update:
April 25, 2013

Approved By:
- Senior Leadership Team

Responsible Office:
Office of General Counsel

POLICY STATEMENT

This policy is intended to reduce the risk related to the use of volunteers and their activities. The University accepts volunteer support to accomplish its educational mission and desires to ensure that volunteer relationships with the University are clearly established and understood by all parties. Payment for volunteer services is not allowed. However, University departments may reimburse volunteers for actual and reasonable expenses, following the appropriate university policies. The University requires the same attention to duties and responsibilities for volunteers as it does for employees of the University. This policy also does not cover or govern volunteers who agree to serve as human subjects in University research protocols, as they are covered by other policies under the administration of the Human Research Review Committee.

PROCEDURES
Relationship

Volunteer arrangements may not be used to circumvent the established processes that govern the employment of people. Volunteers do not have an employment relationship with the University on any grounds or for any reason and are not covered by the Fair Labor Standards Act, Michigan wage laws, or the Youth Employment Standards Act, and are not eligible for any University benefit, including Worker’s Compensation, or any other benefits of employment from the University, including but not limited to, health care, vacation, or sick time. Volunteers are not provided with accident or medical insurance, and are therefore responsible for any accident or medical expenses that may be incurred as a result of the volunteer assignment.

Payment for volunteer services is not permitted under any circumstance. However, the appropriate department has the authority to decide whether to reimburse a University volunteer for actual and reasonable expenses so long as the expenses fall under the University’s reimbursement guidelines located on the Business and Finance website. Reimbursement cannot be used as a substitute for compensation nor can it be linked to the volunteer’s productivity.

Volunteers may not receive any institutional marketing materials reflecting their name and the University logos such as: business cards, letterhead, unless approved by the appropriate Vice President or Senior Leadership Team member.

Protection of Volunteer

According to the Board Policy BOT 10.3, Indemnification:

“It is the policy of Grand Valley State University to support its Board members, officers, faculty, and staff in the reasonable and proper performance of their official duties, and to support students and volunteers when performing services on behalf of or under the direction of the university.”

Volunteers are indemnified in the same manner as employees. It is important that the department or unit managing the volunteer maintain records as specified in this policy in order to ensure their volunteers are properly covered.

Eligibility

To be eligible to become a volunteer, one must:

1. Be willing to provide services according to this policy, complete and sign a Grand Valley State University Volunteer Profile, Disclosure, and Consent Form as well as other associated forms if deemed appropriate;
2. Have parental consent if under the age of 18;
3. Complete the Authorization for Release of Information for Background Check if the volunteer assignment involves working directly with minor children, money or access to confidential information regarding employees, students, health, financial data, etc.; and
4. Be authorized through the Department of Public Safety if the volunteer assignment requires operating a vehicle owned or leased by the University.

Responsibilities of the University Department Engaging Volunteers

Departments must keep records of volunteer names, dates of service(s), and services performed for a period of one year following the end of the volunteer assignment.

It is the responsibility of the individual unit to ensure that volunteers are aware of the unit’s rules and regulations. Volunteers must have the necessary training and/or supervision to safely carry out volunteer work. If the volunteer assignment involves providing professional services such as those performed by accountants, architects, doctors, engineers, etc., the department must assure that the volunteer has the appropriate credentials including licensure and/or certification.

One exception is that any legal services or assistance, whether by a volunteer or otherwise, must have prior written approval of the Vice President and General Counsel. According to Board policy, as stated in the Board of Trustees’ Policies, all legal services must be provided through the Division of Legal, Compliance and Risk Management.

If the volunteer assignment requires operating a vehicle, the volunteer must have a valid driver’s license, and have a good driving record as determined by the Department of Public Safety.

Responsibilities and Rights of the University Volunteer

Volunteers are responsible for complying with all University policies and all relevant laws including but not limited to: personal conduct, sexual assault, unlawful discrimination and harassment, compliance, workplace violence, substance abuse; misuse of confidential information; use of University technologies; financial responsibility; and vehicle use. Volunteers are not considered agents of the University unless the authority has been expressly delegated to them by an authorized University official. Volunteers are also expected to comply with any rules and regulations specific to the department for which they are volunteering.

Risk Management

Volunteers cannot replace employee positions. Volunteer services are generally limited to humanitarian, charitable, or public services. University volunteers are also prohibited from performing the following activities:

1. Working in any capacity in which he/she is employed by the University, or which is essentially similar to the individual’s regular work at the University, or under circumstances that suggest the decision to volunteer is not made freely;
2. Operating heavy equipment e.g. forklift, hi-lo, backhoe, etc.,
3. Working with highly hazardous or toxic chemicals or agents and/or dangerous equipment or environments i.e.: anything that could cause severe injury or death,
4. Any activity considered inappropriate for an employee,
5. Entering into any contract on behalf of the University,
6. Rendering professional services without possessing the required credentials,
7. Any actions beyond the scope of the volunteer assignment, and
8. Provide legal advice or assistance without prior written approval from the University Counsel.

