



## Export Controls Checklist for Sponsored Agreements

Below is a checklist containing potential proposal and/or contractual terms of agreement that might trigger export control-related concerns for GVSU. Please complete this form and return it to the Office of Sponsored Programs (OSP) as part of your proposal submission.

### PROJECT INFORMATION

Date:	
Principal Investigator Name:	
College/Department:	
Email address:	
Project Title:	
Sponsor Name (If subaward, please also include Prime sponsor):	

### Principal Investigator is required to complete this section.

Please indicate if the proposed Scope of Work contains the following potential export control issues:

<input type="checkbox"/> Y	<input type="checkbox"/> N	Research involving military applications, space, select agents, and/or encryption technology
<input type="checkbox"/> Y	<input type="checkbox"/> N	Research involving an International Traffic in Arms (ITAR) controlled topic (typically mentioned in a Request for Proposal)
<input type="checkbox"/> Y	<input type="checkbox"/> N	Foreign collaboration in the United States or abroad
<input type="checkbox"/> Y	<input type="checkbox"/> N	Research will be conducted outside of the United States
<input type="checkbox"/> Y	<input type="checkbox"/> N	Equipment/materials will be shipped outside of the United States
<input type="checkbox"/> Y	<input type="checkbox"/> N	Sponsor is a DoD agency or, if a subaward, the Prime sponsor is a DoD agency
<input type="checkbox"/> Y	<input type="checkbox"/> N	Research in which sensitive information, export controlled data, material, and/or equipment will be provided by the Sponsor or will be necessary to complete the project (Note this does not include protected health information [PHI] for human subject research projects.)
<input type="checkbox"/> Y	<input type="checkbox"/> N	Project will involve travel to a sanctioned country (currently: Cuba, Iran, Sudan, Syria, and North Korea)

### Assurance Statement

By typing my name below, I attest that the information submitted above is true to the best of my knowledge.

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

## For OSP Use Only

General Contract Language Flags:		
<input type="checkbox"/> Y	<input type="checkbox"/> N	Confidentiality Clause
<input type="checkbox"/> Y	<input type="checkbox"/> N	Publication restrictions
<input type="checkbox"/> Y	<input type="checkbox"/> N	Foreign national restrictions
<input type="checkbox"/> Y	<input type="checkbox"/> N	Subcontractor agrees to comply with all US Export Control laws and regulations –‘flow down requirements’
<input type="checkbox"/> Y	<input type="checkbox"/> N	Subcontractor agrees to notify [Prime] if any deliverable under this subcontract is restricted by export control laws
Contract Contains Specific Export Control Clauses:		
<input type="checkbox"/> Y	<input type="checkbox"/> N	DFAR clause 242.204.7000 is included (Disclosure of Information)
<input type="checkbox"/> Y	<input type="checkbox"/> N	DFAR clause 252.204.21 is included (Basic Safeguarding of Covered Contractor Information Systems)
<input type="checkbox"/> Y	<input type="checkbox"/> N	DFAR clause 252.204.7008 is included (Compliance with Safeguarding Covered Defense Information Controls)
<input type="checkbox"/> Y	<input type="checkbox"/> N	DFAR clause 252.204.7009 is included (Limitations on the Use or Disclosure of Third-Party Contractor Reported Cyber Incident Information)
<input type="checkbox"/> Y	<input type="checkbox"/> N	DFAR clause 252.204.7012 is included (Safeguarding Covered defense Information and Cyber Incident Reporting)
<input type="checkbox"/> Y	<input type="checkbox"/> N	DFAR clause 252.225.7048 is included (Export-Controlled Items)
<input type="checkbox"/> Y	<input type="checkbox"/> N	FAR clause 52.204.2 is included (Security Requirements)
<input type="checkbox"/> Y	<input type="checkbox"/> N	FAR clause 52.227.17 is included (Rights in Data)
<input type="checkbox"/> Y	<input type="checkbox"/> N	ARL clause 52.004.4400 is included (Foreign Nationals Performing Under Contract)
<input type="checkbox"/> Y	<input type="checkbox"/> N	ARL clause 52.005.4401 is included (Release of Information)
<input type="checkbox"/> Y	<input type="checkbox"/> N	ARL clause 52.227.17 is included (Rights in Data)
<input type="checkbox"/> Y	<input type="checkbox"/> N	DARPA clause G-9000 is included (Public Release or Dissemination of Information)

## Assurance Statement

By typing my name below, I attest that the information submitted above is true to the best of my knowledge.

\_\_\_\_\_  
**Signature (OSP Grant and Contract Specialist)**

\_\_\_\_\_  
**Date**