In some cases where the volunteer assignment involves higher levels of risk exposure to the individual and others, the University department is responsible for disclosing those risks to the volunteer in writing. This will assure that information about the risks associated with the volunteer assignment are clearly communicated to the individuals who are engaged as university volunteers. Examples of services that require risk disclosure include but are not limited to:

1. Laboratory activities,
2. Services with potential exposure to bloodborne pathogens,
3. Professional services that require licensure and/or certification such as those performed by accountants, architects, doctors, engineers, etc. and trade services such as construction, plumbing, electrical, etc.
4. Travel of any kind,
5. Activities in any environment which requires orientation or training, and
6. Activities with patients and/or subjects of experiments.

Use of Volunteer Procedures

The procedures and forms to implement this policy can be found on the Risk Management Website.

DEFINITIONS

A volunteer is defined as an uncompensated individual who performs services directly related to the operations of the University for its benefit, to the mission of the University, or for the volunteer to gain experience in specific endeavors. To qualify as a volunteer, an individual must be willing to provide services according to the directions of the appropriate University representative. An individual who provides services for an entity that is not directly related to the business of the University (e.g., a government or public agency), is not considered to be a University Volunteer for the purposes of this policy.

EMAIL POLICY

SLT 11.2

Date of Last Update: October 09, 2015

Approved By: +Senior Leadership Team

Responsible Office: Information Technology

POLICY STATEMENT
Grand Valley State University provides its faculty, staff and students with electronic mail intended for University-related purposes including direct and indirect support of the University's instructions, research, and service missions; of University administrative functions; of student and campus life activities, and of the free exchange of ideas among members of the University community and between the University community and the wider local, national, and world communities.

The rights of academic freedom and freedom of expression apply to the use of University electronic mail. Electronic mail sent or received using University facilities is, however, University business and cannot be guaranteed total privacy. The University does not routinely inspect, monitor, or disclose electronic mail without the holder's consent. Nonetheless, subject to the requirements for authorization, notification, and other conditions specified in this Policy, the University may deny access to its electronic mail services and may in exceptional circumstances inspect, monitor, or disclose electronic mail.

**PROCEDURES**

**Applicability**

This Policy applies to:
- All electronic mail systems and services provided or owned by the University
- All users, holders, and uses of University e-mail services
- All University e-mail records in the possession of University faculty, staff or students or other e-mail users of electronic mail services provided by the University

This Policy applies only to electronic mail in its electronic form. The Policy does not apply to printed copies of electronic mail.

This Policy applies equally to transactional information (such as e-mail headers, summaries, and addresses) associated with e-mail records as it does to the contents of those records.

All users of University electronic mail are subject to:
- Comply with all federal, Michigan, and other applicable laws and regulations; all generally applicable University rules and policies; and all applicable contracts and licenses. Examples of such laws, rules, policies, contracts, and licenses include the laws of libel, privacy, copyright, trademark, obscenity, and child pornography; the Electronic Communications Privacy Act, and the Computer Fraud and Abuse Act, which prohibit unauthorized use or entry into another's account; the University's Student Code; the University's Anti-Harassment policy; and all applicable software licenses.
- Users who engage in electronic communications with persons in other states or countries or on other systems or networks should be aware that they may also be subject to the laws of those other states and countries and the rules and policies of those other systems and networks. Users are responsible for ascertaining, understanding, and complying with the laws, rules, policies, contracts, and licenses applicable to their particular uses.
- Act within the normal standards of professional and personal courtesy and conduct. Access to University electronic mail services, when provided, is a privilege that may be wholly or partially restricted by the University without prior notice and without the consent of the e-mail users when required by and consistent with violations of University policies, regulations and law.
- Use only those computing resources that they are authorized to use and use them only in the manner and to the extent authorized. Ability to access computing resources does not, by itself, imply authorization to do so. Users are responsible for ascertaining what authorizations are necessary and for obtaining them before proceeding. Accounts and passwords may not, under any circumstances, be shared with, or used by, persons other than to whom they have been assigned by the University.
- Respect the finite capacity of the resources and limit use so as not to consume an unreasonable amount of those resources or to interfere unreasonably with the activity of other users. Although there is no set bandwidth limit or CPU time, uses of University electronic mail may be required to limit resources in accordance with this principle.
- Inspection, monitoring or disclosure of University e-mail records will be at the e-mail holders consent wherever possible. However, if consent cannot be obtained either voluntarily or involuntarily, the request shall be brought before University Counsel.

Specific Provisions

**A. Users**

Users of University electronic mail services are to be limited primarily to University students, faculty, staff, retirees, and others authorized by the University. Upon normal termination of employment, employees may retain access to the e-mail account for 30 days. Employees terminated by the University will have the e-mail account terminated immediately. Retired employees may request access to the e-mail account as part of the benefit package. Students retain access to an e-mail account as long as they are registered for courses or completed graduation. GVSU retains the right to remove email services at any time.

**B. Account Usage**

GVSU has the right to restrict the amount of storage space available on the network. If an individual wishes to backup and store e-mail for extended purposes, it is the individual’s responsibility to do so.

Users are granted access to services only for so long as they abide by the Computing Conditions of Use policy. No person shall gain use of the University's computer system without proper authorization. Any attempt by a user to gain access to another person’s network account, private network drive, or restricted areas on the GVSU computer system is prohibited.

University e-mail services shall not be used to send unsolicited commercial emails and such use may result in your account being disabled.

University e-mail services shall not be used for purposes that could reasonably be expected to cause, directly or indirectly, excessive strain on any computing resources (bandwidth issues), or unwarranted or unsolicited interference with others use of e-mail or e-mail systems. Such uses include, but are not limited to, the use of e-mail services to: (1) send or forward e-mail chain letters; (2) "spam," that is, toexploit listservs or similar broadcast systems for purposes beyond their intended scope to amplify the widespread distribution of unsolicited e-mail; and (3) "letterbomb," that is, to send the same e-mail repeatedly to one or more recipients to interfere with the recipient’s use of e-mail.

**C. Representation**

Electronic mail users shall not give the impression that they are representing, giving opinions, or otherwise making statements on behalf of the University or any unit of the University unless appropriately authorized (explicitly or implicitly) to do so. Where appropriate, an explicit disclaimer shall be included unless it is clear from the context that the author is not representing the University. An appropriate disclaimer is: “These statements are my own, not those of the Grand Valley State University.”

**Policy Violations**

Violations of University policies governing the use of University electronic mail services may result in restriction of access to University technology information services. In addition, disciplinary action, up to and including dismissal, may be applicable under other University policies, guidelines, implementing procedures, or collective bargaining agreements.

Violations will normally be handled through the University disciplinary procedures applicable to the relevant user. The University may temporarily suspend or block access to an account, prior to the initiation or completion of such procedures, when it reasonably appears necessary to do so in order to protect the integrity, security, or functionality of University or other computing resources or to protect the University from liability. The University may also refer suspected violations of applicable law to appropriate law enforcement agencies.

Refer to Appendix A. for detail on additional policies and guidelines.

**Security and Privacy**

The University owns all electronic mail address assigned by the University. The University employs various measures to protect the security of its computing resources and of their users’ accounts. Users should be aware, however, that the University cannot guarantee such security. Users should therefore engage in “safe computing” practices by establishing appropriate access restrictions for their accounts, guarding their passwords, and changing them regularly. Security and privacy of e-mail sent or received outside of GVSU is subject to standards of other organizations and may be more or less restrictive and provide more or less privacy protection.

Users should also be aware that their use of University computing resources are not completely private. While the University does not routinely monitor individual usage of its computing resources, the normal operation and maintenance of the University's computing resources require the backup and caching of data and communications, the logging of activity, the monitoring of general usage patterns, and other such activities that are necessary for the rendition of service.

The University reserves the right to monitor e-mail records, without notice, when:

a. The user has voluntarily made them accessible to the public
b. It reasonably appears necessary to do so to protect the integrity, security, or functionality of University or other computing resources or to protect the University from liability
c. There is reasonable cause to believe that the user has violated, or is violating, this Policy
d. An account appears to be engaged in unusual or unusually excessive activity, as indicated by the monitoring of general activity and usage patterns

e. It is otherwise required or permitted by law

Any such individual monitoring, other than that specified in "a" above, required by law, or necessary to respond to perceived emergency and/or time-sensitive situations, must be authorized in advance by University Counsel and an Executive Officer.

The University, in its discretion, may disclose the results of any such general or individual monitoring, including the contents and records of individual communications, to appropriate University personnel or law enforcement agencies and may use those results in appropriate University disciplinary proceedings.

Normal examination of e-mail headers by the e-mail administrator is standard procedure to resolve problems and redirect incorrect addressed e-mail.

**Posting and Authority to Change**

Because University policies are subject to change, this list may change from time to time. The authoritative list at any time will be posted under the listings of University policies posted on the World Wide Web.
Authority to change this list rests with the Vice Provost of Academic Services and Information Technology acting, where policies affecting faculty are concerned, with the advice of the Academic Senate, where policies affecting students are concerned, with the advice of the Dean of Students, where policies concerning legal matters, with the advice of University Counsel.

APPENDIX A - REFERENCES
The following list identifies additional policies and procedures, which support this Policy: These and other laws both provide privacy protection for e-mail and require the disclosure of e-mail under some circumstances.

- University Policies and Guidelines
  - Human Resources
    - Faculty Handbook
    - Executive, Administrative, Professional Staff Handbook
    - Anti-Harassment Policy
    - Refer to the Human Resources web site for further policies and guidelines
  - Information Technology
    - Computing Conditions of Use
    - Student Computing Account Agreement
    - Student Code

- State of Michigan
  - Michigan Freedom of Information Act
  - Motorist Accessible Use Revenue

- Federal Statutes
  - Federal Family Educational Rights and Privacy Act of 1974
  - Federal Privacy Act of 1974
  - Electronic Communications Privacy Act of 1986

EMAIL SIGNATURE BLOCK POLICY
SLT 11.2.1
Date of Last Update:
August 08, 2020
Approved By:
- Senior Leadership Team

Responsible Office:
Office of General Counsel

POLICY STATEMENT
All faculty/staff communications from University email accounts should reflect the University’s brand. To keep our brand identity strong and consistent, and to enhance credibility for our faculty and staff who communicate via email, all emails created by University employees and delivered via the University’s email system should feature email signature blocks consistent with this policy.

An email signature block is text and other University information appended to the end of an email in order to identify the sender and facilitate further contact. Official University email signature blocks establish credibility for our faculty and staff by clearly identifying them and their roles at the University. The official signature block provides contact information for the employee and points email recipients to the University website, a key source of information about the University.

All emails using a University account should have signature blocks consistent with the University brand. The following items are permissible but not required:
- Employee’s name
- Employee’s official University title(s)
- Employee’s department or office name
- Gender pronouns
- Grand Valley State University
- University’s website address, www.gvsu.edu
- Department or Program website
- University or departmental trademarks or logos
- the University’s general address or employee’s University address
- Employee’s department and/or office telephone number, and fax number
- Employee’s mobile phone number
- Employee’s campus email address
- Social media account addresses used solely for advancing scholarly or professional pursuits
- Other University related information may be added such as departmental mission statement, and a link to University created social media account(s)
- A confidentiality statement is permitted for use below the email signature on all outgoing emails, such as:
The content of this email is confidential and intended only for the recipient(s) specified. If you received this message by mistake, please reply so the sender can correct the error, and then delete this email immediately. Do NOT forward it to a third party without the written consent of the sender.

Employees may not add to their official email signature block any personal information, including links to personal websites or social media accounts that are not used solely for scholarly or professional pursuits related to their position at the University. Except for messages of and concerning the University no mottos, symbols, quotations, taglines or other statements may be added to the email signature block, as these may be misunderstood as representing the University’s official positions, values or views.

All faculty and staff shall comply with this policy by creating an approved email signature block and using it consistently when communicating via their University email accounts. Should there be noncompliance to this policy, the individual’s supervisor and/or vice president will enforce compliance through appropriate means.

COMPUTER VIRUS AND MALWARE POLICY
SLT 11.3
Date of Last Update:
September 06, 2016
Approved By:
- Senior Leadership Team

Responsible Office:
Information Technology
POLICY STATEMENT

When a device or account connected to the GVSU campus network is compromised by a virus or malicious software, the network is at great risk of harm due to potential damage of university data or disclosure of sensitive information. To preserve the health of the network and the devices connected to it, the infected device must IMMEDIATELY be disconnected and removed or the account blocked from the campus network until Information Technology personnel verify it is no longer compromised. Despite the disruption this may cause to the individual user, the user is required to produce any infected device to Information Technology immediately upon request in order to prevent information disclosure, data file destruction, or exploitation of the compromised account.

Information Technology personnel shall provide their identification and authorization to the device user that authorizes them to remove the afflicted device prior to its removal. For additional verification, you may call the IT Service Desk at 331-2101 and ask for a Level 2 staff member to verify the authorization to pick up a computer. To minimize interruption, Information Technology will take reasonable steps to provide a substitute device for use on the campus network while the user awaits repair of the original device. To report that a device might be infected, contact Information Technology immediately at 331-2101.

VOICEMAIL POLICY

SLT 11.4

Date of Last Update:
April 29, 2016

Approved By:
Senior Leadership Team

Responsible Office:
Information Technology

POLICY STATEMENT

Voicemail is offered to all AP and faculty personnel. Voicemail must be approved for PSS personnel and departmental lines by a Dean or Vice Provost and Vice President. Personal lines and other lines that utilize voicemail must follow the following procedures.

PROCEDURES

A department main number is never to be forwarded to a voicemail.

All greetings are to be personalized and changed when users intend to be out of the office for more than one day, especially if they do not plan to check voicemail.

An out of office greeting should provide the caller with an alternative name and telephone number to contact in case of emergency.

COMPUTING CONDITIONS OF USE (INFORMATION TECHNOLOGY)

SLT 11.5

Date of Last Update:
October 09, 2015

Approved By:
Senior Leadership Team

Responsible Office:
Information Technology

POLICY STATEMENT

As members of the Grand Valley State University community, you have the responsibility to use the university’s Information Technology resources in an effective, ethical, and legal manner. Ethical and legal standards that apply to information technology resources derive directly from standards of common sense and decency that apply to the use of any shared resource. Grand Valley depends first upon the spirit of mutual respect and cooperation that has been fostered at the university to resolve differences and ameliorate problems. The purpose of the statement is to promote the responsible, ethical, legal, and secure use of Grand Valley’s Information Technology resources, including access to the Internet, for the protection of all users.

PROCEDURES

The following guidelines will be applied to determine appropriate use of Services:

1. Accounts granted are intended solely for the use of the person the account was issued and shall not be shared.
2. To respect the privacy of other users. Users shall not intentionally seek information on, obtain copies of, or modify files, or passwords belonging to other users or the University, or represent others, unless explicitly authorized to do so by those users.
3. To respect the legal protection provided by copyright and licensing of programs, data, photographs, music, written documents and other material as provided by law.
4. To respect the intended usage of accounts and authorization for specified purposes only.
5. To respect the integrity of the system or network. One shall not intentionally develop or use programs, transactions, data, or processes that harass other users or infiltrate the system or damage or alter the software or data components of a system.
6. To adhere to all general university policies and procedures including, but not limited to, policies on proper use of information resources, information technology, and networks; acquisition, use, and disposal of University-owned computer equipment; use of telecommunications equipment; ethical and legal use of software; and ethical and legal use of administrative data.
7. Using university technology resources for commercial use is strictly prohibited. Such resources are to be solely used in conjunction with doing business for GVSU or purposes directly related to academic work.
8. To refrain from unauthorized use of network Services which significantly hampers other GVSU constituents network access.
9. Unauthorized use of GVSU networks and/or computers for non-academic purposes is prohibited, including revenue generating advertising and promotion of business not related to GVSU.
10. Network connections in Student Housing are intended strictly for client access to GVSU and Internet resources. Residents are not permitted to offer services to other computers, either external or internal, within the GVSU Housing Network. External requests for services destined to the GVSU Housing Network are not permitted. Information Technology reserves the right to disable network connections within the GVSU Housing Network if complaints are received and it is verified that a computer is offering an internal service.
11. The Computer Science and Engineering departments have dedicated networks that can be used for servers that need to offer services to other computers for educational purposes; in this case the department is responsible for monitoring and approving the services that are offered as well as verifying that the computers have been patched and secured against known Internet attacks.
12. All users of Grand Valley's e-mail accounts are expected to adhere to the Electronic Mail Policy.
13. All users of Grand Valley's external network connections (i.e., Merit and NSFNET) shall comply with the evolving "Acceptable Use" policies established by the external networks' governing bodies.

*Merit Acceptable Use Policy
*The NSFNET Backbone Services Acceptable Use Policy

The intent of this policy is to identify certain types of uses that are not appropriate. Using the guidelines given above, GVSU may at any time make a determination that a particular use is not appropriate.

GVSU will not monitor or judge the content of information transmitted via the Services, but will investigate complaints of possible inappropriate use. In the course of investigating complaints, GVSU staff will attempt to preserve the individual's privacy. GVSU is subject to the Freedom of Information Act and may be required to supply personal computing information.

DIGITAL MEDIA POLICY

SLT 11.6

Date of Last Update:
April 19, 2015

Approved By:
Senior Leadership Team
Copyright owners may contact the service provider to request that the infringing material be removed or access blocked. Grand Valley State University’s designated DMCA agent is:

Bill Fisher
Associate Director, Technical Services
Grand Valley State University
Allendale, MI 49401
phone: (616) 331-2035

For More Information on the DMCA and other copyright issues see, www.copyright.gov

CONFIDENTIALITY, DATA & SECURITY POLICY

POLICY STATEMENT
Grand Valley State University regards security and confidentiality of data and information to be of utmost importance. As such, individuals employed by the University must follow the procedures outlined below.

PROCEDURES
Confidentiality of Data

Each individual granted access to data and information holds a position of trust and must preserve the security and confidentiality of the information he/she uses. Individuals are required to abide by all applicable Federal and State guidelines and University policies regarding confidentiality of data including, but not limited to, the Family Education Rights and Privacy Act (FERPA). FERPA protects student information and may not be released without proper authorization. Requests for information/documents should be referred to the Registrar’s Office or the Legal, Compliance & Risk Management Office.

Individuals with authorized access to Grand Valley State University’s computer resources, information system, records or files are given access to use the University’s data or files solely for the business of the University. Specifically, individuals should:

1. Access data solely in order to perform his/her job responsibilities.
2. Not seek personal benefit or permit others to benefit personally from any data that has come to them through their work assignments.
3. Not release University data other than what is required in completion of job responsibilities.
4. Not exhibit or divulge the content of any record, file or information system to any person except as it is related to the completion of their job responsibilities.

Additionally, individuals are not permitted to operate or request others to operate any University data equipment for personal business, to make unauthorized copies of University software or related documentation, or use such equipment for any reason not specifically required by the individual’s job description.

It is the individual’s responsibility to report immediately to his/her supervisor any violation of this policy or any other action, which violates confidentiality of data.

Security Measures and Procedures

Some individuals employed by the University are supplied with a network account to access the data necessary for the completion of their job responsibilities. Users of the University information systems are required to follow the procedures outlined below:

1. Storage of student or staff confidential data on local storage media (Laptops, Desktops, CDs, Thumb drives, etc) without proper data encryption is strictly prohibited. Please contact Information Technology to discuss secure options if confidential data must be transported outside of the secure network.
2. All transactions, processed by a user ID and password, are the responsibility of the person to whom the user ID was assigned. The user’s ID and password must remain confidential and must not be shared with anyone.
3. Access to any faculty or staff account may be granted by the faculty/staff member and/or the direct supervisor for specific job requirements. You are prohibited from viewing or accessing additional information (in any format). Any access obtained without written authorization is considered unauthorized access.
4. Passwords should be changed periodically or if there is reason to believe they have been compromised or revealed inadvertently.
5. Upon termination or transfer of an individual, Information Technology will immediately remove access to GVBU data. The email account may stay active for a period of up to 30 days.

Access to University data and information is for the sole purpose of carrying out job responsibilities. Breach of confidentiality, including aiding, abetting, or acting in conspiracy with any other person to violate any part of this policy or FERPA policy, may result in sanctions, civil or criminal prosecution and penalties, loss of employment and/or University disciplinary action, and could lead to dismissal, suspension, or revocation of all access privileges.

POLICY STATEMENT
Information Technology has two primary policies in place that deal with technology resources:

Conditions of Use: As members of the Grand Valley State University community, all users have the responsibility to use the university’s information technology resources in an effective, ethical, and legal manner. This document outlines these responsibilities.

POLICY STATEMENT
Technology provides multiple avenues for creating, collecting and distributing information. The ease of access to this information does not preclude the responsibility of understanding the legal issues involved in intellectual property.

PROCEDURES
Copyright law protects all material unless placed in the public domain, electronic and digital media included. Owners of copyrights hold exclusive right to the reproduction and distribution of their material. Unauthorized use and distribution of copyrighted material is illegal. Legal action against the individual as well as the university may occur, this includes all audio and video files.

The GVSU Library Course Reserve Department processes copyright clearance for all materials placed on library course reserve by professors. This includes both paper (traditional) and online digital access.

As members of the Grand Valley State University community, all users have the responsibility to use the university’s information technology resources in an effective, ethical, and legal manner. Technology provides multiple avenues for creating, collecting and distributing information. The ease of access to this information does not preclude the responsibility of understanding the legal issues involved in intellectual property.

PROCEDURES
Copyright law protects all material unless placed in the public domain, electronic and digital media included. Owners of copyrights hold exclusive right to the reproduction and distribution of their material. Unauthorized use and distribution of copyrighted material is illegal. Legal action against the individual as well as the university may occur, this includes all audio and video files.

The Digital Millennium Copyright Act (DMCA) of 1998 provides recourse for copyright owners who believe that their rights have been infringed by unauthorized use of their protected works at an online location. Copyright owners may contact the service provider to request that the infringing material be removed or access blocked. Grand Valley State University’s designated DMCA agent is:

Bill Fisher
Associate Director, Technical Services
Grand Valley State University
Allendale, MI 49401
phone: (616) 331-2035

For More Information on the DMCA and other copyright issues see, www.copyright.gov
This Policy clarifies the applicability of law and of other University policies to electronic mail. It also defines new policy and procedures where existing policies do not specifically address issues particular to the use of electronic mail.

The policies and procedures to deal with abuse of technology resources for faculty and staff are outlined below.

**Level I - Complaint**
- Complaints may be received from an internal or external GVSU constituent
- Upon notification of abuse by an Information Technology staff member, the information is communicated to either the Vice Provost and Dean of Academic Services or the Director of Information Technology
- Upon review from the Vice Provost and Dean of Academic Services and/or the Director of Information Technology, the complaint is further acted upon or discarded.

**Level II - Contact/Action for First Offenders**
- The individual who has abused the technology privileges is contacted by phone by a designated IT staff member under the direction of the Vice Provost and Dean of Academic Services and/or the Director of Information Technology
  - If the individual cannot be reached, an attempt to reach them via the secretary, Chair or Dean is pursued until the individual is contacted.
  - The individual is apprised of their abuse and asked to refrain from continuing the infringement. If email related, the individual will be required to retract the offending message.
  - If email abuse, the individual will be required to retract the offending message.
  - The individual will be sent a notification via email of the abuse infraction and asked to return the email with their understanding and intent to comply via the policy.
  - The email notification/compliance will be kept on file.

**Level III - Contact/Action for Second Offenders**
- The individual account will be suspended immediately (disable ability to send/receive messages).
- The individual who has abused the technology privileges is contacted by phone by a designated IT staff member under the direction of the Vice Provost and Dean of Academic Services and/or the Director of Information Technology.
  - If the individual cannot be reached, an attempt to reach them via the secretary, Chair or Dean is pursued until the individual is contacted.
  - The individual Dean or manager is contacted concerning the repeated abuse.
  - The individual is apprised of their abuse and asked to refrain from continuing the infringement.
  - The individual account will be re-activated upon contact and compliance of the policy.
  - If email abuse, the individual will be required to retract the offending message.
  - The individual will be sent a notification via email of the abuse infraction and asked to return the email with their understanding and intent to comply via the policy and that they will lose account privileges completely upon the third offense.
  - The individuals Dean or manager will be sent a notification via email of the abuse infraction and asked to return the email with their understanding that the individual will lose account privileges completely upon the third offense.
  - The email notification/compliance will be kept on file from both the individual and the Dean or manager.

**Level IV - Contact/Action for Third Offenders**
- The individual account privileges will be suspended immediately, which consist of email and network privileges.
- The individual who has abused the technology privileges is contacted by phone by a designated IT staff member under the direction of the Vice Provost and Dean of Academic Services and/or the Director of Information Technology.
  - If the individual cannot be reached, an attempt to reach them via the secretary, Chair or Dean is pursued until the individual is contacted. The individual Dean or manager is contacted concerning the repeated abuse.
  - The HRD office is contacted concerning the repeated abuse.
  - The individual is apprised of their abuse and told that their privileges have been revoked.
  - The individual Dean or manager must contact the Vice Provost and Dean of Academic Services or the Director of Information Technology to discuss possible reinstatement of privileges.
  - Upon further review with the Provost (for faculty issues) and the HRD office (for staff issues), the determination to re-instate the technology privileges will be determined.
  - The decision will be kept on file.

**Overriding Issues**
- If at any time, the technology resources that have been abused are in jeopardy of causing mass problems for GVSU constituents or the network/files have been compromised, the Vice Provost and Dean of Academic Services or the Director of Information Technology may choose to immediately suspend the individual account to ensure the integrity and continuation of services for the rest of the constituents.
- Upon a decision of this nature, the situation will be brought to the Provost, HRD, appropriate Dean or manager as quickly as possible to remedy the issue at hand.

**PHONEMAIL POLICY**

**SLT 11.9**
**Date of Last Update:**
July 31, 2008
**Approved By:**
Senior Leadership Team

**Responsible Office:**
Telecommunications

**POLICY STATEMENT**

Department main numbers and widely published phone numbers are “must-answer” lines and are not assigned PhoneMail. Personal lines and other lines that do utilize PhoneMail must follow the procedures below.

**PROCEDURES**

Department main numbers and widely published phone numbers are “must-answer lines” and will not be assigned PhoneMail. These numbers should always be answered by a person.

A department main number is never to be forwarded to a PhoneMail box as this causes callers to be put into an endless PhoneMail loop.

All greetings are to be personalized and changed when users intend to be out of the office for more than one day, especially if they do not intend to check PhoneMail.

Users are to provide the caller with an alternative person’s name and telephone number to contact if they are going to be gone for any length of time.

PhoneMail users are to respond to messages within one business day unless they are on vacation.

Unit heads should monitor PhoneMail greetings when the initial installation is made and on a regular basis thereafter.

If you have any questions about PhoneMail usage or need to report a problem please call either extension 12148 or 12145 during working hours.

**Vice President approval is required for PSS personnel to have PhoneMail installed.**

**PUBLIC FOLDER POLICY**

**SLT 11.10**
**Date of Last Update:**
POLICY STATEMENT
GVSU provide public folders to allow postings from any Outlook user on events, announcements, information of interest and a method to buy/sell articles.

PROCEDURES
Guidelines for consistent and proper use
- Messages posted to the business related public folders should pertain to GVSU sponsored programs, events, or activities.
- Messages intended for private business or personal profit shall not be posted
- Commercial message and advertisements for non-GVSU entities shall not be posted
- Messages posted to the Barter Board specifically may refer to personal items for sale or items wanted to buy.
- Messages intended for private business are not allowed
- Commercial messages and advertisements for items for sale or services offered are prohibited, including home businesses.
- Complaints relative to purchases of items advertised should be conducted privately
- Additionally, messages posted to these public folders board must respect the rights of other users; for example, they must comply with all University policies regarding sexual, racial, and other forms of harassment, and shall not divulge personal data concerning faculty, staff, or students without explicit authorization to do so.

Message life span:
- Any message posted here should be deleted by the author as soon as its purpose has been resolved
- Messages will be deleted automatically after 7 days.

SECURE OFFICE PROCEDURE
SLT 11.11
Date of Last Update:
April 19, 2015
Approved By:
 Senior Leadership Team

POLICY STATEMENT
It is the responsibility of all employees of the University to protect sensitive data against loss or theft. Awareness, education and practice of the following procedures can assist in this matter. These procedures are in place to help protect employees, customers, contractors and the university from damages related to the loss or misuse of sensitive information.

This document refers to securing sensitive data and physical hardware within an office environment or mobile environment where data may be referenced (at home or on a laptop). It is not meant to address electronic data stored on university servers.

PROCEDURES
Goals
In order to effectively protect and secure university data, the following goals have been established:
- a) Create, distribute and annually review the “Secure Office Procedure” document
- b) Train all staff members whose jobs relate to sensitive data on both the “Secure Office Procedure” and Information Security Best Practices
- c) Train departmental managers to be aware of the importance of the procedures and the need to enforce them

Staff Training
Employee awareness and education is an integral part of securing sensitive data for the university. The following procedures will be enforced to ensure proper training:
- a) Upon hire, the Secure Office Procedure and Setting Strong Password documents are emailed to the new employee
- b) Secure Office Procedure and Setting Strong Password documents are sent annually to all employees via email
- c) Internal training, specific to each area, will be provided to employees who have access to sensitive data
- d) Information Technology will provide Best Practices information at IT seminars and offer to attend annual departmental meetings to cover the below topics:
  - i. Awareness of Social Engineering schemes
  - ii. Secure Office Procedures
  - iii. Strong Password creation
  - iv. Data storage
  - v. Data encryption
  - vi. Backups
  - vii. Anti-virus and Anti-spyware tools
  - viii. Non-secure technologies

GENERAL OFFICE SECURITY PRACTICES
The following procedures should be followed within office suites, individual offices or workrooms and mobile locations where data may be referenced:
- a) Keys or keycards used for access to sensitive data should not be left unattended
- b) Passwords should not be shared or written down and left in accessible locations
- c) If you have a student that will regularly be using your machine, contact the IT Service Desk and request a staff account for that student. (Do NOT give out your password)
- d) Make certain passwords aren’t common information such as date of birth, names of children, pets, telephone numbers, etc.
- e) When you leave your workstation, lock your computer screen
- f) Lock up laptops, USB drives, external drives, etc. when unsupervised
- g) Contact the IT Service Desk when a computer is to be passed to a new user. IT will clean the computer, removing previous data and place a clean image on the machine.
- h) Printouts containing sensitive data should be removed from networked printers immediately and filed appropriately in secure cabinets
- i) Dispose of sensitive data on hard copy by shredding immediately
- j) Departmental front desk staff should confirm identity of all visitors (GVSU staff/student workers or non-GVSU employees) who are entering their area(s)
  - i. Employees should feel comfortable requesting what unit someone is from and the purpose of their visit
  - ii. Employees should feel comfortable confirming meeting prior to allowing staff member/student employee to proceed within their departmental areas
  - iii. Confirm with the GVSU employee they are scheduled to meet
iv. Non-GVSU employees must be escorted to/from meeting area/work area
v. Request ID if necessary
vi. Provide front office staff the ability to view your calendar or print a schedule of your meetings in advance so they will expect attendees

k) All staff should be responsible to watch for or listen to any unusual activity and to be cognizant of their surroundings.

Sensitive Information

Sensitive data can be distributed via hard copy or electronic means within an office. When given the choice, store data electronically versus printing a hard copy. Consider scanning a document to store it electronically versus hard copy.

a) “Sensitive information” includes but is not limited to the following items, whether stored in electronic or printed format:

i. All FERPA protected data*

ii. Credit card number (in part or in whole)

iii. Credit card expiration date

iv. Cardholder name

v. Cardholder address

vi. Social Security Number

vii. Business Identification Number

viii. Employer Identification Number

ix. Paychecks

x. Paystubs

xi. Benefit information

xii. Giving information/history

xiii. Health information

xiv. Content of external grants or contracts

b) Securing hard copy sensitive data:

i. Lock cabinets containing sensitive data when not in use or when away for extended periods of time

ii. Storage rooms containing sensitive data should be locked at the end of the day or when unsupervised

iii. Desks, workstations, common work areas, printers, and fax machines should be cleared of all sensitive data when not in use

iv. Whiteboards, dry erase boards, writing tablets, etc. should be erased, removed or shredded when not in use

v. Documents to be shredded should be done so immediately or locked up until shredding can occur

vi. At the end of the day, all sensitive data should be in a locked drawer or cabinet

c) Securing electronic sensitive data. Please contact Information Technology if there are questions in how you are storing/sharing sensitive data electronically.

i. Refrain, when possible from storing sensitive data on your personal computer hard drive or any external personal devices. Instead use the network drive space.

ii. If storing sensitive data is required on your personal computer hard drive or an external device, encryption and password protection should be applied

iii. Engage the screensaver when workspace is unoccupied

iv. Computer workstations should be shut down completely at end of work day

v. Lock laptop or external devices containing sensitive data when not in use

vi. Make certain data and/or PC work station screens are not visible to the public (e.g.- near windows, entry/exit doors, etc.)

vii. If email is used to share sensitive data, encryption and/or password protection should be used. The following statement should accompany the body of the email:

“This message may contain confidential and/or proprietary information and is intended for the person/entity to whom it was originally addressed. Any use by others is strictly prohibited.”

*Sensitivity Information

SOFTWARE SUPPORT POLICY

SLT 11.12

Date of Last Update:
April 19, 2015

Approved By:
Senior Leadership Team

Responsible Office:
Information Technology

POLICY STATEMENT

Information Technology is responsible for providing software support to the campus community. IT resources are finite. Therefore, reasonable limits must be identified regarding the number and variety of software products supported by IT. This policy is intended to define those limits.

PROCEDURES

The software industry is characterized by constant change. Therefore, it is unreasonable to establish a single, static list of supported software. It is equally unreasonable to force the campus community to change software on a frequent basis.

Information Technology will provide support for the most recent operating systems for Windows and Macintosh platforms as well as one version back.

Standard software applications issued with a university computer will be supported in the most recent version and one version back unless compatibility issues arise.

Institutional ownership of a site license does not imply IT support for all products covered by the license. Software provided in labs and classrooms outside of the standard applications listed above are not supported by Information Technology. Software assistance is required through the vendor providing the application.